

Tarski Lecture II

The interplay of o-minimality and discrete subgroups

Kobi Peterzil
U. of Haifa

Sergei Starchenko
U. of Notre Dame

April 24, 2024

Recalling the problem

Fix \mathbb{R}_{om} an o-minimal expansion of the real field.

The closure problem

Given a definable set $X \subseteq \mathbb{R}^n$ in \mathbb{R}_{om} , and a lattice $\Lambda \subseteq \mathbb{R}^n$, what is the topological closure of $\pi(X)$ in $\mathbb{T} = \mathbb{R}^n / \Lambda$?

Equivalently, what is the closure of $X + \Lambda$ in \mathbb{R}^n ?

We fixed $\mathcal{R} \succ \mathbb{R}_{\text{full}}$ which is $|\mathbb{R}|^+$ -saturated. For $S \subseteq \mathbb{R}^n$ let $S^\# = S(\mathcal{R})$.

Let $\mathcal{O} = \{\alpha \in \mathcal{R} : \exists r \in \mathbb{R} \ |\alpha| < r\}$, the ring of finite elements, and $\mu = \{\epsilon \in \mathcal{R} : \forall r \in \mathbb{R} \ |\epsilon| < r\}$, the ideal of infinitesimals.

We have $\mathcal{O} = \mathbb{R} \oplus \mu$ and denote by $\text{st}: \mathcal{O} \rightarrow \mathbb{R}$ the “standard part map”.

Fact. For $S \subseteq \mathbb{R}^n$ we have $\text{cl}(S) = \text{st}(S^\#) := \text{st}(S^\# \cap \mathcal{O}^n)$.

The non-standard formulation

What is $\text{st}(X^\# + \Lambda^\#) \subseteq \mathbb{R}^n$?

Reducing the problem

We also have, $\text{st}(X^\# + \Lambda^\#) = \bigcup_{p \in S_X(\mathbb{R})} \text{st}(p(\mathcal{R}) + \Lambda^\#)$.

Localizing the problem

For a complete o-minimal type $p \in S_n(\mathbb{R})$ and a lattice $\Lambda \subseteq \mathbb{R}^n$ describe the set $\text{st}(p(\mathcal{R}) + \Lambda^\#)$.

Remark

For any set $Y \subseteq \mathcal{R}^n$ we have $\text{st}(Y) = \text{st}(\mu + Y)$.

Theorem (Λ -linearity of types)

For any complete o-minimal type $p \in S_n(\mathbb{R})$ there are $a_p \in \mathbb{R}^n$ and a linear subspace $L_p \subseteq \mathbb{R}^n$ such that for any lattice $\Lambda \subseteq \mathbb{R}^n$ we have

$$\mu + p(\mathcal{R}) + \Lambda^\# = \mu + a_p + L_p^\# + \Lambda^\#.$$

O-minimality vs. discrete subgroups

Recall

A structure on \mathbb{R} is **o-minimal** if every definable subset of \mathbb{R} is a finite union of intervals with end points in $\mathbb{R} \cup \{\pm\infty\}$.

In particular, every definable discrete subset of \mathbb{R}^n is finite.

Thus, a lattice $\Lambda \subseteq \mathbb{R}^n$, and $\pi : \mathbb{R}^n \rightarrow \mathbb{T} = \mathbb{R}^n / \Lambda$ are **not** definable in any o-minimal structure.

So, in general, the set $X + \Lambda$ is not definable in any o-minimal structure.

How can we use o-minimality?

“Linearize” $\mu + p(\mathcal{R})$ independently of Λ .

μ -stabilizers of o-minimal types play major role.

For simplicity, we mostly consider one-dimensional types, i.e. types on o-minimal curves at ∞ .

O-minimal detour I: one dimensional types

Let $\gamma : (0, \infty) \rightarrow \mathbb{R}^n$ be an \mathbb{R}_{om} -definable curve.

For any \mathbb{R}_{om} -definable set $X \subseteq \mathbb{R}^n$ exactly one of the sets $\{t \in (0, \infty) : \gamma(t) \in X\}$ for $\{t \in (0, \infty) : \gamma(t) \in \neg X\}$ is unbounded.

Thus there is a unique complete \mathbb{R}_{om} -type over \mathbb{R} , containing all sets $\{\gamma(t) : t > r\}$, for $r \in \mathbb{R}$.

Linearizing $\mu + p(\mathcal{R})$: first step

For $p \in S_n(\mathbb{R})$, we let

$$\text{Stab}_\mu(p) = \{g \in \mathbb{R}^n : g + \mu + p(\mathcal{R}) = \mu + p(\mathcal{R})\},$$

and call it **the μ -stabilizer of p** .

Theorem (2015)

1. $\text{Stab}_\mu(p)$ is a definable subgroup (linear subspace) of \mathbb{R}^n .
2. If p is unbounded then $\dim(\text{Stab}_\mu(p)) > 0$.

An analogue holds for **any** definable group in \mathbb{R}_{om} .

