

Topological closure of algebraic and o-minimal flows in complex tori

Kobi Peterzil
(joint work with S. Starchenko)

Department of Mathematics
University of Haifa

Padova, September 2017

The setting and problem

- ▶ Let $\Lambda = \bigoplus_{i=1}^{2n} \mathbb{Z}\omega_i$ be a lattice in \mathbb{C}^n . I.e. $\{\omega_1, \dots, \omega_{2n}\}$ is a basis for the real vector space \mathbb{C}^n .
- ▶ Let T be the quotient group \mathbb{C}^n/Λ .
- ▶ The group T admits the structure of a compact complex Lie group, an n -dimensional complex torus.
(If Λ satisfies the **Riemann period relations** then T admits also the structure of a projective algebraic group, i.e. *an abelian variety*. E.g. when $n = 1$, T is an elliptic curve)
- ▶ Let $\pi : \mathbb{C}^n \rightarrow T$ be the quotient map. It is a holomorphic group homomorphism and $\ker(\pi) = \Lambda$. π is a **transcendental map**.

The problem

Given a “tame” set $X \subseteq \mathbb{C}^n$, what can we say about $\pi(X) \subseteq T$? More precisely, what is the closure of $\pi(X)$ in T ?

A related result-the Zariski closure

Assume that T is an abelian variety, so algebraic in some $\mathbb{P}^k(\mathbb{C})$.

“Ax-Lindemann-Weierstrass”

If $X \subseteq \mathbb{C}^n$ is an irreducible algebraic variety then the **Zariski closure** of $\pi(X)$ is a coset of an abelian subvariety of T . I.e., $\pi(X) = V + a$, where $V \leq A$ is an algebraic subgroup and $a \in A$.

Ullmo-Yafaev's question-the topological closure

We have $\pi : \mathbb{C}^n \rightarrow T$ (a torus), with $\Lambda = \ker(\pi)$ a lattice in \mathbb{C}^n .

For $X \subseteq \mathbb{C}^n$ algebraic, or definable in an o-minimal structure.
What is the **topological closure** of $\pi(X)$ in T ?

Observation

The closure of $\pi(X)$ in T equals $\pi(\text{cl}_{\mathbb{C}^n}(\Lambda + X))$.

Indeed, this follows from:

- ▶ π is continuous.
- ▶ π is a quotient map.

The new question

What is the (topological) closure of $\Lambda + X$ (in \mathbb{C}^n) ?

Some pictures

An important example

- ▶ Assume that $V \subseteq \mathbb{C}^n$ is an \mathbb{C} -subspace (or even an \mathbb{R} -subspace).
- ▶ Then $\text{cl}(\Lambda + V)$ is a real Lie subgroup of \mathbb{C}^n !
- ▶ Its connected component is an \mathbb{R} -subspace, with a basis in Λ . Denote it by V^\wedge . It is the smallest \mathbb{R} -subspace of \mathbb{C}^n , containing V with a basis in Λ .
- ▶ $\pi(V^\wedge)$ is a (closed) real subtorus of T .

Theorem-algebraic case

Theorem

Let $X \subseteq \mathbb{C}^n$ be an algebraic subvariety. Then there are \mathbb{C} -subspaces $V_1, \dots, V_r \subseteq \mathbb{C}^n$ of positive dimension, and algebraic varieties $C_1, \dots, C_r \subseteq \mathbb{C}^n$ such that for every lattice $\Lambda \subseteq \mathbb{C}^n$,

1. $Cl(\Lambda + X) = (\Lambda + X) \cup \bigcup_{i=1}^r (\Lambda + V_i^\Lambda + C_i)$. I.e.
 $Cl(\pi(X)) = \pi(X) \cup \bigcup_{i=1}^r (T_i + \pi(C_i))$, for T_i real subtori of T .
2. For each $i = 1, \dots, r$, $\dim C_i < \dim X$.
3. If V_i is maximal among V_1, \dots, V_r then C_i is finite.

