

Tarski Lecture III

From closure to Hausdorff limits in tori (and nilmanifolds)

Kobi Peterzil
U. of Haifa

Sergei Starchenko
U. of Notre Dame

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Generalizing the closure problem

In the first two talks we discussed:

The closure problem

Given $X \subseteq \mathbb{R}^n$ definable in an o-minimal structure, and a lattice $\Lambda \subseteq \mathbb{R}^n$, what is $\text{cl}(\pi(X))$ in $\mathbb{T} = \mathbb{R}^n/\Lambda$?

The answer used linear spaces associated to complete types over \mathbb{R} , on X .

We want to extend the result in two directions:

From closure to Hausdorff limits

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Question

Let $\{X_t : t \in T\}$ be a family of subsets of \mathbb{R}^n definable in an o-minimal structure on \mathbb{R} . For a lattice $\Lambda \subseteq \mathbb{R}^n$, we consider the possible Hausdorff limits of the family $\{\pi_\Lambda(X_t) : t \in T\}$ in \mathbb{T}_Λ .

When are some (or all) Hausdorff limits equal to \mathbb{T}_Λ ?

Definition

Given a metric space (M, d) , and $X, Y \subseteq M$,

$$d_H(X, Y) = \inf\{\epsilon > 0 : X \subseteq Y^\epsilon \text{ and } Y \subseteq X^\epsilon\}, \text{ where} \\ Y^\epsilon = \{x \in M : d(x, Y) \leq \epsilon\}.$$

We have $d_H(X, Y) = 0 \Leftrightarrow \text{cl}(X) = \text{cl}(Y)$.

Also, d_H is a metric on the collection of compact subsets of M .

Definition

Given a family $\mathcal{F} = \{X_t : t \in (0, \infty)\}$ of relatively compact subsets of M , we say that a compact set $Y \subseteq M$ is a **Hausdorff limit at ∞ of \mathcal{F}** if there is an unbounded sequence $t_n \in (0, \infty)$, such that

$$\lim_{n \rightarrow \infty} d_H(\text{cl}(X_{t_n}), Y) = 0.$$

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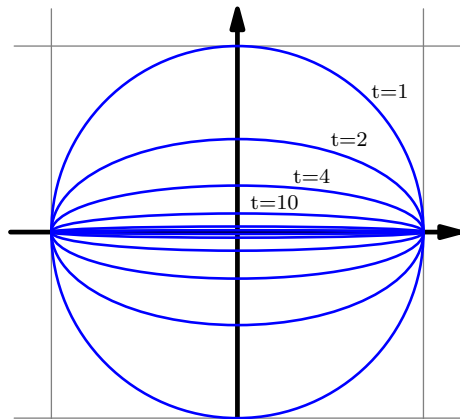
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An Example

A family of ellipses

$$\mathcal{F} : X_t = \{(x, y) : x^2 + (ty)^2 = 1\}, \quad t \in [1, \infty).$$

The (unique) Hausdorff limit at ∞ is the interval $[-1, 1] \times \{0\}$.



The new question

A question (A. Nevo)

Assume that $\mathcal{F} = \{X_t : t \in (0, \infty)\}$ is a definable family of subsets of \mathbb{R}^n in an o-minimal structure, and $\Lambda \subseteq \mathbb{R}^n$ a lattice,

Describe the family of Hausdorff limits of

$\pi_\Lambda(\mathcal{F}) := \{\pi_\Lambda(X_t) : t \in (0, \infty)\}$ at ∞ inside \mathbb{T}_Λ .

In particular, when is \mathbb{T}_Λ the unique Hausdorff limit at ∞ , of $\pi_\Lambda(\mathcal{F})$?

Notice that the closure problem is a special case of the above (for $X \subseteq \mathbb{R}^N$, consider the constant family $X_t = X$, for all $t \in (0, \infty)$).

As in the closure problem, we may study the problem inside the fundamental domain $F_\Lambda \subseteq \mathbb{R}^n$.

