

# Optimal Non-Preemptive Semi-Online Scheduling on Two Related Machines

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## Proposed Running Head:

Non-Preemptive Semi-Online Scheduling

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**Abstract.** We consider the following non-preemptive semi-online scheduling problem. Jobs with non-increasing sizes arrive one by one to be scheduled on two uniformly related machines, with the goal of minimizing the makespan. We analyze both the optimal overall competitive ratio, and the optimal competitive ratio as a function of the speed ratio ( $q \geq 1$ ) between the two machines. We show that the greedy algorithm LPT has optimal competitive ratio  $\frac{1}{4}(1 + \sqrt{17}) \approx 1.28$  overall, but does not have optimal competitive ratio for every value of  $q$ . We determine the intervals of  $q$  where LPT is an algorithm of optimal competitive ratio, and design different algorithms of optimal competitive ratio for the intervals where it fails to be the best algorithm. As a result, we give a tight analysis of the competitive ratio for every speed ratio.

**Keywords:** scheduling, makespan, semi-online, competitive ratio, LPT.

# 1 Introduction

*The Problem.* In this paper we study non-preemptive semi-online scheduling on two uniformly related machines. In the model of uniformly related machines, each machine has a *speed* and each job has a *size* which is the time it takes to complete it on a machine with unit speed. The jobs arrive one by one in order of non-increasing sizes. Each job must be assigned to one of the machines without any knowledge of future jobs (except for a bound on their size that follows from the size of the current job). Since the jobs are known to have non-increasing sizes, the problem cannot be seen as on-line but semi-online. We study the non-preemptive case, where it is not allowed to split a job in more parts and run the various parts on different machines. The goal is to minimize the *makespan*, i.e., the latest completion time of any job.

The processing time of a job on a given machine is also called the *load* of the job on that machine. The load of a machine is the sum of the loads of the jobs assigned to it. Thus, the makespan is the maximum load of any machine.

Since we study the case of two machines, the important parameter is the speed ratio  $q \geq 1$  between the two machines. Without loss of generality, we assume that the faster machine has speed 1, and the other machine has speed  $\frac{1}{q}$ . We denote the faster machine by  $M_1$  and the other machine by  $M_q$ .

*Preliminaries.* The quality of a semi-online algorithm, similarly to on-line algorithms, is measured by the *competitive ratio* which is the worst case ratio of the cost (the makespan, in this paper) of the semi-online algorithm to the cost of an optimal off-line algorithm which knows the whole sequence in advance.

The semi-online algorithm under consideration as well as its makespan is denoted by SONL. Similarly, the optimal off-line algorithm as well as its makespan is denoted by OPT. Thus, the competitive ratio of an algorithm SONL is

$$C = \inf\{c \mid \text{SONL} \leq c \cdot \text{OPT}, \text{ for any input sequence}\}.$$

For any  $c \geq C$ , SONL is said to be *c-competitive*.

The greedy algorithm LPT (Longest Processing Time first) was originally designed by Graham [6] for off-line scheduling on identical machines. It sorts the jobs by non-increasing sizes and schedules them one by one on the least loaded machine. This algorithm also works for the semi-online version where the jobs arrive in order of non-increasing sizes. The natural extension for uniformly related machines is as follows:

*Algorithm LPT:* Assign each arriving job  $J$  (of size  $p$ ) to the machine that would finish it first. Formally, for each machine  $i$  let  $L_i$  be its load before the arrival of  $J$ . The job  $J$  is assigned to the fastest machine  $i$  for which  $L_i + \frac{p}{s_i}$  is minimized.

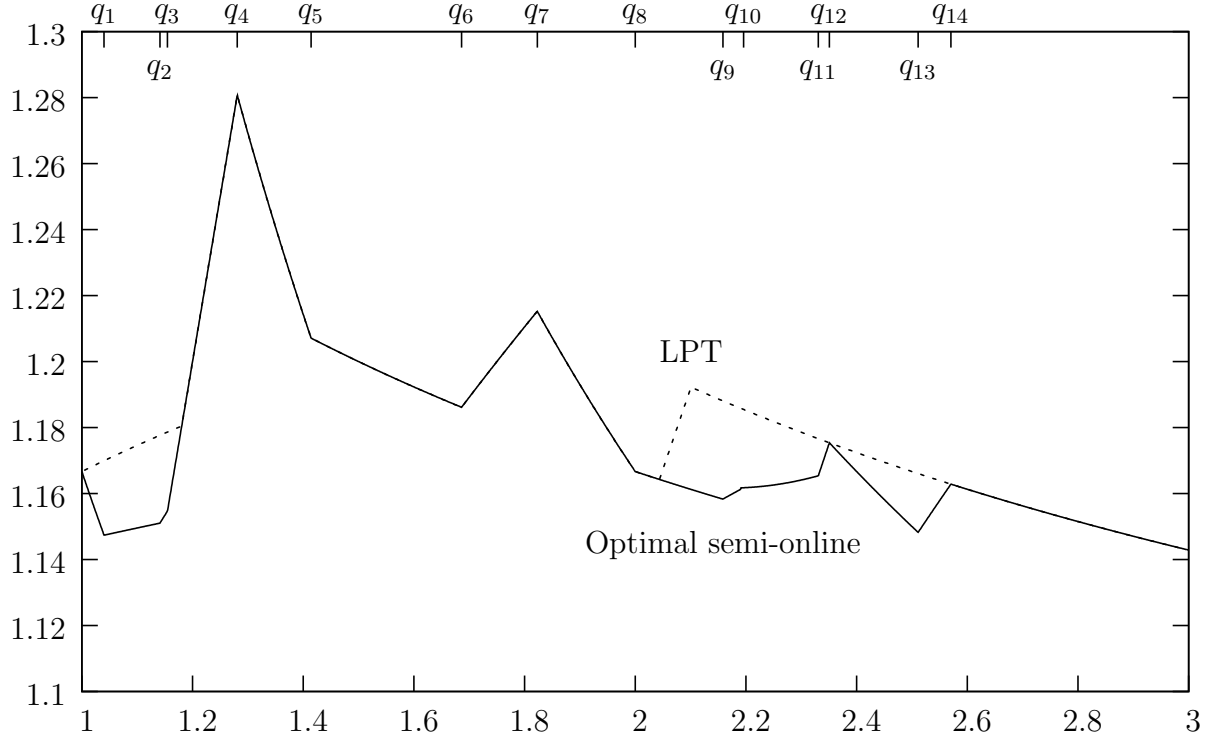
*Previous Work.* All previous study of this problem on non-identical machines involves a study of the LPT algorithm. For two machines, Mireault, Orlin and Vohra [7] give a complete analysis of LPT as a function of the speed ratio. They show that the interval  $q \geq 1$  is partitioned into nine intervals, and introduce a function which gives the competitive ratio in each interval (they consider the off-line problem, so they do not use the term competitive ratio). Some properties of LPT were already shown earlier. Graham [6] shows that the exact approximation ratio of LPT is  $\frac{7}{6}$  for two identical machines. Seiden, Sgall and Woeginger [8] show that this is tight, i.e., LPT has the best possible competitive ratio for the problem. For two related machines, [5] shows that for any speed ratio, the performance ratio of LPT is at most  $\frac{1}{4}(1 + \sqrt{17}) \approx 1.28$ .

For  $m$  identical machines, Graham [6] shows that the exact approximation ratio of LPT is  $\frac{4}{3} - \frac{1}{3m}$ . For three machines, [8] gives a general lower bound of  $\frac{1}{6}(1 + \sqrt{37}) \approx 1.18$ . For a general setting of  $m$  related machines, Friesen [4] shows that the overall approximation ratio of LPT is between 1.52 and  $\frac{5}{3}$ . Dobson [2] claims to improve the upper bound to  $\frac{19}{12} \approx 1.58$ . Unfortunately, his proof does not seem to be complete.

*Our Results.* In this paper we give the exact competitive ratio as a function of the speed ratio  $q$  for semi-online non-preemptive scheduling on two related machines with non-increasing job sizes (see Figure 1). The function involves 15 distinct intervals, as defined in Theorem 1.

In some of those intervals, we give general lower bounds which match the upper bounds in [7]. In those cases, LPT is an optimal semi-online algorithm. In the other intervals, we design new algorithms and prove matching general lower bounds. We show that, in terms of overall competitive ratio,  $\frac{1}{4}(1 + \sqrt{17})$  is the optimal competitive ratio achieved at  $q = \frac{1}{4}(1 + \sqrt{17})$  by LPT.

It is interesting to examine our results in the view of the results for on-line scheduling on two related machines. Unlike our problem, for that problem, LPT is optimal for all  $q \geq 1$ . The overall competitive ratio is  $\phi$  ( $\phi \approx 1.618$  is the golden ratio). For  $q \leq \phi$  the competitive ratio is  $1 + \frac{q}{q+1}$  and the competitive ratio for  $q \geq \phi$  is  $1 + \frac{1}{q}$ . There are only two distinct intervals and the worst competitive ratio is achieved at  $\phi$ . Surprisingly, for both problems, the highest competitive ratio is equal to the value of  $q$  for which it is achieved. The upper bounds, as well as the overall lower bound are given in [1], the other lower bounds are given in [3].