In particular, if $\dim X = 1$ (i.e. X is a complex curve) then each C_i is finite, so

$$Cl(\pi(X)) = \pi(X) \cup \bigcup_{i=1}^r T_i + a_i, \text{ for } a_i \in T$$

(a theorem of Ullmo-Yafaev).

Theorem-the O-minimal case

The real setting

For Λ a lattice in \mathbb{R}^n , $\pi : \mathbb{R}^n \rightarrow T$ is the quotient map on a compact real torus.

Theorem

Let $X \subseteq \mathbb{R}^n$ be a closed definable set in an o-minimal structure. Then there are \mathbb{R} -subspaces $V_1, \dots, V_r \subseteq \mathbb{R}^n$ of positive dimension, and definable closed sets $C_1, \dots, C_r \subseteq \mathbb{R}^n$ such that for every lattice $\Lambda \subseteq \mathbb{R}^n$,

1. $Cl(\Lambda + X) = (\Lambda + X) \cup \bigcup_{i=1}^r (\Lambda + V_i^\wedge + C_i)$. I.e.
 $Cl(\pi(X)) = \pi(X) \cup \bigcup_{i=1}^r (T_i + \pi(C_i))$, for T_i real subtori of $T = \mathbb{R}^n/\Lambda$.
2. For each $i = 1, \dots, r$, $\dim_{\mathbb{R}} C_i < \dim_{\mathbb{R}} X$.
3. If V_i is maximal among V_1, \dots, V_r then C_i is bounded, (so πC_i closed).

A non-standard view point

- ▶ Let \mathcal{R} be an $(2^{\aleph_0})^+$ -saturated extension of **all structure** on \mathbb{R} . In particular, $\langle \mathbb{R}, <, +, \cdot \rangle \prec \langle \mathcal{R}, <, +, \cdot \rangle$.
- ▶ For $B \subseteq \mathbb{R}^n$, we let B^\sharp be its realization in \mathcal{R} .
- ▶ Let \mathcal{O} be the convex hull of \mathbb{R} in \mathcal{R} , a valuation ring.
- ▶ Let $\mu = \{\epsilon \in \mathcal{O} : \forall r \in \mathbb{R} |\epsilon| < r\}$ be the infinitesimals.
- ▶ Let $\text{st} : \mathcal{O} \rightarrow \mathbb{R}$ be the residue map, we also write $\text{st} : \mathcal{O}^n \rightarrow \mathbb{R}^n$. For $Y \subseteq \mathcal{R}^n$, let

$$\text{st}(Y) := \text{st}(\mathcal{O}^n \cap Y).$$

- ▶ **Note:** If $B \subseteq \mathbb{R}^n$ then $\text{st}(B^\sharp) =$ the closure of B .

The ACF point of view

- ▶ $\hat{\mathcal{O}} := \mathcal{O} + i\mathcal{O}$ is a valuation ring in the ACF $\hat{\mathcal{R}} = \mathcal{R}(i)$. $\hat{\mu} := \mu + i\mu$ a maximal ideal. $\text{st} : \hat{\mathcal{O}} \rightarrow \mathbb{C}$ the residue map.

The non-standard formulation of the problem

1. For $X \subseteq \mathbb{C}^n$ an algebraic variety, what is $\text{st}(\Lambda^\sharp + X^\sharp) \subseteq \mathbb{C}^n$?
2. For $X \subseteq \mathbb{R}^n$ definable in an o-minimal structure, what is $\text{st}(\Lambda^\sharp + X^\sharp) \subseteq \mathbb{R}^n$?

Fix $\bar{\mathbb{R}}$ an o-minimal expansion of \mathbb{R} . For $\alpha \in \mathcal{R}^n$, let $tp(\alpha/\mathbb{R})$ denote the o-minimal type of α over \mathbb{R} .

$$\text{st}(\Lambda^\sharp + X^\sharp) = \bigcup_{\alpha \in X^\sharp} \text{st}(\Lambda^\sharp + \alpha).$$

We may divide this union into complete types.

$$\text{st}(\Lambda^\sharp + X) = \bigcup_{p \in S_X(\mathbb{R})} \text{st}(\Lambda^\sharp + p(\mathcal{R})).$$

Here $S_X(\mathbb{R})$ is the space of complete o-minimal types in X over \mathbb{R} .