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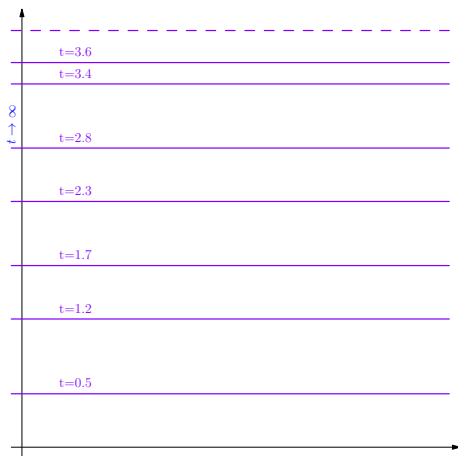
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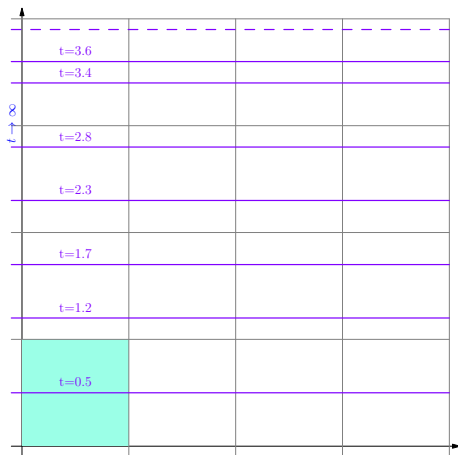
Horizontal lines in \mathbb{R}^2 , $\Lambda = \mathbb{Z}^2$

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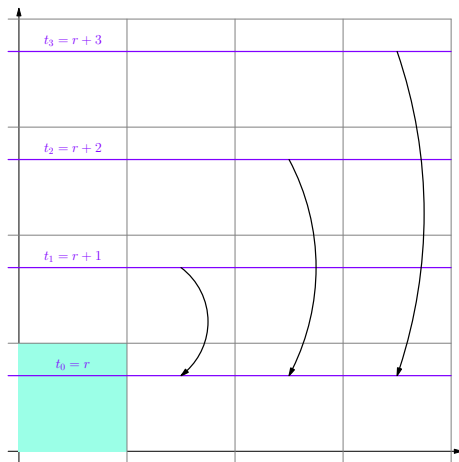
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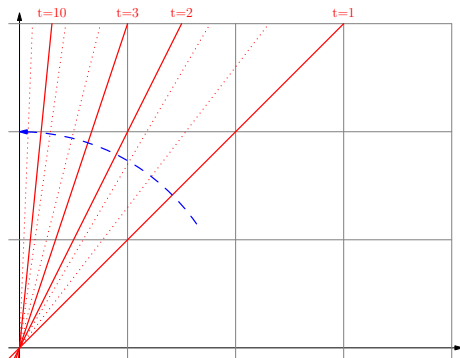
For $L = \mathbb{R} \times \{0\}$, the Hausdorff limits at ∞ are exactly the cosets of $\pi_\Lambda(L)$ in \mathbb{T}_Λ .



For each $r \in \mathbb{R}$, the sequence $(\pi_\Lambda(X_{r+n}))_{n=0}^\infty$ is constant.

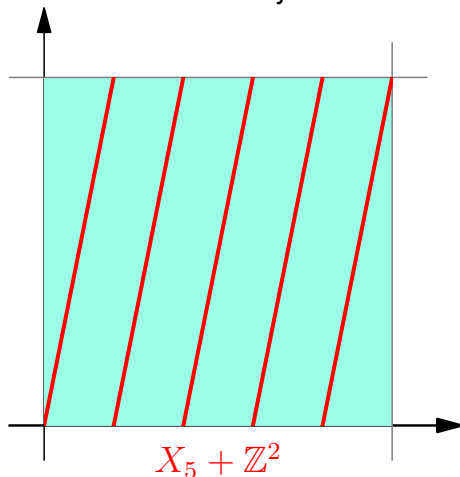
Lines of increasing slope in \mathbb{R}^2 , $\Lambda = \mathbb{Z}^2$

Consider the family $\mathcal{F} : X_t = \{(x, tx) : t \in (0, \infty)\}$.