**Fig. 1.** The competitive ratio as a function of  $q$

## 2 The Function

**Theorem 1.** *The optimal competitive ratio for semi-online scheduling on two related machines (with speed ratio  $q \geq 1$ ) is described by the following function depicted in Figure 1.*

$$C(q) = \begin{cases} C_1(q), & 1 \leq q \leq q_1 \approx 1.0401 \\ C_2(q), & q_1 \leq q \leq q_2 \approx 1.1410 \\ C_3(q), & q_2 \leq q \leq \sqrt{\frac{4}{3}} \approx 1.1547 \\ C_4(q), & \sqrt{\frac{4}{3}} \leq q \leq \frac{1}{4}(1 + \sqrt{17}) \approx 1.2808 \\ C_5(q), & \frac{1}{4}(1 + \sqrt{17}) \leq q \leq \sqrt{2} \approx 1.4142 \\ C_6(q), & \sqrt{2} \leq q \leq \frac{1}{4}(1 + \sqrt{33}) \approx 1.6861 \\ C_7(q), & \frac{1}{4}(1 + \sqrt{33}) \leq q \leq \frac{1}{2}(1 + \sqrt{7}) \approx 1.8229 \\ C_8(q), & \frac{1}{2}(1 + \sqrt{7}) \leq q \leq 2 \\ C_9(q), & 2 \leq q \leq \frac{1}{2}(1 + \sqrt{11}) \approx 2.1583 \end{cases}$$

$$C(q) = \begin{cases} C_{10}(q), & \frac{1}{2}(1 + \sqrt{11}) \leq q \leq q_{10} \approx 2.1956 \\ C_{11}(q), & q_{10} \leq q \leq q_{11} \approx 2.3307 \\ C_{12}(q), & q_{11} \leq q \leq \frac{1}{4}(3 + \sqrt{41}) \approx 2.3508 \\ C_{13}(q), & \frac{1}{4}(3 + \sqrt{41}) \leq q \leq q_{13} \approx 2.5111 \\ C_{14}(q), & q_{13} \leq q \leq q_{14} \approx 2.5704 \\ C_{15}(q), & q \geq q_{14}, \end{cases}$$

$$\begin{aligned} C_1(q) &= \frac{2}{3} + \frac{1}{2q}, & C_2(q) &= 1 + \frac{1}{2} \left( 4q^2 + 4q - 1 - \sqrt{(4q^2 + 4q - 1)^2 - 4q^2} \right), \\ C_3(q) &= \frac{6q + 4}{3q + 6}, & C_4(q) &= q, & C_5(q) &= \frac{1}{2} + \frac{1}{q}, \\ C_6(q) &= 1 + \frac{1}{2q + 2}, & C_7(q) &= \frac{2q + 1}{q + 2}, & C_8(q) &= \frac{2}{3} + \frac{1}{q}, \\ C_9(q) &= 1 + \frac{1}{2q + 2}, & C_{10}(q) &= \frac{3q + 2}{2q + 3}, & C_{11}(q) &= \frac{q^2 + 3 + \sqrt{q^4 - 6q^2 + 24q + 9}}{6q}, \\ C_{12}(q) &= \frac{q}{2}, & C_{13}(q) &= \frac{3}{4} + \frac{1}{q}, & C_{14}(q) &= 1 + \frac{q^2 + 2q - 2 - \sqrt{q^4 + 8q + 4}}{2q + 4}, \\ C_{15}(q) &= 1 + \frac{1}{2q + 1}, \end{aligned}$$

$q_1$  is the largest real root of  $84q^4 - 24q^3 - 80q^2 + 6q + 9$ ,

$q_2$  is the largest real root of  $27q^4 + 48q^3 - 54q^2 - 48q + 8$ ,

$q_{10}$  is the smallest real root of  $3q^4 - 9q^3 - 8q^2 + 21q + 18$ ,

$q_{11}$  is the largest real root of  $q^3 - 2q - 8$ ,

$q_{13}$  is the largest real root of  $20q^4 - 39q^3 - 46q^2 + 32q + 32$ ,

$q_{14}$  is the largest real root of  $4q^4 - 6q^3 - 12q^2 + q + 4$ .

Note that the function  $C(q)$  attains its maximum value of  $\frac{1}{4}(1 + \sqrt{17})$  at  $q = \frac{1}{4}(1 + \sqrt{17})$ . This is the competitive ratio obtained by LPT [5]. Hence, in terms of overall competitive ratio, LPT is optimal (see also the proof of the lower bound of intervals 4 and 5 in section 5).

The proof of the upper bound is given in Section 4 and the proof of the lower bound in Section 5.

### 3 Properties and Assumptions

In this section we describe a few facts and assumptions used in the upper bound analysis.

We assume without loss of generality that  $\text{OPT} = 1$ . Thus, the ratio  $\frac{\text{SONL}}{\text{OPT}} = \text{SONL}$ . For a given input sequence, we denote the total size of jobs by  $P$ . Note that  $P \leq 1 + \frac{1}{q} = \frac{q+1}{q}$  always holds, since the total size of jobs scheduled by OPT is at most 1 on  $M_1$  and at most  $\frac{1}{q}$  on  $M_q$ .

For the first  $k$  jobs of an input sequence, we denote the makespan of the semi-online algorithm by  $\text{SONL}_k$ . The jobs in a sequence are denoted  $J_1, J_2, \dots, J_\ell$  and their sizes are denoted  $p_1, p_2, \dots, p_\ell$ . Thus,  $J_\ell$  is the last job and  $p_1 \geq p_2 \geq \dots \geq p_\ell$ .

In our lower bound proofs we consider only minimal worst-case sequences, i.e., w.l.o.g. we assume that the makespan is determined by the last (and hence the smallest) job of the sequence:  $\text{SONL} > \text{SONL}_{\ell-1}$ .

**Lemma 1.** *For a given input sequence and a given semi-online algorithm, assume that  $J_\ell$  is scheduled according to the LPT rule and that  $\text{SONL} > \text{SONL}_{\ell-1}$ . Let  $P_1^{\ell-1}$  and  $P_q^{\ell-1}$  be the total size of jobs assigned to  $M_1$  and  $M_q$ , respectively, just before the arrival of  $J_\ell$ . Then,*

$$\text{SONL} = \min\{P_1^{\ell-1} + p_\ell, q(P_q^{\ell-1} + p_\ell)\}.$$

*Proof.* LPT schedules  $J_\ell$  on a machine such that the resulting load on that machine is minimized. By the assumption that  $J_\ell$  determines the makespan, the final makespan is equal to the load on the machine running  $J_\ell$ .  $\square$

The following lemma appears in [7]. For completeness, we prove it here as well.

**Lemma 2.** *For a given input sequence and a given semi-online algorithm SONL, assume that the last job  $J_\ell$  is scheduled according to the LPT rule and that  $\text{SONL} > \text{SONL}_{\ell-1}$ . Then*

$$\text{SONL} \leq 1 + \frac{q}{q+1}p_\ell.$$

*Proof.* Let  $P_q^{\ell-1}$  and  $P_1^{\ell-1}$  denote the total size of jobs assigned to the slow and the fast machine, respectively, just before the last job is assigned. Note that  $P = P_q^{\ell-1} + P_1^{\ell-1} + p_\ell$ . By Lemma 1, the final makespan is  $\min\{q(P_q^{\ell-1} + p_\ell), P_1^{\ell-1} + p_\ell\}$ . This is bounded by the convex combination

$$\frac{1}{q+1}q(P_q^{\ell-1} + p_\ell) + \frac{q}{q+1}(P_1^{\ell-1} + p_\ell) = \frac{q}{q+1}(P_q^{\ell-1} + P_1^{\ell-1} + 2p_\ell) = \frac{q}{q+1}(P + p_\ell) \leq 1 + \frac{q}{q+1}p_\ell.$$

$\square$

We will sometimes use Lemma 2 in the following form.

**Corollary 1.** *For a given input sequence and a given semi-online algorithm SONL, assume that the last job  $J_\ell$  is scheduled according to the LPT rule and that  $\text{SONL} > \text{SONL}_{\ell-1}$ .*

$$\text{If } \text{SONL} > C, \text{ then } p_\ell > (C - 1) \frac{q + 1}{q}.$$

**Lemma 3.** *For a given input sequence and a given semi-online algorithm, assume that  $J_\ell$  is scheduled according to the LPT rule and that  $\text{SONL} > \text{SONL}_{\ell-1}$ . Let  $k \in \mathbb{N}$ .*

$$\text{If } \text{SONL} > 1 + \frac{1}{(k+1)(q+1)}, \text{ then OPT runs at most } k \text{ jobs on } M_q.$$

$$\text{If } \text{SONL} > 1 + \frac{q}{(k+1)(q+1)}, \text{ then OPT runs at most } k \text{ jobs on } M_1.$$

*Proof.* We prove the contrapositive.

If OPT schedules at least  $k+1$  jobs on  $M_1$ , the smallest of these  $k$  jobs has size at most  $\frac{1}{k+1}$ . Thus,  $p_\ell \leq \frac{1}{k+1}$ , and, using Lemma 2, we get  $\text{SONL} \leq 1 + \frac{q}{q+1} \frac{1}{k+1}$ .

Similarly, if at least  $k+1$  jobs are scheduled on  $M_q$ ,  $p_\ell \leq \frac{1}{q(k+1)}$ . Using Lemma 2, we get  $\text{SONL} \leq 1 + \frac{q}{q+1} \frac{1}{q(k+1)} = 1 + \frac{1}{(q+1)(k+1)}$ .  $\square$

## 4 New Algorithms

In this section we present algorithms of optimal competitive ratio, for intervals where LPT is not optimal. We first mention all intervals where LPT is an optimal algorithm. In [7] the exact performance ratio of LPT is given. In all intervals where the lower bound in Section 5 matches the upper bound in [7], clearly LPT has optimal competitive ratio.

The names we use for the intervals are taken from the definition of the function. Hence, we deal with intervals 1–15. The right endpoint of interval  $i$  is called  $q_i$ . The intervals where LPT is optimal are as follows: The first interval is the point  $q = 1$ . For  $q = 1$ , it is known [6] that the competitive ratio of LPT is  $\frac{7}{6}$  and that this is the best possible competitive ratio for any semi-online algorithm [8]. However, for  $q = 1 + \varepsilon$ , for small  $\varepsilon > 0$ , this paper shows that LPT is not an optimal semi-online algorithm.

The other intervals where LPT is optimal are  $1.18 \approx \frac{1}{6}(1 + \sqrt{37}) \leq q \leq q_{\text{LPT}} \approx 2.04$  and  $q \geq q_{14} \approx 2.57$ , where  $q_{\text{LPT}}$  is the largest real root of  $4q^3 - 4q^2 - 10q + 3$ .

This leaves the following intervals to deal with. Intervals 1–4 (not including  $q = 1$  in interval 1, and interval 4 only up to  $\frac{1}{6}(1 + \sqrt{37})$ ) and intervals 9–14 (interval 9 starting only at  $q_{\text{LPT}}$ ). We design three new algorithms *Slow-LPT*, *Balanced-LPT* and *Opposite-LPT*. *Slow-LPT* has optimal competitive ratio in the interval  $1 < q < \frac{1}{6}(1 + \sqrt{37})$ . *Balanced-LPT* has optimal competitive ratio in the intervals  $q_{\text{LPT}} < q \leq q_{10}$  and  $q_{12} \leq q < q_{14}$ , and *Opposite-LPT* has optimal competitive ratio in the interval  $q_{10} \leq q \leq q_{12}$ .



As can be seen in the next section, the most difficult sequences for the algorithms are quite short sequences (up to six jobs). For longer sequences, the last job is relatively small. Thus, as shown by Lemma 2, the algorithm benefits from the non-increasing order in this case. As may be seen from the definitions of the three new algorithms, the most difficult decision is either the decision for the second job, or the first and the third jobs.