The intuition

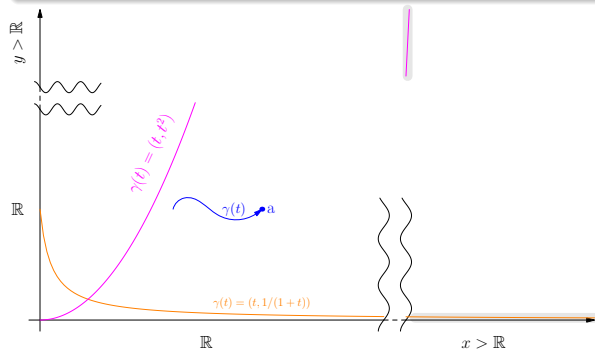
Unbounded o-minimal types are almost “flat”.

Example

Example

Below p is the type on a curve γ at ∞

- ▶ When $\lim_{t \rightarrow \infty} \gamma(t) = a$, for $a \in \mathbb{R}^2$, then $\text{Stab}_\mu(p) = \{0\}$.
- ▶ When $\gamma(t) = (t, 1/(t+1))$, then $\text{Stab}_\mu(p) = \mathbb{R} \times \{0\}$.
- ▶ When $\gamma(t) = (t, t^2)$, then $\text{Stab}_\mu(p) = \{0\} \times \mathbb{R}$.



Linearizing $\mu + p(\mathcal{R})$: The nearest coset

For a type $p \in S_n(\mathbb{R})$, consider all affine subspaces, $A = a + L \subseteq \mathbb{R}^n$ (L a linear subspace) defined over \mathbb{R} , such that $p(\mathcal{R}) \subseteq \mu + A^\sharp$.

Definition+Fact

The intersection A_p of all the above affine spaces is itself an affine space defined over \mathbb{R} , and $p(\mathcal{R}) \subseteq \mu + A_p^\sharp$. We call it **the nearest coset to p** and denote by A_p .

Note

For a type $p \in S_n(\mathbb{R})$, A_p is invariant under $\text{Stab}_\mu(p)$.

- ▶ Indeed, if $g \in \text{Stab}_\mu(p)$, $g + \mu + p(\mathcal{R}) = \mu + p(\mathcal{R})$.
- ▶ Since $p(\mathcal{R}) \subseteq \mu + A_p^\sharp$, we have

$$\mu + p(\mathcal{R}) = g + \mu + p(\mathcal{R}) \subseteq g + \mu + A_p^\sharp = \mu + (g + A_p)^\sharp.$$

- ▶ From the minimality of A_p , we conclude $g + A_p = A_p$. □

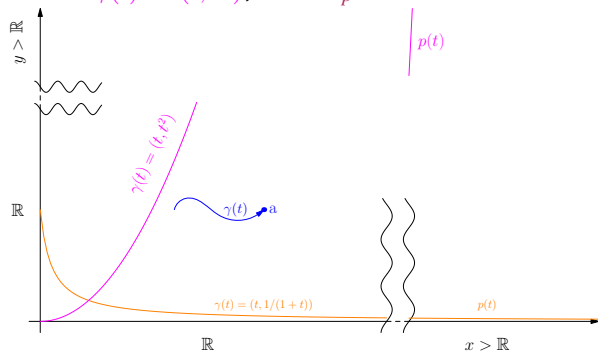
Example:

Below p is the type on a curve γ at ∞

When $\lim_{t \rightarrow \infty} \gamma(t) = a$, for $a \in \mathbb{R}^2$, then $A_p = \{0\}$.

When $\gamma(t) = (t, 1/(t+1))$, then $A_p = \mathbb{R} \times \{a\}$.

When $\gamma(t) = (t, t^2)$, then $A_p = \mathbb{R}^2$.



Notice: for $\alpha \models p$ we have $\alpha + \text{Stab}_\mu(p) \subseteq \mu + p(\mathcal{R}) \subseteq \mu + A_p^\#$.

O-minimal types are linear mod lattices

Theorem (Λ -linearity of types)

Let $p \in S_n(\mathbb{R})$, with $A_p = a_p + L_p$. Then for every lattice $\Lambda \subseteq \mathbb{R}^n$,

$$\mu + p(\mathcal{R}) + \Lambda^\sharp = \mu + a_p + L_p^\sharp + \Lambda^\sharp.$$

Proof.

- ▶ If $p(\mathcal{R})$ is bounded, i.e. $p(\mathcal{R}) \subseteq a + \mu$ for some $a \in \mathbb{R}^n$, then $A_p = \{a\}$ and the theorem follows.
- ▶ Assume $p(\mathcal{R})$ is unbounded. Then $\text{Stab}_\mu(p)$ is infinite, and both sides are invariant under $\text{Stab}_\mu(p)$.
- ▶ Use factorization by $\text{Stab}_\mu(p)^\Lambda$ to reduce dimension.