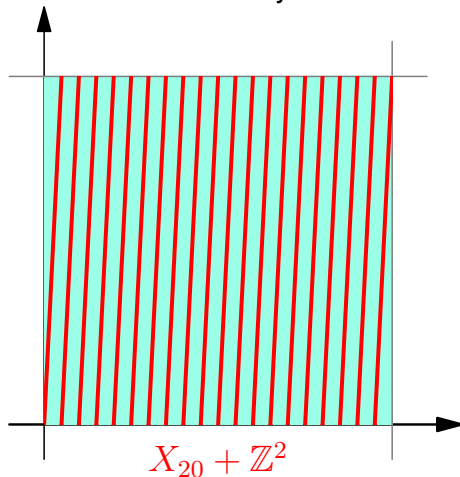
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The (unique) Hausdorff limit of $\{\pi_\Lambda(X_t) : t \in (\infty)\}$ at ∞ is \mathbb{T}_Λ . This remains true for every lattice.



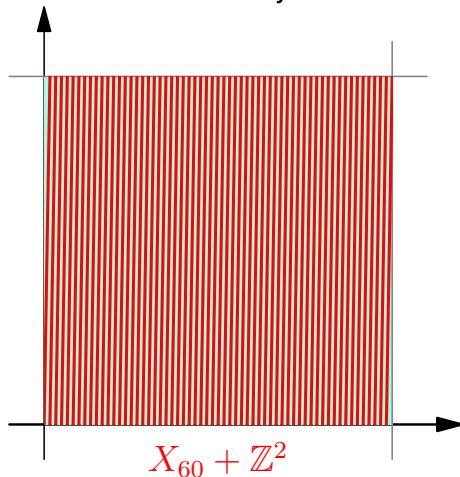
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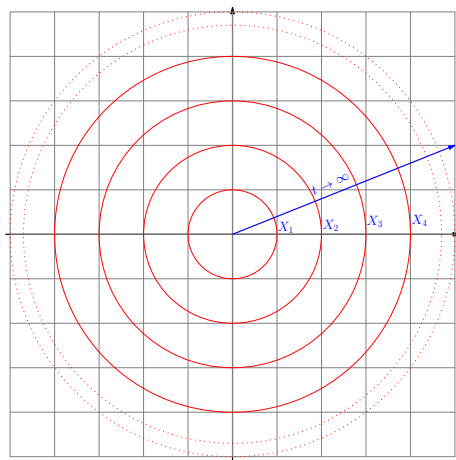
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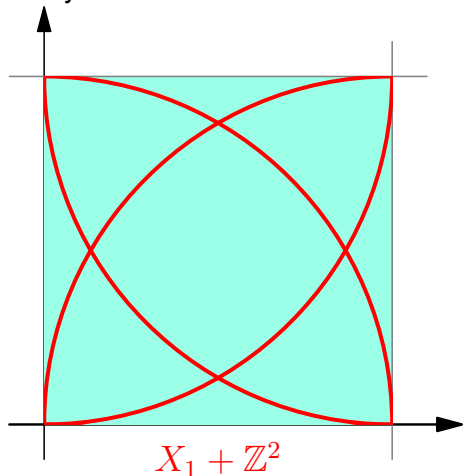
Circles of increasing radius in \mathbb{R}^2 , $\Lambda = \mathbb{Z}^2$

Consider the family $X_t = \{(x, y) : x^2 + y^2 = t^2\}$, for $t \in (0, \infty)$.



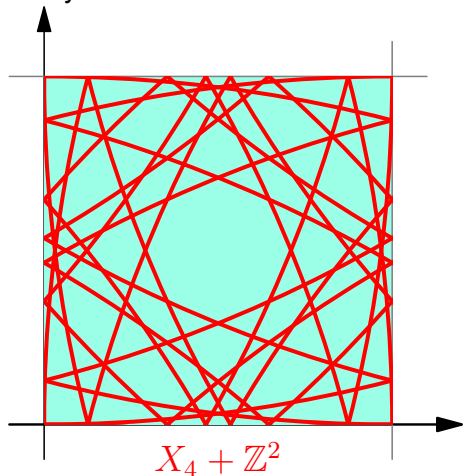
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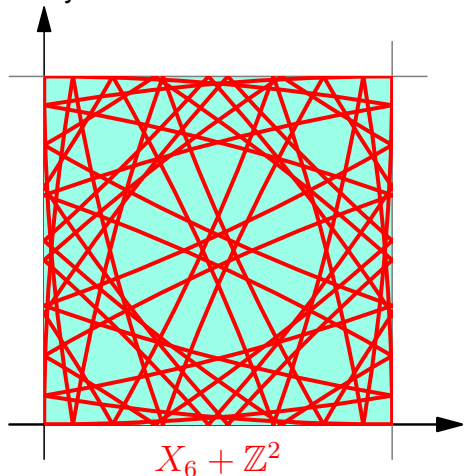
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A model theoretic approach