#### 4.1 The First Four Intervals

We design an algorithm Slow-LPT which has optimal competitive ratio in the interval  $1 < q < \frac{1}{6}(1 + \sqrt{37})$ . Intuitively, the reason why LPT fails in this interval is that the slow machine is not much slower than the faster one. Since the fast machine does not dominate the slow machine so easily, it often makes sense to use the slow machine first, and keep the fast machine free for future jobs. The algorithm is actually optimal in the interval  $1 \leq q \leq q_4$ , giving an alternative algorithm with optimal competitive ratio in the interval  $\frac{1}{6}(1 + \sqrt{37}) \leq q \leq q_4$ .

##### **Algorithm Slow-LPT**

*Assign  $J_1$  to  $M_q$ .*

*Assign  $J_2$  to  $M_1$ .*

*If  $q(p_1 + p_3) \leq C(q)(p_2 + p_3)$ , assign  $J_3$  to  $M_q$ , and otherwise to  $M_1$ .*

*Assign the remaining jobs by the LPT rule.*

To analyze the algorithm, first note that, for  $i, j \in \{1, 2, 3, 4\}$ ,  $C_i(q) \leq C_j(q)$  in interval  $j$ . Thus, in the first four intervals,  $C(q) = \max\{C_1(q), C_2(q), C_3(q), C_4(q)\}$ .

By Lemma 2, we need only consider sequences with  $p_\ell > \frac{1}{4}$ , since in these intervals

$$C(q) \geq C_2(q) > 1.145 \geq 1 + \frac{q}{4q + 4}.$$

This actually means that OPT runs at most 3 jobs on each machine. Hence, we need only consider sequences of up to 6 jobs, all larger than  $\frac{1}{4}$ . In the following, we analyze sequences of each length  $\ell = 1, \dots, 6$  separately.

*One job.* Clearly,  $\text{OPT} = p_1$  and  $\text{SONL} = qp_1$ . Thus,  $\text{SONL} \leq q = C_4(q) \leq C(q)$ .

*Two jobs.* The makespan of Slow-LPT is determined by the first job, since  $qp_1 \geq qp_2 \geq p_2$ .

*Three jobs.* Since OPT must assign at least two jobs on one machine,  $\text{OPT} \geq p_2 + p_3$ .

By the assumption that the last job determines the makespan, if Slow-LPT schedules  $J_3$  on  $M_1$ , then  $\text{SONL} = p_2 + p_3 \leq \text{OPT}$ . Otherwise, by the same assumption and the definition of the algorithm  $\text{SONL} = q(p_1 + p_3) \leq C(q)(p_2 + p_3) \leq \text{OPT}$ .

*Four jobs.* If Slow-LPT runs  $J_3$  on  $M_q$ ,  $J_4$  will be scheduled on  $M_1$ , since  $p_2 + p_4 \leq p_1 + p_3 \leq q(p_1 + p_3)$ . Thus,  $J_4$  will not determine the makespan.

If Slow-LPT runs  $J_3$  on  $M_1$ ,  $\text{SONL} = \min\{q(p_1 + p_4), p_2 + p_3 + p_4\}$ . If OPT schedules  $J_1$  and some other job on one machine,  $\text{OPT} \geq p_1 + p_4$ . In this case,  $\frac{\text{SONL}}{\text{OPT}} \leq \frac{q(p_1 + p_4)}{p_1 + p_4} = q \leq C(q)$ . Otherwise,  $\text{OPT} \geq p_2 + p_3 + p_4 \geq \text{SONL}$ .

*Five jobs.* We split the proof in three cases, according to the way  $J_3$  and  $J_4$  are scheduled by Slow-LPT.

*Case 1: Slow-LPT assigns  $J_3$  to  $M_q$ .*

In this case,  $J_4$  is assigned to  $M_1$ . After scheduling  $J_4$  (and before scheduling  $J_5$ ), the total size of jobs on  $M_1$  is at most the total size on  $M_q$ , since  $p_1 + p_3 \geq p_2 + p_4$ . Thus,  $\text{SONL} \leq \frac{1}{2}(p_1 + p_2 + p_3 + p_4) + p_5 = \frac{1}{2}(P + p_5) \leq \frac{1}{2}(1 + \frac{1}{q} + p_5)$ . Having five jobs in the sequence, we know that OPT has three jobs on one of the machines. One job must be of size at most  $\frac{1}{3}$ , since  $\text{OPT} = 1$ . This gives  $p_5 \leq \frac{1}{3}$  and thus  $\text{SONL} \leq \frac{1}{2}(1 + \frac{1}{q} + \frac{1}{3}) = \frac{2}{3} + \frac{1}{2q} = C_1(q)$ .

*Case 2: Slow-LPT assigns  $J_3$  and  $J_4$  to  $M_1$ .*

By Lemma 1,  $\text{SONL} = \min\{q(p_1 + p_5), p_2 + p_3 + p_4 + p_5\}$ . As mentioned earlier, OPT runs at most three jobs on each machine, so it runs  $J_1$  with at least one other job. Thus,  $\text{OPT} \geq p_1 + p_5$ , and  $\text{SONL} \leq q(p_1 + p_5) \leq q \cdot \text{OPT} \leq C(q)$ .

*Case 3: Slow-LPT assigns  $J_3$  to  $M_1$  and  $J_4$  to  $M_q$ .*

In this case,  $\text{SONL} = \min\{q(p_1 + p_4 + p_5), p_2 + p_3 + p_5\}$ .

If OPT runs  $J_1$  with two more jobs,  $\text{OPT} \geq p_1 + p_4 + p_5 \geq \frac{1}{q} \text{SONL} \geq \frac{1}{C(q)} \text{SONL}$ .

We split the case where OPT runs  $J_1$  with exactly one other job in three subcases, based on which job is combined with  $J_1$ .

*Case 3.1: OPT runs  $J_4$  or  $J_5$  with  $J_1$ .*

The machine not running  $J_1$  is loaded by at least  $p_2 + p_3 + p_5 \geq \text{SONL}$ .

*Case 3.2: OPT runs  $J_3$  with  $J_1$ .*

In this case,  $p_2 + p_4 + p_5 \leq 1$ . Since  $p_5 \leq p_4$ , this implies  $p_2 + 2p_5 \leq 1$ . Thus,  $\text{SONL} \leq p_2 + p_3 + p_5 \leq 2p_2 + p_5 = 2(p_2 + 2p_5) - 3p_5 \leq 2 - 3p_5$ . Moreover, by Lemma 2,  $\text{SONL} \leq 1 + \frac{q}{q+1}p_5$ . The two upper bounds on SONL are equal when  $p_5 = \frac{q+1}{4q+3}$  and hence  $\text{SONL} \leq 1 + \frac{q}{4q+3}$ . Solving  $1 + \frac{q}{4q+3} \leq C_2(q)$ , we get  $4q^2 - 2q - 3 \leq 0$  and thus  $q \leq \frac{1}{4}(1 + \sqrt{13}) \approx 1.15$ , which holds throughout the first two intervals. In the third and fourth intervals,  $1 + \frac{q}{4q+3} \leq C_3(q)$ , as  $9q^2 - 5q - 6 \geq 0$  for  $q \geq \frac{1}{18}(5 + \sqrt{241}) \approx 1.140$ .

*Case 3.3: OPT runs  $J_2$  with  $J_1$ .*

Let  $p_1 + p_2 = \alpha$  and  $p_3 + p_4 + p_5 = \beta$ . Then, by the way OPT schedules the jobs, either  $\alpha \leq 1$  and  $\beta \leq \frac{1}{q}$ , or  $\beta \leq 1$  and  $\alpha \leq \frac{1}{q}$ . Since  $J_3$  was assigned to the fast machine by Slow-LPT, we know that  $q(p_1 + p_3) > C(q)(p_2 + p_3) \geq C_2(q)(p_2 + p_3)$ . This can also be expressed as  $q(\alpha - p_2 + p_3) > C_2(q)(p_2 + p_3)$ , which is equivalent to  $p_2 < \frac{q\alpha}{q+C_2(q)} + \frac{q-C_2(q)}{q+C_2(q)}p_3$ . Thus,

$$\begin{aligned}
\text{SONL} &< \frac{q\alpha}{q+C_2(q)} + \frac{q-C_2(q)+q+C_2(q)}{q+C_2(q)}p_3 + p_5 \\
&= \frac{q\alpha}{C_2(q)+q} + \frac{2q}{C_2(q)+q}p_3 + p_5 \\
&= \frac{q\alpha}{C_2(q)+q} + \frac{2q}{C_2(q)+q}(\beta - p_4 - p_5) + p_5 \\
&= \frac{(\alpha+2\beta)q}{C_2(q)+q} - \frac{2q}{C_2(q)+q}p_4 + \frac{C_2(q)-q}{C_2(q)+q}p_5 \\
&\leq \frac{1+2q}{C_2(q)+q} - \frac{2q}{C_2(q)+q}p_4 + \frac{C_2(q)-q}{C_2(q)+q}p_5, \quad \text{since } \alpha+2\beta \leq \frac{1}{q}+2 \\
&\leq \frac{2q+1}{C_2(q)+q} + \frac{C_2(q)-3q}{C_2(q)+q}p_5, \quad \text{since } p_4 \geq p_5
\end{aligned}$$

We show that this is at most  $C_2(q)$ . If  $p_5 \leq (C_2(q)-1)\frac{q+1}{q}$ ,  $\text{SONL} \leq C_2(q)$  by Corollary 1. If  $p_5 > (C_2(q)-1)\frac{q+1}{q}$ , the term  $C_2(q)-3q$  in the upper bound on SONL is negative and we get

$$\text{SONL} < \frac{2q+1}{C_2(q)+q} + \frac{C_2(q)-3q}{C_2(q)+q}(C_2(q)-1)\frac{q+1}{q} = C_2(q).$$

The equality is obtained by substituting  $1 + \frac{1}{2}(4q^2 + 4q - 1 + \sqrt{(4q^2 + 4q - 1)^2 - 4q^2})$  for  $C_2(q)$ .

*Six jobs.* Since we are only considering sequences where  $p_\ell > \frac{1}{4}$ , OPT must schedule exactly three jobs on each machine. Thus,  $\text{OPT} \geq p_1 + p_5 + p_6$  and, since among  $J_1$ ,  $J_2$ , and  $J_3$ , at least two run on one machine,  $\text{OPT} \geq p_2 + p_3 + p_6$ .