Question For $p \in S_X(\mathbb{R})$, what is $\text{st}(\Lambda^\sharp + p)$?

The μ -stabilizer of a type

For p an o-minimal n -type over \mathbb{R} , we let

$$\text{Stab}_\mu(p) = \{g \in \mathbb{R}^n : g + \mu + p(\mathcal{R}) = \mu + p(\mathcal{R})\}.$$

Theorem-PS 2015

1. The group $\text{Stab}_\mu(p)$ is a definable subgroup of \mathbb{R}^n .
2. The dimension of $\text{Stab}_\mu(p)$ is at most $\dim p$.
3. If p is unbounded then $\dim(\text{Stab}_\mu(p)) > 0$.

Examples

- A.** If p is a bounded type (hence, infinitesimally close to an algebraic type) then $\text{Stab}_\mu(p) = \{0\}$.
- B.** If p a type at infinity on a curve that has $y = mx + b$ as an asymptote then $\text{Stab}_\mu(p) = \{(x, mx) : x \in \mathbb{R}\}$
- C.** If p is the type at $+\infty$ of (x, x^2) then $\text{Stab}_\mu(p) = \{0\} \times \mathbb{R}$.

μ -stabilizers and the closure of $\Lambda + X$

We saw that $\text{cl}(\Lambda + X) = \bigcup_{p \in S_X(\mathbb{R})} \text{st}(\Lambda + p(\mathcal{R}))$.

Fact

For every type p , the set $\text{st}(\Lambda^\sharp + p)$ contains a translate of the linear space $\text{Stab}_\mu(p) \subseteq \mathbb{R}^n$.

Proof

- ▶ We show that $\text{st}(\Lambda^\sharp + X^\sharp)$ is invariant under $\text{Stab}_\mu(p)$.
- ▶ Take $g \in \text{Stab}_\mu(p)$, and assume $a \in \text{st}(\Lambda^\sharp + p)$.
- ▶ I.e. $a = \text{st}(\lambda^* + \alpha)$, for $\lambda^* \in \Lambda^\sharp$ and $\alpha \models p$.
- ▶ Since $g \in \mathbb{R}^n$, $g + a = \text{st}(g + \lambda^* + \alpha) =$
- ▶ $\text{st}(\lambda^* + g + \alpha) = \text{st}(\lambda^* + \epsilon + \alpha')$, for $\epsilon \in \mu$ and $\alpha' \models p$.
- ▶ Hence, $g + a = \text{st}(\lambda^* + \alpha') \in \text{st}(\Lambda^\sharp + p)$. □

back to example

The set $X = \{(x, x^2) : x \in \mathbb{R}\}$. The type $tp((\alpha, \alpha^2)/\mathbb{R})$.

It follows that for any lattice Λ , $\text{cl}(\Lambda + X)$ contains a coset of $\{0\} \times \mathbb{R}$.

Asymptotic affine spaces

Given $\alpha \in \mathcal{R}^n$, consider all affine subspaces, $A = V + a \subseteq \mathbb{R}^n$ defined over \mathbb{R} , such that $(\alpha + \mu) \cap A \neq \emptyset$ i.e., α is infinitesimally close to A .

Definition+Fact

Let A_α be the intersection of all the above affine spaces. Then A_α is itself an affine space defined over \mathbb{R} , and $(\alpha + \mu) \cap A_\alpha \neq \emptyset$.

Example

- ▶ When $\alpha \sim a \in \mathbb{R}^2$, then $A_\alpha = \{a\}$.
- ▶ When $\alpha = (t, 1/t)$, for $t \gg 0$. $A_\alpha = \mathbb{R} \times \{0\}$.
- ▶ When $\alpha = (s, s^2)$, $s \gg 0$, $A_\alpha = \mathbb{R}^2$.