We let $\mathcal{R} \succ \mathbb{R}_{full}$, $\mathcal{O} \subseteq \mathcal{R}$ the ring of finite elements, μ the ideal of infinitesimals in \mathcal{O} and $st : \mathcal{O} \rightarrow \mathbb{R}$ the standard part map.

For $S \subseteq \mathbb{R}^n$, we let $S^\sharp = S(\mathcal{R})$ and $st(S^\sharp) = st(S^\sharp \cap \mathcal{O}^n)$

Fact (based on L. Narens, 1972)

Let $\{X_t : t \in (0, \infty)\}$ be a family of subsets of \mathbb{R}^n and $\Lambda \subseteq \mathbb{R}^n$ a lattice. For a compact $Y \subseteq \mathbb{T}_\Lambda$, the following are equivalent

1. Y is a Hausdorff limit at ∞ of $\{\pi_\Lambda(X_t) : t \in (0, \infty)\}$.
2. There is $\xi > \mathbb{R}$ such that

$$Y = \pi_\Lambda(st(X_\xi^\sharp + \Lambda^\sharp)).$$

Note: different $\xi > \mathbb{R}$ will usually give rise to different Hausdorff limits.

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Summary-Closure vs. Hausdorff limit

The closure of X

We started with $X \subseteq \mathbb{R}^n$ defined over \mathbb{R} and then $\text{cl}(X + \Lambda) = \text{st}(X^\sharp + \Lambda^\sharp)$.

The Hausdorff limits of $\{X_t : t \in (0, \infty)\}$

For each non-standard $\xi > \mathbb{R}$, $\text{st}(X_\xi^\sharp + \Lambda^\sharp)$ is a Hausdorff limit at ∞ .

Again, we may partition into types but now not over \mathbb{R} , but over $\mathbb{R}\langle\xi\rangle$, the o-minimal structure generated by \mathbb{R} and ξ .

For simplicity, below let $\mathcal{X} = X_\xi^\sharp$.

$$\text{st}(\mathcal{X} + \Lambda^\sharp) = \bigcup_{p \in \mathcal{S}_{\mathcal{X}}(\mathbb{R}\langle\xi\rangle)} \text{st}(p(\mathcal{R}) + \Lambda^\sharp)$$

Here, $\mathcal{S}_{\mathcal{X}}(\mathbb{R}\langle\xi\rangle)$ = the o-minimal types on $\mathcal{X} = X_\xi^\sharp$, over $\mathbb{R}\langle\xi\rangle$.
The non standard parameter ξ gives rise to complications.

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Nearest coset to a type

Proposition

For a type $p \in S_n(\mathbb{R}\langle\xi\rangle)$, there is a smallest linear subspace $L_p \subseteq \mathbb{R}^n$, and $\alpha \in \mathbb{R}\langle\xi\rangle$, such that $p(\mathcal{R}) \subseteq \mu + \alpha + L_p^\sharp$.

We call such translate $\alpha + L_p$ a **nearest coset** of p .

Theorem (Δ -linearity of types)

Assume that $p(x) \in S_n(\mathbb{R}\langle\xi\rangle)$, and $a_p + L_p$ is a nearest coset of p . Then, for every lattice $\Lambda \subseteq \mathbb{R}^n$ we have

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The uniform Hausdorff limits theorem

Theorem

Let $\mathcal{F} = \{X_t : t \in (0, \infty)\}$ be an \mathbb{R}_{om} -definable family of subsets of \mathbb{R}^n .