We split the proof in four cases according to how jobs  $J_3$ ,  $J_4$ , and  $J_5$  are scheduled by Slow-LPT.

*Case 1: Slow-LPT runs  $J_3$  on  $M_q$ .*

By the definition of the algorithm,  $q(p_1+p_3) \leq C(q)(p_2+p_3)$ . Furthermore,  $J_4$  and  $J_5$  are assigned to  $M_1$ , since  $q(p_1+p_3) \geq p_2+p_4$ . Thus, by Lemma 1,  $\text{SONL} \leq q(p_1+p_3+p_6) \leq C(q)(p_2+p_3) + qp_6 \leq C(q)(p_2+p_3+p_6) \leq C(q) \cdot \text{OPT}$ .

*Case 2: Slow-LPT runs  $J_3$  and  $J_4$  on  $M_1$ .*

Since SONL assigns  $J_2, J_3$ , and  $J_4$  to  $M_1$ ,  $\text{SONL} \leq q(p_1 + p_5 + p_6) \leq q \cdot \text{OPT} \leq C(q) \cdot \text{OPT}$ .

*Case 3: Slow-LPT runs  $J_3$  on  $M_1$  and  $J_4$  and  $J_5$  on  $M_q$ .*

In this case,  $\text{SONL} \leq p_2 + p_3 + p_6 \leq \text{OPT}$ .

*Case 4: Slow-LPT runs  $J_3$  and  $J_5$  on  $M_1$  and  $J_4$  on  $M_q$ .*

In this case,  $\text{SONL} \leq \min\{q(p_1 + p_4 + p_6), p_2 + p_3 + p_5 + p_6\}$ .

We split the remaining part of the analysis in three cases based on how OPT combines  $J_1, J_5$ , and  $J_6$ .

*Case 4.1: OPT does not run  $J_1$  with both  $J_5$  and  $J_6$ .*

In this case,  $\text{OPT} \geq p_1 + p_4 + p_6$ , so  $\text{SONL} \leq q \cdot \text{OPT} \leq C(q) \cdot \text{OPT}$ .

*Case 4.2: OPT runs  $J_1, J_5$ , and  $J_6$  on  $M_q$ .*

From the structure of OPT,  $q(p_1 + p_5 + p_6) \leq q$  and  $p_2 + p_3 + p_4 \leq 1$ , so  $p_4 \leq \frac{1}{3}$ . Thus,  $\text{SONL} \leq q(p_1 + p_4 + p_6) = q(p_1 + p_5 + p_6) + q(p_4 - p_5) \leq 1 + q(\frac{1}{3} - p_5) < 1 + \frac{q}{12}$ , since we consider only sequences with  $p_\ell > \frac{1}{4}$ .

$$\begin{aligned} \text{For } q \geq \frac{12}{11} \approx 1.09, \quad 1 + \frac{q}{12} \leq q \leq C(q), \quad \text{and} \\ \text{for } q \leq \sqrt{10} - 2 \approx 1.16, \quad 1 + \frac{q}{12} \leq \frac{2}{3} + \frac{1}{2q} = C_1(q). \end{aligned}$$

*Case 4.3: OPT runs  $J_1, J_5$ , and  $J_6$  on  $M_1$ .*

In this case,  $\text{SONL} \leq \min\{q(1 + p_4 - p_5), \frac{1}{q} - p_4 + p_5 + p_6\}$  and  $p_4 \leq \frac{1}{3q}$ . Let  $\alpha = p_4 - p_5$ . Then,  $p_6 \leq p_5 \leq -\alpha + p_4 \leq -\alpha + \frac{1}{3q}$ . We get  $\text{SONL} \leq \min\{q(1 + \alpha), \frac{1}{q} - 2\alpha + \frac{1}{3q}\} = \min\{q(1 + \alpha), \frac{4}{3q} - 2\alpha\}$ . The two terms are equal for  $\alpha = \alpha_1 = \frac{4-3q^2}{q(3q+6)}$ . For  $q \leq \sqrt{4/3}$ ,  $\alpha_1$  is positive, and  $\text{SONL} \leq q(1 + \alpha_1) = \frac{6q+4}{3q+6} = C_3(q) \leq C(q)$ . For  $q \geq \sqrt{4/3}$ ,  $\text{SONL} \leq \frac{4}{3q} - 2\alpha \leq q \leq C(q)$ .

## 4.2 Intervals 9 and 10, 13 and 14

In intervals 9–14, only sequences of five jobs and less can be slightly problematic, unlike the intervals 1–4, where sequences of six jobs had to be considered.

Both algorithms for intervals 9–14 have a special rule for the second job only. In this section, we consider the algorithm Balanced-LPT, which tries to assign the second job to  $M_q$ .

### **Algorithm Balanced-LPT**

*Assign  $J_1$  to  $M_1$ .*

*If  $qp_2 > C(q)(p_1 + p_2)$ , assign  $J_2$  to  $M_1$ , and otherwise to  $M_q$ .*

*Assign the remaining jobs by the LPT rule.*

**Intervals 9 and 10.** Recall that  $C_9(q) = 1 + \frac{1}{2q+2}$  and  $C_{10}(q) = \frac{3q+2}{2q+3}$ , and note that, in intervals 9 and 10,  $C(q) = \max\{C_9(q), C_{10}(q)\}$ .

By Lemma 3, for any sequence such that  $\text{SONL} > C(q)$ , OPT runs at most one job on  $M_q$ , since  $C(q) \geq C_9(q) = 1 + \frac{1}{2(q+1)}$ . Similarly, OPT runs at most 4 jobs on  $M_1$ , since  $\frac{1}{2(q+1)} \geq \frac{q}{5(q+1)}$  for  $q \leq 2.5$ . This means that we need only consider sequences of at most five jobs. If an optimal off-line algorithm does not run any jobs on  $M_q$ , Balanced-LPT will not break the ratio, so we will only consider sequences where OPT schedules exactly one job on  $M_q$  and at most four on  $M_1$ .

In intervals 9 and 10, Balanced-LPT always assigns  $J_2$  to  $M_q$ , since  $C(q)(p_1 + p_2) \geq C_9(q)(p_1 + p_2) \geq 2C_9(q)p_2 \geq qp_2$  for  $q \leq \frac{1+\sqrt{13}}{2} \approx 2.30$ . This shows that sequences of at most two jobs cannot break the ratio.

By Lemma 1, if there are at least three jobs,  $\text{SONL} \leq P - p_2$ . If OPT does not run  $J_1$  on  $M_q$ , we get  $\text{OPT} \geq P - p_2$ . This leaves only the case where OPT runs  $J_1$  on  $M_q$  and all other jobs on  $M_1$  to consider.

*Three jobs.* Since we consider sequences where OPT runs  $J_1$  on  $M_q$  and all other jobs on  $M_1$ ,  $\text{OPT} = \max\{qp_1, p_2 + p_3\}$ . By Lemma 1,  $\text{SONL} \leq p_1 + p_3 \leq 2p_1 \leq qp_1 \leq \text{OPT}$ , since Balanced-LPT runs  $J_2$  on  $M_q$ .

*Four jobs.* Since OPT runs  $J_1$  on  $M_q$  and  $J_2, J_3$ , and  $J_4$  on  $M_1$ ,  $p_1 \leq \frac{1}{q}$  and  $p_2 + p_3 + p_4 \leq 1$ . Combining the latter inequality with  $p_2 \geq p_3 \geq p_4$  gives  $p_3 + p_4 \leq \frac{2}{3}$ . Thus, using Lemma 1, we have  $\text{SONL} \leq p_1 + p_3 + p_4 \leq \frac{1}{q} + \frac{2}{3} = \frac{2q+3}{3q} \leq \frac{2q+3}{2q+2} = C_9(q)$  for  $q \geq 2$ .

*Five jobs.* If Balanced-LPT assigns at least one of the jobs  $J_3$  and  $J_4$  to the slow machine,  $\text{SONL} \leq p_1 + p_3 + p_5 = P - p_2 - p_4 \leq 1 + \frac{1}{q} - 2p_5$ . Now, by Corollary 1,  $\text{SONL} > C_9(q)$  implies  $\text{SONL} < 1 + \frac{1}{q} - 2(C_9(q) - 1)\frac{q+1}{q} = 1 + \frac{1}{q} - \frac{2}{2q+2}\frac{q+1}{q} = 1$ , which contradicts the assumption that the optimal makespan is 1.

Otherwise,  $\text{SONL} \leq q(p_2 + p_5)$ . Since OPT runs  $J_2, J_3, J_4$ , and  $J_5$  on the fast machine,  $p_3 + p_4 + p_5 \leq 1 - p_2$ . Thus,  $p_5 \leq \frac{1}{3}(1 - p_2)$ , and  $\text{SONL} \leq q(p_2 + p_5) \leq \frac{q}{3}(1 + 2p_2)$ . Furthermore,  $\text{SONL} \leq P - p_2 \leq 1 + \frac{1}{q} - p_2$ . Combining these two upper bounds on  $\text{SONL}$ , we obtain the inequality  $(\frac{3}{q} + 2)\text{SONL} \leq (1 + 2p_2) + (2 + \frac{2}{q} - 2p_2) = 3 + \frac{2}{q}$ , which is equivalent to  $\text{SONL} \leq \frac{3q+2}{2q+3} = C_{10}(q)$ .

Note that since the analysis is valid for all of interval 9, this means that Balanced-LPT has optimal competitive ratio for  $2 \leq q \leq q_{\text{LPT}} \approx 2.04$ , as well as LPT.

**Intervals 13 and 14.** Recall that  $C_{13}(q) = \frac{3}{4} + \frac{1}{q}$  and  $C_{14}(q) = 1 + \frac{q^2+2q-2-\sqrt{q^4+8q+4}}{2q+4}$ , and note that, in intervals 13 and 14,  $C(q) = \max\{C_{13}(q), C_{14}(q)\}$ .