Back to the closure theorem

Corollary (First Main Step)

If $X \subseteq \mathbb{R}^n$ is definable then for any lattice $\Lambda \subseteq \mathbb{R}^n$,

$$\begin{aligned} \text{cl}(X + \Lambda) &= \text{st}(X^\sharp + \Lambda^\sharp) = \bigcup_{p \in S_X(\mathbb{R})} \text{st}(p(\mathcal{R}) + \Lambda^\sharp) = \\ &= \bigcup_{p \in S_X(\mathbb{R})} \text{st}(p(a_p + L_p^\sharp + \Lambda^\sharp)) = \bigcup_{p \in S_X(\mathbb{R})} \text{cl}(a_p + L_p + \Lambda) = \\ &= \bigcup_{p \in S_X(\mathbb{R})} (a_p + L_p^\Lambda + \Lambda). \end{aligned}$$

Next step:

Simplify

$$\bigcup_{p \in S_X(\mathbb{R})} (a_p + L_p^\Lambda + \Lambda).$$

The definability of the collection of nearest cosets

Let $X \subseteq \mathbb{R}^n$ be definable in \mathbb{R}_{om} . Applying the theory of **Tame Pairs** (v.d. Dries), we obtain

Theorem

The family of nearest cosets $\{A_p : p \in S_X(\mathbb{R})\}$ is definable in \mathbb{R}_{om} .

Corollary (Definability)

*There is a definable family of affine subspaces of \mathbb{R}^n , $\{a_t + L_t : t \in T\}$ (depending only on X) such that for **any** lattice $\Lambda \subseteq \mathbb{R}^n$,*

$$\text{cl}(X + \Lambda) = \bigcup_{t \in T} (a_t + L_t^\Lambda + \Lambda).$$

The closure theorem

Using Baire Category Theorem and o-minimal cell decomposition, we conclude:

Theorem

Let $X \subseteq \mathbb{R}^n$ be closed, definable in \mathbb{R}_{om} .

Then there are infinite \mathbb{R} -subspaces $L_1, \dots, L_r \subseteq \mathbb{R}^n$, and definable closed sets $C_1, \dots, C_r \subseteq \mathbb{R}^n$ such that for every lattice $\Lambda \subseteq \mathbb{R}^n$

$$\text{cl}_{\mathbb{R}^n}(X + \Lambda) = \left[X \cup \bigcup_{i=1}^r (L_i^\Lambda + C_i) \right] + \Lambda.$$

Hence for $\pi : \mathbb{R}^n \rightarrow \mathbb{T} = \mathbb{R}^n / \Lambda$ we have

$$\text{cl}_{\mathbb{T}}(\pi(X)) = \pi(X) \cup \bigcup_{i=1}^r (T_i + \pi(C_i)),$$

where $T_i = \pi(L_i^\Lambda)$ are real subtori of \mathbb{T} .

Corollary

Given $X \subseteq \mathbb{R}^n$ definable in \mathbb{R}_{om} , and a lattice $\Lambda \subseteq \mathbb{R}^n$, there is an \mathbb{R}_{om} -definable set $Y_\Lambda \subseteq \mathbb{R}^n$, such that

$$\text{cl}(\pi(X)) = \pi(Y_\Lambda).$$

Addendum: on equidistribution

Let $\mathbb{T} = \mathbb{R}^n / \mathbb{Z}^n$ be a torus and $\pi: \mathbb{R}^n \rightarrow \mathbb{T}$ the projection map.

Let $\gamma(t): \mathbb{R}^{\geq 0} \rightarrow \mathbb{R}^n$ be a definable curve in \mathbb{R}_{om} , and for $R \geq 0$ let $\gamma_R = \gamma \cap B(0, R)$.

For $X \subseteq \mathbb{T}$ let $\mu_{\gamma, R}(X) = \frac{\text{length of } (\gamma_R \cap \pi^{-1}(X))}{\text{length of } \gamma_R}$.

Each $\mu_{\gamma, R}$ is a probability measure on \mathbb{T} .

Theorem (P-S, Ulmo-Yafaev for semialgebraic curves)

Assume \mathbb{R}_{om} is *polynomially bounded*.

Then $\text{cl}_{\mathbb{T}}(\pi(\gamma)) = \mathbb{T}$ **if and only if**

$$\lim_{R \rightarrow \infty} \mu_{\gamma, R} = \mu_{\mathbb{T}},$$

where $\mu_{\mathbb{T}}$ is the Haar measure on \mathbb{T} .

Namely, $\pi(\gamma)$ is dense in \mathbb{T} iff it is “equidistributed” in \mathbb{T} .

Equidistribution fails in general o-minimal structures.

Example

Let $\gamma(t): \mathbb{R}^{\geq 0} \rightarrow \mathbb{R}^2$ be given by $x = t, y = e^t$.

Then $\pi(\gamma)$ is dense in $\mathbb{T} = \mathbb{R}^2/\mathbb{Z}^2$.

But, the family of measures $\mu_{\gamma,R}$ does not converge (as R goes to infinity).