Then there are \mathbb{R} -linear spaces $L_1, \dots, L_s \subseteq \mathbb{R}^n$, such that for every lattice $\Lambda \subseteq \mathbb{R}^n$,

1. If $L_j^\Lambda = \mathbb{R}^n$ for some $j = 1, \dots, s$ then \mathbb{T}_Λ is the only Hausdorff limit at ∞ of $\pi_\Lambda(\mathcal{F}) := \{\pi_\Lambda(X_t) : t \in (0, \infty)\}$. This remains true if Λ is replaced by a finite index subgroup. Call it \mathcal{F} strongly Λ -dense in \mathbb{T}_Λ .
2. If $L_j^\Lambda \neq \mathbb{R}^n$ for all $j = 1, \dots, s$, then exists $K \in \mathbb{N}$ such that for every subgroup $\Lambda_0 \subseteq K \cdot \Lambda$, no Hausdorff limit at ∞ of $\pi_{\Lambda_0}(\mathcal{F})$ is equal to \mathbb{T}_{Λ_0} .

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1. If $L_j^\Lambda = \mathbb{R}^n$ for some $j = 1, \dots, s$ then \mathbb{T}_Λ is the only Hausdorff limit at ∞ of $\pi_\Lambda(\mathcal{F}) := \{\pi_\Lambda(X_t) : t \in (0, \infty)\}$. This remains true if Λ is replaced by a finite index subgroup. Call it \mathcal{F} strongly Λ -dense in \mathbb{T}_Λ .
2. If $L_j^\Lambda \neq \mathbb{R}^n$ for all $j = 1, \dots, s$, then exists $K \in \mathbb{N}$ such that for every subgroup $\Lambda_0 \subseteq K \cdot \Lambda$, no Hausdorff limit at ∞ of $\pi_{\Lambda_0}(\mathcal{F})$ is equal to \mathbb{T}_{Λ_0} .

The uniform Hausdorff limits theorem

Theorem

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Example

$\mathcal{F} : X_t = t + [0, 2] \subseteq \mathbb{R}, t \in (0, \infty)$.

Since all X_t are bounded, the only associated nearest coset is $L = \{0\}$, so $L^{\mathbb{Z}} = \{0\} \neq \mathbb{R}$.

Still, for $\Lambda = \mathbb{Z}_j$ or $\Lambda = 2\mathbb{Z}$, for all t , $\pi_{\Lambda}(X_t) = \mathbb{T}_{\Lambda}$ (so all Hausdorff limits equal \mathbb{T}_{Λ}).

However, if $[\mathbb{Z} : \Lambda] \geq 3$ then all the Hausdorff limits are partial arcs on the circle \mathbb{T}_{Λ} . So, \mathcal{F} is not strongly \mathbb{Z} -dense.

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We denote by $U_n(\mathbb{R})$ the group of real upper-triangular $n \times n$ matrices with 1's on the main diagonal.

By a **unipotent group** we mean a real algebraic subgroup $G \subseteq U_n(\mathbb{R})$. It is a nilpotent group and when abelian $G \cong (\mathbb{R}^k, +)$, for some k .

A **lattice** in G is a discrete subgroup $\Lambda \subset G$ such that the quotient space G/Λ is compact.

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The nilmanifold case-reduction to the abelian case

- ▶ Let $G_{ab} := G/[G, G]$, an abelian group.
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Let $\mathcal{F} = \{X_t : t \in (0, \infty)\}$ be an \mathbb{R}_{om} -definable family of subsets of G . Then for every lattice $\Lambda \subseteq G$, \mathcal{F} is strongly Λ -dense in G/Λ if and only if $\{\pi_{ab}(X_t) : t \in (0, \infty)\}$ is strongly Λ_{ab} -dense in G_{ab}/Λ_{ab} .

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- ▶ Uniformity of the closure problem, in parameters.
- ▶ Equidistribution for $X \subseteq \mathbb{R}^n$ with $\dim X > 1$.
- ▶ Describe explicitly all the family of Hausdorff limits of a definable family \mathcal{F} (we only knew then the family is strongly Λ -dense in \mathbb{T}_Λ).
- ▶ Replace unipotent groups by reductive groups: E.g. the closure problem for definable subsets of $SL(n, \mathbb{R})$ and quotients by lattices.