By Lemma 3, for any sequence such that  $\text{SONL} > C(q)$ , OPT runs at most one job on  $M_q$  and at most 4 jobs on  $M_1$ , since

$$\begin{aligned} C_{13}(q) &> 1 + \frac{1}{2q+2}, \text{ for } q < \frac{1}{2}(1 + \sqrt{17}) \approx 2.56, \\ C_{13}(q) &> 1 + \frac{q}{5q+5}, \text{ for } q < \frac{1}{6}(5 + \sqrt{105}) \approx 2.54, \\ C_{14}(q) &> 1 + \frac{1}{2q+2}, \text{ for } q \geq 2.491, \text{ and} \\ C_{14}(q) &> 1 + \frac{q}{5q+5}, \text{ for } q \geq 2.49. \end{aligned}$$

*Two jobs.* If Balanced-LPT assigns  $J_2$  to  $M_1$ ,  $qp_2 > C(q)(p_1+p_2) > p_1+p_2$  by the definition of the algorithm, so  $\text{OPT} = p_1 + p_2 = \text{SONL}$ . Otherwise,  $\text{SONL} = qp_2 \leq C(q)(p_1 + p_2) \leq C(q)\text{OPT}$ .

Before turning to sequences with exactly three jobs, we make some general observations on sequences of more than two jobs.

Let  $J_i$  denote the first job assigned to  $M_q$  by Balanced-LPT. By the definition of the algorithm,  $i > 1$ . If  $J_2$  runs on  $M_1$ , then  $J_3$  runs on  $M_q$ , since  $qp_3 < 3p_3 \leq p_1 + p_2 + p_3$ . Thus,  $J_i = J_2$  or  $J_i = J_3$ .

Let  $P_1$  be the total size of jobs that OPT assigns to  $M_1$ . By Lemma 1,  $\text{SONL} \leq P_1 + \frac{1}{q} - p_i$ , if the sequence has at least  $i + 1$  jobs. If  $\text{SONL} > C(q) \geq C_{13}(q) = \frac{3}{4} + \frac{1}{q}$ , this gives

$$p_i < P_1 - \frac{3}{4} \leq \frac{1}{4}P_1, \tag{1}$$

since  $P_1 \leq 1$ .

Now, let  $J_k$  be the largest job that OPT runs on  $M_1$ . Since OPT runs at most 4 jobs on  $M_1$ ,  $p_k \geq \frac{1}{4}P_1 > p_i$ , and hence  $J_i$  arrives later than  $J_k$ , i.e.,  $i > k$ . Thus, if Balanced-LPT runs  $J_2$  on  $M_q$ , then OPT puts  $J_1$  on  $M_1$ . But then the largest job on  $M_q$  in OPT's schedule is no larger than  $p_2$ , so  $\text{OPT} \geq P - p_2$ , and, by Lemma 1,  $\text{SONL} \leq P - p_2 \leq \text{OPT}$ . Therefore, in the remaining part of the analysis, we will only consider the case where Balanced-LPT runs  $J_1$  and  $J_2$  on  $M_1$  and  $J_3$  on  $M_q$ .

*Three jobs.* By Lemma 1,  $\text{SONL} = qp_3$ . If OPT runs all jobs on  $M_1$ ,  $\text{OPT} = p_1 + p_2 + p_3 \geq 3p_3 > qp_3$ . Otherwise  $\text{OPT} \geq qp_3$ .

*Four jobs.* Since we consider the case where  $J_2$  is assigned to  $M_1$ ,  $qp_2 > C(q)(p_1 + p_2)$ , by the definition of Balanced-LPT. Thus,  $\text{SONL} \leq p_1 + p_2 + p_4 \leq \frac{q}{C(q)}p_2 + p_4$ .

If OPT runs both  $J_1$  and  $J_2$  on  $M_1$ ,  $\text{OPT} \geq p_1 + p_2 + p_4 \geq \text{SONL}$ .

Otherwise, OPT runs  $J_1$  or  $J_2$  on  $M_q$ , meaning that  $p_2 \leq \frac{1}{q} < \frac{1}{C(q)}$ . Assuming  $\text{SONL} > C(q)$ , inequality (1) yields  $p_4 \leq p_3 < \frac{1}{4}$ . Substituting these upper bounds on  $p_2$  and  $p_4$  in the upper bound on SONL, we get  $\text{SONL} < \frac{1}{C(q)} + \frac{1}{4}$ . Hence, for  $C(q) = C_{13}(q)$ ,  $\text{SONL} > C(q)$  implies  $q > \frac{1}{5}(5 + \sqrt{65}) \approx 2.61$  and for  $C(q) = C_{14}(q)$ , we get  $q \leq 2.45$ . Both are contradictions.

*Five jobs.* We first consider the case where Balanced-LPT assigns  $J_4$  to the slow machine. In this case, Lemma 1 gives that  $\text{SONL} \leq \frac{q+1}{q} - p_3 - p_4 \leq \frac{q+1}{q} - 2p_5$ . Using Corollary 1, we get

$$\text{SONL} < \frac{q+1}{q} - 2(C(q) - 1)\frac{q+1}{q} = \frac{q+1}{q}(3 - 2C(q)).$$

For  $C(q) = C_{13}(q)$ , this is smaller than  $C(q)$  as long as  $3q^2 - 6q - 8 \geq 0$ , which is true when  $q \leq \frac{1}{3}(3 + \sqrt{33}) \approx 2.92$ . For  $C(q) = C_{14}(q)$ , the upper bound is smaller than  $C(q)$  whenever  $q$  is at least the largest root of  $9q^4 - 9q^3 - 28q^2 - 5q + 6$ . This root is smaller than 2.37.

Thus, the case left to consider is that Balanced-LPT puts  $J_1$ ,  $J_2$ , and  $J_4$  on the fast machine and  $J_3$  on the slow machine. We assume that  $\text{SONL} > C(q)$  and show that this leads to a contradiction.

First,  $\text{SONL} > C(q)$  implies the following three inequalities, where inequality (4) holds by Corollary 1.

$$q(p_3 + p_5) > C(q) \tag{2}$$

$$p_1 + p_2 + p_4 + p_5 > C(q) \tag{3}$$

$$p_5 > (C(q) - 1)\frac{q+1}{q} \tag{4}$$

Furthermore, since OPT runs four jobs on  $M_1$ ,

$$p_2 + p_3 + p_4 + p_5 \leq 1 \tag{5}$$

Since Balanced-LPT runs  $J_2$  on  $M_1$ , by the definition of the algorithm,

$$p_1 + p_2 < \frac{q}{C(q)} p_2 \tag{6}$$

- Finally,  $J_4$  is at least as large as  $J_5$ :

$$p_4 \geq p_5 \tag{7}$$

We now find a linear combination of inequalities (2)–(7) eliminating all variables  $p_1, \dots, p_5$ ; adding up  $\frac{1}{C(q)}$  times (2), (3),  $(\frac{q}{C(q)} - 2)$  times (4) (note that  $C(q) < \frac{q}{2}$  in the current intervals),  $-\frac{q}{C(q)}$  times (5),  $-1$  times (6), and  $(\frac{q}{C(q)} - 1)$  times (7), we arrive at the inequality

$$0 > -(q+2)C^2(q) + (q^2 + 4q + 2)C(q) - (2q^2 + q).$$

This is true for  $C(q) < 1 + \frac{q^2+2q-2-\sqrt{q^4+8q+4}}{2q+4} = C_{14}(q)$  and for  $C(q) > 1 + \frac{q^2+2q-2+\sqrt{q^4+8q+4}}{2q+4}$  which is greater than 2 for  $q \geq 2$ . Both possibilities are contradictions, the latter because  $C(q) \leq \frac{1}{4}(1 + \sqrt{17}) \approx 1.28$ .

### 4.3 Intervals 11 and 12

When assigning the second job, the following algorithm tries to do the opposite of LPT. If  $qp_2 < p_1 + p_2$ , LPT puts  $J_2$  on  $M_q$ , so Opposite-LPT puts  $J_2$  on  $M_1$ , unless  $p_1 + p_2 > C(q)qp_2$ . Similarly, if  $qp_2 \geq p_1 + p_2$ , Opposite-LPT puts  $J_2$  on  $M_q$ . Note that it is not necessary to check that  $qp_2 \leq C(q)(p_1 + p_2)$ , as argued below.

#### **Algorithm Opposite-LPT**

*Assign  $J_1$  to  $M_1$ .*

*If  $qp_2 < p_1 + p_2 \leq C(q)qp_2$ , assign  $J_2$  to  $M_1$ , and otherwise to  $M_q$ .*

*Assign the remaining jobs by the LPT rule.*

Recall that  $C_{11}(q) = \frac{1}{6q}(q^2 + 3 + \sqrt{q^4 - 6q^2 + 24q + 9})$  and  $C_{12}(q) = \frac{q}{2}$ , and note that in intervals 11 and 12,  $C(q) = \max\{C_{11}(q), C_{12}(q)\}$ .

By Lemma 3, if  $\text{SONL} > C(q)$ , OPT runs at most four jobs on  $M_1$ , since in intervals 11 and 12,  $C(q) \geq C_{11}(q) > 1 + \frac{q}{5q+5}$  for  $q > 0$ , and at most one job on  $M_q$ , since  $C_{11}(q) > 1 + \frac{1}{2q+2}$  for  $q \geq 2.02$ . Thus, we need only consider sequences with up to five jobs, where OPT places exactly one job on the slow machine.

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*Two jobs.* It is always safe to put the second job on  $M_q$ , since  $C(q) \leq \frac{1}{2}q$ , i.e.,  $qp_2 \leq \frac{1}{2}q(p_1 + p_2) \leq C(q)(p_1 + p_2)$ .

Furthermore, if Opposite-LPT puts  $J_2$  on  $M_1$  then, by the definition of the algorithm,  $p_1 + p_2 \leq C(q)qp_2 \leq C(q)\text{OPT}$ .

For sequences of more than two jobs, the proof is split in two cases according to where Opposite-LPT schedules  $J_2$ .



*Case A: Opposite-LPT schedules  $J_2$  on  $M_q$ .*

The analysis of this case is similar to the analysis of Intervals 9 and 10.

As in Intervals 9 and 10 we need only consider the case where the job that OPT runs on  $M_q$  is  $J_1$ , because otherwise  $\text{OPT} \geq P - p_2 \geq \text{SONL}$ .

*Three jobs.* The proof is the same as for Intervals 9 and 10: Since we consider the case where OPT runs  $J_1$  on  $M_q$  and  $J_2$  and  $J_3$  on  $M_1$ ,  $\text{OPT} = \max\{qp_1, p_2 + p_3\}$ . Since Opposite-LPT runs  $J_2$  on  $M_q$ , by Lemma 1,  $\text{SONL} \leq p_1 + p_3 \leq 2p_1 \leq qp_1 \leq \text{OPT}$ .