Note

For $p = tp(\alpha/\mathbb{R})$, A_α contains a coset of $Stab_\mu(p)$.

An important ingredient

Theorem

For any type $p = tp(\alpha/\mathbb{R})$, and for any lattice Λ ,

$$\text{st}(\Lambda^\sharp + p(\mathcal{R})) = \text{cl}(\Lambda + A_\alpha).$$

So, if $A_\alpha = V_\alpha + a_\alpha$ then

$$\text{st}(\Lambda^\sharp + p(\mathcal{R})) = \Lambda + V_\alpha^\wedge + a_\alpha.$$

Corollary

If $X \subseteq \mathbb{R}^n$ is definable then for any lattice Λ ,

$$\text{cl}(\Lambda + X) = \bigcup_{p \in S_X(\mathbb{R})} \text{st}(\Lambda^\sharp + p(\mathcal{R})) = \bigcup_{\alpha \in X(\mathcal{R})} (\Lambda + V_\alpha^\wedge + a_\alpha).$$

The definability of $\mathcal{A}(X)$

We consider the family of affine spaces $\mathcal{A}(X) = \{A_\alpha : \alpha \in X(\mathcal{R})\}$. We also consider the pair of structures $(\bar{\mathcal{R}}, \bar{\mathbb{R}})$. It is a *Tame pair* (v.d. Dries).

Theorem

1. Every subset of \mathbb{R}^n that is definable in $(\bar{\mathcal{R}}, \bar{\mathbb{R}})$ is definable in $\bar{\mathbb{R}}$ (v.d. Dries).
2. The family $\mathcal{A}(X)$ is definable in $(\mathcal{R}, \bar{\mathbb{R}})$ (exercise). So, definable in $\bar{\mathbb{R}}$

Corollary

There is a definable family of affine spaces $\{V_t + a_t : t \in T\}$ (depending only on X), such that for any lattice $\Lambda \subseteq \mathbb{R}^n$,

$$\text{cl}(\Lambda + X) = \bigcup_{t \in T} (\Lambda + V_t^\Lambda + a_t).$$

The final step-obtaining finitely many subspaces

Using Baire Category Theorem, we obtain:

Theorem

Given a definable family of affine subspaces $\{V_t + a_t : t \in T\}$, there are finitely many linear spaces V_1, \dots, V_r , and a partition $T = T_1 \cup \dots \cup T_r$, such that for every lattice Λ and $i = 1, \dots, r$, the set $\bigcup_{t \in T_i} (\Lambda + V_t)$ is dense in $\Lambda + V_i^\Lambda$.

The main theorem follows:

$$\text{cl}(\Lambda + X) = \bigcup_{i=1}^r (\Lambda + V_i^\Lambda + C_i),$$

where $C_i = \{a_t : t \in T_i\}$.

The algebraic case

When $X \subseteq \mathbb{C}^n$ is an algebraic variety we use

- ▶ The algebraically closed valued field $\langle \hat{\mathcal{R}}, +, \cdot, \hat{\mathcal{O}} \rangle$.
- ▶ Affine \mathbb{C} -sets that are μ -close to $\alpha \in X(\hat{\mathcal{R}})$.
- ▶ A theorem on ACVF with a section (Delon), instead of Tame pairs.

What about other groups and discrete subgroups?

Work in progress

When we weaken the lattice assumption

Theorem

Let Λ be a discrete subgroup of \mathbb{R}^n and let $X \subseteq \mathbb{R}^n$ be a definable set in an o-minimal structure. Let $V_0 \subseteq \mathbb{R}^n$ be the real subspace generated by Λ (when Λ a lattice, $V_0 = \mathbb{R}^n$).

Then

$$\text{cl}(\Lambda + X) = \bigcup_{\alpha \in X(\mathcal{R}) \cap (V_0 + \mathcal{O})} \text{cl}(\Lambda + A_\alpha).$$

When we replace \mathbb{R}^n by any real algebraic commutative group

Theorem

An analogous theorem for lattices and definable sets in arbitrary **commutative** real algebraic groups.