*Four jobs.* We use the same reasoning as in intervals 9 and 10: Since  $p_2 + p_3 + p_4 \leq 1$  and  $p_2 \geq p_3 \geq p_4$ , we get  $p_3 + p_4 \leq \frac{2}{3}$ . Thus, using Lemma 1 and  $p_1 \leq \frac{1}{q}$ , we have  $\text{SONL} \leq p_1 + p_3 + p_4 \leq \frac{1}{q} + \frac{2}{3}$ , which is smaller than  $C(q)$  in intervals 11 and 12.

*Five jobs.* We split the proof in subcases according to what caused Opposite-LPT to put  $J_2$  on  $M_q$ .

*Case 1:  $p_1 + p_2 > C(q) \cdot qp_2$ .*

In this case,  $p_2 < \frac{1}{qC(q)-1}p_1 \leq \frac{1}{qC(q)-1} \frac{1}{q}$ .

Since OPT schedules  $J_1$  on  $M_q$  and the remaining jobs on  $M_1$ ,  $p_1 \leq \frac{1}{q}$  and  $p_3 + p_5 \leq \frac{1}{2}(p_2 + p_3 + p_4 + p_5) \leq \frac{1}{2}$ . Thus, if Opposite-LPT schedules  $J_3$  or  $J_4$  on  $M_q$ ,  $\text{SONL} \leq p_1 + p_3 + p_5 \leq \frac{1}{q} + \frac{1}{2} < 1$ . But this would contradict the assumption that the best possible schedule has a makespan of 1. Hence, Opposite-LPT must schedule both  $J_3$  and  $J_4$  on  $M_1$ .

Thus,

$$\text{SONL} \leq q(p_2 + p_5) \leq q \left( p_2 + \frac{1 - p_2}{3} \right) = \frac{2q}{3}p_2 + \frac{q}{3} < \frac{2}{3qC(q) - 3} + \frac{q}{3},$$

which is smaller than  $C(q)$  in interval 12 and equal to  $C(q)$  in interval 11.

*Case 2:  $p_1 + p_2 \leq qp_2$ .*

In this case  $p_2 \geq \frac{p_1}{q-1}$ . Thus, we get

$$\begin{aligned} \text{SONL} &\leq P - p_2 \\ &\leq 1 + p_1 - p_2, && \text{by } p_2 + p_3 + p_4 + p_5 \leq 1 \\ &\leq 1 + p_1 \left( 1 - \frac{1}{q-1} \right), && \text{by } p_2 \geq \frac{p_1}{q-1} \\ &\leq 1 + \frac{q-2}{q^2-q}, && \text{by } p_1 \leq \frac{1}{q} \text{ and } q \geq 2 \\ &= \frac{q^2-2}{q^2-q}, \end{aligned}$$

which is smaller than both  $C_{11}(q)$  and  $C_{12}(q)$  for  $q > 1$ .

*Case B: Opposite-LPT schedules  $J_2$  on  $M_1$ .*

By the definition of the algorithm,  $qp_2 < p_1 + p_2 \leq C(q) qp_2$ . Furthermore, Opposite-LPT places  $J_3$  on  $M_q$ , since  $p_1 + p_2 + p_3 \geq 3p_3 > qp_3$ .

*Three jobs.* Since,  $J_3$  determines the makespan,  $\text{SONL} = qp_3$ . However,  $\text{OPT} \geq \min\{qp_3, p_1 + p_2 + p_3\} = qp_3 = \text{SONL}$ .

*Four jobs.* Since Opposite-LPT puts  $J_2$  on  $M_1$ ,  $qp_2 \leq p_1 + p_2$ , which is equivalent to  $p_2 \leq \frac{p_1}{q-1}$ .

We analyze three cases according to which job is run on  $M_q$  by OPT.

*Case 1: OPT runs  $J_3$  or  $J_4$  on  $M_q$ .*

In this case,  $\text{OPT} \geq p_1 + p_2 + p_4$ . By Lemma 1,  $\text{SONL} \leq p_1 + p_2 + p_4 \leq \text{OPT}$ .

*Case 2: OPT runs  $J_1$  on  $M_q$ .*

This gives  $p_2 + p_3 + p_4 \leq 1$  and  $p_1 \leq \frac{1}{q}$ . Thus,

$$\begin{aligned} \text{SONL} &\leq p_1 + p_2 + p_4 \leq p_1 + p_2 + \frac{1 - p_2}{2} = p_1 + \frac{1}{2}p_2 + \frac{1}{2} \\ &\leq p_1 + \frac{1}{2} \cdot \frac{p_1}{q-1} + \frac{1}{2} = \frac{2q-1}{2q-2}p_1 + \frac{1}{2} \leq \frac{2q-1}{2q(q-1)} + \frac{1}{2}, \end{aligned}$$

which is smaller than  $C_{11}(q)$  in interval 11 and smaller than  $C_{12}(q)$  in interval 12.

*Case 3: OPT runs  $J_2$  on  $M_q$ .*

In this case,  $p_2 \leq \frac{1}{q}$  and  $p_1 + p_3 + p_4 \leq 1$ .

We have two upper bounds on the makespan of Opposite-LPT;  $\text{SONL} \leq p_1 + p_2 + p_4$  and  $\text{SONL} \leq q(p_3 + p_4) \leq q(1 - p_1)$ . We use the first upper bound on SONL to derive a lower bound on  $p_1$ :

$$\begin{aligned} \text{SONL} &\leq p_1 + p_2 + p_4 \leq p_1 + p_2 + \frac{1 - p_1}{2} = \frac{1}{2}p_1 + p_2 + \frac{1}{2} \\ &\leq \frac{1}{2}p_1 + \frac{p_1}{q-1} + \frac{1}{2} = \frac{q+1}{2(q-1)}p_1 + \frac{1}{2} \end{aligned}$$

Assume for the sake of contradiction that  $\text{SONL} > C(q)$ . Then, by the inequality above,  $\frac{q+1}{2(q-1)}p_1 + \frac{1}{2} > C(q)$ , which is equivalent to

$$p_1 > \frac{(2C(q) - 1)(q - 1)}{q + 1}.$$

Thus,

$$\text{SONL} \leq q(1 - p_1) \leq q \left( 1 - \frac{(2C(q) - 1)(q - 1)}{q + 1} \right),$$

which can be simplified to  $C(q) < \frac{2q^2}{2q^2 - q + 1}$ . For  $C(q) = C_{11}(q)$ , this holds only for  $q < 0$ , and for  $C(q) = C_{12}(q)$ , it holds only for  $q < 2.28 < q_{11}$ . Thus, we arrived at a contradiction.

*Five jobs.* If Opposite-LPT runs  $J_4$  on  $M_1$ , then

$$\begin{aligned} \text{SONL} &\leq q(p_3 + p_5) \\ &\leq \frac{q}{2}(p_2 + p_3 + p_4 + p_5), \text{ since } p_3 \leq p_2 \text{ and } p_5 \leq p_4 \\ &\leq \frac{q}{2}, \text{ since OPT schedules four jobs on } M_1 \\ &\leq C(q). \end{aligned}$$

If Opposite-LPT runs  $J_4$  on  $M_q$ , then  $q(p_3 + p_4) \leq p_1 + p_2 + p_4$ . Assume for the sake of contradiction that  $\text{SONL} > C(q)$ . Then, by Corollary 1,  $p_5 > (C(q) - 1)\frac{q+1}{q}$ , so

$$p_1 + p_2 + p_4 \leq 1 + \frac{1}{q} - (p_3 + p_5) < \frac{q+1}{q} - 2(C(q) - 1)\frac{q+1}{q} = (3 - 2C(q))\frac{q+1}{q}$$

and

$$q(p_3 + p_4) \geq 2qp_5 > 2(C(q) - 1)(q + 1).$$

Since  $2(C(q) - 1) \geq \frac{(3 - C(q))}{q}$  in Intervals 11 and 12, this contradicts  $q(p_3 + p_4) \leq p_1 + p_2 + p_4$ .

## 5 Matching Lower Bounds

In this section we present job sequences that prove the lower bounds matching the upper bounds of Section 4 or, in the intervals where LPT is optimal, the bounds of LPT as given in [7]. In all sequences, unless otherwise mentioned, jobs are scaled so that if the sequence is completed,  $\text{OPT} = 1$ . All sequences have between three and six jobs, most of them have exactly five jobs.

*Interval 1* ( $1 \leq q \leq q_1 \approx 1.04$ ):  $C_1(q) = \frac{2}{3} + \frac{1}{2q}$ .

The sequence consists of five jobs with sizes

$$p_1 = p_2 = \frac{1}{2q}, \quad p_3 = p_4 = p_5 = \frac{1}{3}.$$

The schedule of  $\text{OPT}_2$  is achieved by running one job on each machine. This gives  $\text{OPT}_2 = \frac{1}{2}$ . If SONL schedules both jobs on  $M_1$ ,  $\text{SONL}_2 = \frac{1}{q} = \frac{2}{q} \cdot \text{OPT}_2 > C_1(q) \cdot \text{OPT}_2$ ,

and assigning both to  $M_q$  only makes the competitive ratio worse. Thus, we need only consider algorithms that put exactly one of the first two jobs on  $M_q$ .

For the complete sequence, OPT runs the first two jobs on  $M_q$  and the other jobs on  $M_1$ . If SONL assigns two of the last three jobs to  $M_1$ ,  $\text{SONL} \geq \frac{1}{2q} + \frac{2}{3}$ , and if SONL assigns two of the last three jobs to  $M_q$ ,  $\text{SONL} \geq \frac{1}{2} + \frac{2}{3}q \geq \frac{1}{2q} + \frac{2}{3}$ .

In the next two intervals, any algorithm putting the first job on the fast machine has a competitive ratio of at least  $C(q)$ . The sequence proving this is based on a sequence from [7]. The definition of the sequence (as a function of  $p_1$ ) is

$$p_2 = \frac{3 + 2q - 2q^2}{2q^2 + q} p_1, \quad p_3 = p_4 = p_5 = \frac{q + 1}{2q + 1} p_1.$$

For  $1 \leq q \leq \frac{1}{2}(1 + \sqrt{7})$ ,  $0 \leq \frac{3+2q-2q^2}{2q^2+q} \leq 1$ , so the sequence is well-defined and  $p_2 \leq p_1$ . Furthermore, since  $q \leq \frac{1}{6}(1 + \sqrt{37}) \approx 1.18$ ,  $p_3 \leq p_2$ .

Putting  $J_1$  on  $M_1$  and  $J_2$  on  $M_q$ ,  $J_2$  finishes before  $J_1$ , since  $\frac{3+2q-2q^2}{2q^2+q} q \leq 1$  for  $q \geq 1$ . Thus,  $\text{OPT}_2 = p_1$ . Furthermore, scheduling the first two jobs on  $M_q$  and the last three on  $M_1$  gives a makespan of  $q(p_1 + p_2) = p_3 + p_4 + p_5 = \frac{3q+3}{2q+1} p_1$ . Thus,  $\text{OPT} = \frac{3q+3}{2q+1} p_1$ .

Assume that SONL puts  $J_1$  on  $M_1$ . Then, if it also schedules  $J_2$  on  $M_1$ ,

$$\frac{\text{SONL}_2}{\text{OPT}_2} = \frac{p_1 + p_2}{p_1} = \frac{3q + 3}{2q^2 + q} > \frac{3}{2q} \geq \frac{3}{2} \sqrt{\frac{3}{4}} > 1.299 > C(q),$$

and if it schedules  $J_2$  on  $M_q$ ,

$$\text{SONL} \geq q(p_2 + 2p_3) = p_1 + 2p_3 = \frac{4q + 3}{2q + 1} p_1 = \frac{4q + 3}{3q + 3} \text{OPT}.$$

In Intervals 2 and 3,  $\frac{4q+3}{3q+3} > C(q)$ .

This shows that any algorithm scheduling  $J_1$  on  $M_1$  has a competitive ratio of at least  $C(q)$  in Intervals 2 and 3. Thus, only the case where SONL schedules  $J_1$  on  $M_q$  is left to consider in each of those two intervals.

*Interval 2* ( $q_1 \leq q \leq q_2 \approx 1.14$ ):  $C_2(q) = 1 + \frac{1}{2}(4q^2 + 4q - 1 - \sqrt{(4q^2 + 4q - 1)^2 - 4q^2})$ .

The sequence consists of five jobs with sizes

$$\begin{aligned} p_1 &= \frac{1}{q} - \frac{2q + 1}{q + 1} p_5, \quad p_2 = \frac{2q + 1}{q + 1} p_5, \quad p_3 = 1 - 2p_5, \\ p_4 &= p_5 = \frac{q + 1}{2q} (4q^2 + 4q - 1 - \sqrt{(4q^2 + 4q - 1)^2 - 4q^2}). \end{aligned}$$

The first job is larger than the second job, since  $\frac{1}{q} > \frac{4q+2}{q+1}p_5$  for  $q < \frac{1}{4}(1 + \sqrt{13}) \approx 1.15$ . This is equivalent to  $p_5 < \frac{q+1}{4q^2+2q}$  for  $q < \frac{1}{4}(1 + \sqrt{13})$ . Since  $\frac{q+1}{4q^2+2q} \leq \frac{1}{3}$  for  $q \geq 1$ , this also implies  $p_3 \geq p_4$ . Finally,  $p_2 \geq p_3$ , since  $p_5 \geq \frac{q+1}{4q+3}$  for  $q \leq \frac{1}{4}(1 + \sqrt{13})$ .

*Case 1: SONL assigns  $J_2$  to  $M_q$ .*

In this case,  $\text{SONL}_2 = q(p_1 + p_2) = 1$ .

Clearly,  $\text{OPT}_2 \leq \max\{p_1, qp_2\}$ . By the proof that  $p_1 \geq p_2$ ,  $p_2 \leq \frac{1}{2q}$ . Thus,  $qp_2 \leq \frac{1}{2}$ . Furthermore,  $p_3 \leq p_2 < \frac{1}{2}$ , so  $p_5 \geq \frac{1}{2}(1 - p_3) > \frac{1}{4}$ . Hence,

$$p_1 = \frac{1}{q} - \frac{2q+1}{q+1}p_5 < \frac{1}{q} - \frac{2q+1}{4q+4} \leq \frac{2}{3}, \text{ for all } q \geq 1.$$

This shows that  $\text{SONL} \geq \frac{3}{2} \text{OPT} > C(q) \text{OPT}$ .

algorithms.

*Case 2: SONL assigns  $J_2$  to  $M_1$  and  $J_3$  to  $M_q$ .*

In this case,

$$\text{SONL}_3 \geq (p_1 + p_3)q = 1 - \frac{2q^2 + q}{q+1}p_5 + q - \frac{2q^2 + 2q}{q+1}p_5 = q + 1 - \frac{4q^2 + 3q}{q+1}p_5.$$

On the other hand,

$$\text{OPT}_3 \leq \max\{qp_1, p_2 + p_3\} = \max\left\{1 - \frac{2q^2 + q}{q+1}p_5, 1 - \frac{1}{q+1}p_5\right\} = 1 - \frac{1}{q+1}p_5.$$

Thus, in this case  $\text{SONL}_3 < C(q)\text{OPT}_3$ , if and only if  $q + 1 - \frac{4q^2 + 3q}{q+1}p_5 < C(q)(1 - \frac{1}{q+1}p_5)$ . Note that  $p_5 = \frac{1}{q}(C(q) - 1)(q + 1)$ . Substituting this in the inequality, we get  $C(q) < 1 + \frac{1}{2}(4q^2 + 4q - 1 + \sqrt{(4q^2 + 4q - 1)^2 - 4q^2}) = C_2(q)$ , which is a contradiction.

*Case 3: SONL assigns  $J_2$  and  $J_3$  to  $M_1$ .*

Consider the whole sequence.  $\text{OPT}$  runs  $J_1$  and  $J_2$  on  $M_q$  and the other jobs on  $M_1$ .

If  $\text{SONL}$  runs  $J_4$  and  $J_5$  on  $M_q$ ,

$$\text{SONL} \geq (p_1 + 2p_5)q = \left(\frac{1}{q} - \frac{2q+1}{q+1}p_5 + \frac{2q+2}{q+1}p_5\right)q = 1 + \frac{q}{q+1}p_5 = C_2(q).$$

Otherwise,

$$\text{SONL} \geq p_2 + p_3 + p_5 = \frac{2q+1}{q+1}p_5 + 1 - \frac{q+1}{q+1}p_5 = 1 + \frac{q}{q+1}p_5 = C_2(q).$$

Interval 3 ( $q_2 \leq q \leq \sqrt{4/3} \approx 1.15$ ):  $C_3(q) = \frac{6q+4}{3q+6}$ .

This is the only case of a lower bound sequence that consists of six jobs. Let  $\beta = \frac{4-3q^2}{3q(q+2)}$ . Note that, in this interval,  $\beta > 0$ . The sequence is

$$p_1 = 1 - 2p_5, \quad p_2 = p_3 = p_4 = \frac{1}{3q}, \quad p_5 = p_6 = \frac{1}{3q} - \beta.$$

The first job is larger than the second job, because  $p_1 = 1 - 2p_5 > 1 - 2p_2 > p_2$ , since  $p_2 < \frac{1}{3}$ .

First note that

$$\begin{aligned} \text{OPT}_4 &\leq \max\{p_1 + p_2, q(p_3 + p_4)\} = \max\left\{1 - 2\left(\frac{1}{3q} - \beta\right) + \frac{1}{3q}, \frac{2}{3}\right\} \\ &= \max\left\{1 - \frac{1}{3q} + 2\beta, \frac{2}{3}\right\} = 1 - \frac{1}{3q} + 2\beta = \frac{3q(q+2) - (q+2) + 2(4-3q^2)}{3q(q+2)} \\ &= \frac{6+5q-3q^2}{3q(q+2)}. \end{aligned}$$

If SONL puts all three jobs  $J_2, J_3$ , and  $J_4$  on  $M_1$ ,

$$\text{SONL}_4 \geq \frac{1}{q} = \frac{6+5q-3q^2}{3q+6} \text{OPT}_4 > C_3(q) \text{OPT}_4, \text{ for } q < \frac{1}{6}(5 + \sqrt{97}) \approx 2.47.$$

If SONL puts exactly one of the jobs  $J_2, J_3$ , and  $J_4$  on  $M_1$ ,

$$\text{SONL}_4 \geq q(p_1 + p_3 + p_4) = q\left(1 - 2\left(\frac{1}{3q} - \beta\right) + \frac{2}{3q}\right) = q(1 + 2\beta) > 1,$$

yielding an even worse ratio.

We finally consider the case that SONL puts exactly two of the jobs  $J_2, J_3$ , and  $J_4$  on  $M_1$ . If  $J_5$  and  $J_6$  are both scheduled on  $M_1$ ,

$$\text{SONL} \geq p_3 + p_4 + p_5 + p_6 = \frac{2}{3q} + \frac{2}{3q} - 2\beta = \frac{4(q+2) - 2(4-3q^2)}{3q(q+2)} = \frac{6q+4}{3q+6}.$$

Otherwise,

$$\text{SONL} \geq q(p_1 + p_4 + p_5) = q(1 - 2p_5 + p_4 + p_5) = q(1 + p_4 - p_5) = q(1 + \beta) = \frac{6q+4}{3q+6}.$$

In the remaining intervals,  $q \geq C(q)$ , so any algorithm scheduling the first job on  $M_q$  has a competitive ratio of at least  $C(q)$ . Thus, only the case where SONL schedules  $J_1$  on  $M_1$  needs to be analyzed.

For intervals 4–9, we use sequences given in [7] as negative examples for LPT. We show that those sequences are in fact lower bound sequences for any semi-online algorithm. In intervals 4 and 9, the proof holds for the entire interval, even though LPT is not optimal in the complete interval.

Intervals 4 and 5 ( $\sqrt{4/3} \leq q \leq \frac{1}{4}(1 + \sqrt{17}) \approx 1.28$  and  $\frac{1}{4}(1 + \sqrt{17}) \leq q \leq \sqrt{2} \approx 1.41$ ) :  
 $C_4(q) = q$  and  $C_5(q) = \frac{1}{2} + \frac{1}{q}$ .

The sequence consists of three jobs with sizes

$$p_1 = \frac{1}{q}, \quad p_2 = p_3 = \frac{1}{2}.$$

The optimal makespan of 1 is achieved by running  $J_1$  on  $M_q$  and  $J_2$  and  $J_3$  on  $M_1$ .

If SONL assigns both  $p_2$  and  $p_3$  to  $M_q$ ,  $\text{SONL} \geq q$ . Otherwise,  $\text{SONL} \geq \frac{1}{q} + \frac{1}{2}$ .

In Interval 4,  $\frac{1}{q} + \frac{1}{2} \geq q$ , so  $\text{SONL} \geq q$ . In Interval 5,  $\frac{1}{q} + \frac{1}{2} \leq q$ , so  $\text{SONL} \geq \frac{1}{q} + \frac{1}{2}$ .

Intervals 6 and 9 ( $\sqrt{2} \leq q \leq \frac{1}{4}(1 + \sqrt{33}) \approx 1.69$  and  $2 \leq q \leq \frac{1}{2}(1 + \sqrt{11}) \approx 2.16$ )  
 $C_6(q) = C_9(q) = 1 + \frac{1}{2q+2}$ .

The sequence consists of four jobs with sizes

$$p_1 = \frac{2q^2 + q - 2}{2q(q+1)}, \quad p_2 = \frac{q+2}{2q(q+1)}, \quad p_3 = p_4 = \frac{1}{2q}.$$

Since  $q \geq \sqrt{2}$ ,  $p_2 \leq p_1$ . Moreover, it is easy to see that  $p_3 \leq p_2$  for all  $q \geq 1$ .

$\text{OPT}_2$  is achieved by running  $J_1$  on  $M_1$  and  $J_2$  on the slow machine. In Interval 6,  $qp_2 \geq p_1$ , so  $\text{OPT}_2 = qp_2$ . In Interval 9,  $qp_2 \leq p_1$ , so  $\text{OPT}_2 = p_1$ .

If the algorithm assigns  $J_2$  to  $M_1$ , we get  $\text{SONL}_2 = p_1 + p_2$ . In Interval 6, this gives a ratio of

$$\frac{\text{SONL}_2}{\text{OPT}_2} = \frac{p_1 + p_2}{qp_2} = \frac{2q+2}{q+2} = 1 + \frac{q}{q+2} \geq 1 + \frac{1}{2q+2} = C_6(q), \text{ for } q \geq 1.$$

In Interval 9, it gives a ratio of

$$\frac{\text{SONL}_2}{\text{OPT}_2} = \frac{p_1 + p_2}{p_1} = 1 + \frac{q+2}{2q^2 + q - 2} \geq 1 + \frac{1}{2q+2} = C_9(q), \text{ for } q > 0.$$

We now turn to the case where SONL assigns  $J_2$  to  $M_q$ . If SONL runs at least one of the jobs  $J_3$  and  $J_4$  on  $M_q$ , then

$$\text{SONL} \geq q(p_2 + p_4) = \frac{2q+3}{2q+2} = C_6(q) = C_9(q).$$

Otherwise, all jobs but  $J_2$  run on  $M_1$ , and

$$\text{SONL} \geq p_1 + p_3 + p_4 = \frac{2q^2 + 3q}{2q(q+1)} = \frac{2q+3}{2q+2} = C_6(q) = C_9(q).$$

The optimal makespan is achieved by running the first two jobs on  $M_1$  and the last two jobs on  $M_q$ .

*Interval 7* ( $\frac{1}{4}(1 + \sqrt{33}) \leq q \leq \frac{1}{2}(1 + \sqrt{7}) \approx 1.82$ ):  $C_7(q) = \frac{2q+1}{q+2}$ . The sequence consists of four jobs with sizes

$$p_1 = \frac{1}{q} = \frac{q+2}{q(q+2)}, \quad p_2 = \frac{2+2q-q^2}{q(q+2)}, \quad p_3 = p_4 = \frac{q^2-1}{q(q+2)}.$$

Since  $q \geq 1$ ,  $p_1 \geq p_2$ . Moreover,  $p_2 \geq p_3$ , since  $2q^2 - 2q - 3 \leq 0$  holds for  $q \leq \frac{1}{2}(1 + \sqrt{7})$ .

$\text{OPT}_2$  is achieved by running  $J_1$  on  $M_1$  and  $J_2$  on  $M_q$ . In this interval,  $qp_2 \geq p_1$ , since  $q+2 \leq q(2+2q-q^2)$  for  $1 \leq q \leq 2$ , so  $\text{OPT}_2 = qp_2$ .

Any algorithm assigning  $J_2$  to  $M_1$  has a competitive ratio of at least  $C_7(q)$ , since  $\frac{p_1+p_2}{qp_2} \geq \frac{2q+1}{q+2}$  when  $2q^4 - 4q^3 - 5q^2 + 8q + 8 \geq 0$ , and this latter inequality is true throughout the interval. Thus, we turn to algorithms assigning  $J_2$  to  $M_q$ .

For the complete sequence,  $\text{OPT}$  runs  $J_1$  on  $M_q$ , and all other jobs on  $M_1$ . If the semi-online algorithm runs at least one more job than  $J_2$  on  $M_q$ , it gives a load of at least  $q(p_2 + p_3) = \frac{2q+1}{q+2}$ . Otherwise, the load on  $M_1$  is at least  $p_1 + p_3 + p_4 = \frac{2q^2+q}{q(q+2)} = \frac{2q+1}{q+2}$ . In both cases, the lower bound on the competitive ratio is achieved.

*Interval 8* ( $\frac{1}{2}(1 + \sqrt{7}) \leq q \leq 2$ ):  $C_8(q) = \frac{2}{3} + \frac{1}{q}$ .

The sequence consists of four jobs with sizes

$$p_1 = \frac{1}{q}, \quad p_2 = p_3 = p_4 = \frac{1}{3}.$$

$\text{OPT}$  runs  $J_1$  on  $M_q$ , and the other jobs on  $M_1$ . If  $\text{SONL}$  runs at least two jobs on  $M_q$ ,  $\text{SONL} \geq \frac{2q}{3} \geq \frac{2}{3} + \frac{1}{q}$ , for  $q \geq \frac{1}{2}(1 + \sqrt{7})$ . Otherwise,  $\text{SONL} \geq \frac{1}{q} + \frac{2}{3}$ . In both cases the lower bound on the competitive ratio is achieved.

*Interval 10* ( $\frac{1}{2}(1 + \sqrt{11}) < q \leq q_{10} \approx 2.20$ ):  $C_{10}(q) = \frac{3q+2}{2q+3}$ .

The sequence consists of five jobs:

$$p_1 = \frac{1}{q}, \quad p_2 = \frac{-q^2 + 3q + 3}{2q^2 + 3q}, \quad p_3 = p_4 = p_5 = \frac{q^2 - 1}{2q^2 + 3q} = \frac{1}{3}(1 - p_2).$$

The sequence is non-increasing, since  $p_1 \geq p_2$  for all  $q \geq 1$  and  $p_2 \geq p_3$  for  $q \leq \frac{1}{4}(3 + \sqrt{41})$ .

Consider the subsequence  $J_1, J_2$ . Since  $q^3 - 3q^2 - q + 3 \leq 0$  for  $1 \leq q \leq 3$ ,  $\text{OPT}_2 = \max\{p_1, qp_2\} = qp_2$ . If  $\text{SONL}$  puts  $J_2$  on  $M_1$ ,  $\text{SONL}_2 = \frac{-q^2+5q+6}{2q^2+3q}$ . This is larger than  $C_{10}(q) \cdot qp_2$  for  $q \leq q_{10}$ .

Consider now the case, where  $\text{SONL}$  puts  $J_2$  on  $M_q$ . If  $J_2$  is the only job to be put on  $M_q$ ,

$$\text{SONL} \geq p_1 + 3p_3 = \frac{2q+3+3q^2-3}{2q^2+3q} \frac{3q+2}{2q+3} = C_{10}(q).$$



If  $J_2$  is not alone on  $M_q$ ,

$$\text{SONL} \geq q(p_2 + p_3) = q \frac{3q - q^2 + 3 + q^2 - 1}{2q^2 + 3q} = C_{10}(q).$$

Since  $qp_1 = p_2 + 3p_3 = 1$ , this proves the lower bound.

*Interval 11* ( $q_{10} \leq q \leq q_{11} \approx 2.33$ ):  $C_{11}(q) = \frac{1}{6q}(q^2 + 3 + \sqrt{q^4 - 6q^2 + 24q + 9})$ .

The sequence consists of five jobs:

$$p_1 = \frac{1}{q}, \quad p_2 = \frac{1}{4q^2}(3 - q^2 + \sqrt{q^4 - 6q^2 + 24q + 9}), \quad p_3 = p_4 = p_5 = \frac{1}{3}(1 - p_2).$$

To show that the sequence is valid we need to show  $p_1 \geq p_2$  and  $p_2 \geq p_3$ . The first is true for all  $q \geq \sqrt{7} - 1 \approx 1.65$ . The second results in the inequality  $q^3 - 2q - 8 \leq 0$  which is true for all  $q \leq q_{11}$ .

Consider the subsequence  $J_1, J_2$ . Since  $qp_2 \geq \frac{1}{q}$  is equivalent to  $q^2 - 3q - 1 \leq 0$ , which holds for  $q \leq \frac{1}{2}(3 + \sqrt{13})$ ,  $\text{OPT}_2 = qp_2$ . If SONL puts  $J_2$  on  $M_1$ ,  $\text{SONL}_2 = p_1 + p_2$  which gives the ratio  $\frac{p_1}{qp_2} + \frac{1}{q}$  that is exactly equal to  $C_{11}(q)$ . Thus, we turn to the case where SONL puts  $J_2$  on  $M_q$ . If at least one other job is put on  $M_q$ ,  $\text{SONL} \geq q(p_2 + \frac{1-p_2}{3}) = \frac{q}{3}(2p_2 + 1) = C_{11}(q)$ . Otherwise,  $\text{SONL} = p_1 + 1 - p_2 = \frac{1}{q} + 1 - p_2 \geq C_{11}(q)$ , when  $q$  is at least  $q_{10}$  and at most the largest root of  $3q^4 - 9q^3 - 8q^2 + 21q + 18$  which is approximately 2.76.

*Intervals 12 and 13* ( $q_{11} \leq q \leq \frac{1}{4}(3 + \sqrt{41}) \approx 2.35$  and  $\frac{1}{4}(3 + \sqrt{41}) \leq q \leq q_{13} \approx 2.51$ ):  $C_{12}(q) = \frac{q}{2}$ ,  $C_{13}(q) = \frac{3}{4} + \frac{1}{q}$ .

The sequence for both intervals consists of five jobs:

$$p_1 = \frac{1}{q}, \quad p_2 = p_3 = p_4 = p_5 = \frac{1}{4}.$$

OPT runs  $J_1$  on  $M_q$ , and all other jobs on  $M_1$ .

The algorithm needs to either run at least three of the other jobs on  $M_1$ , or at least two of them on  $M_q$ . In the first case,  $\text{SONL} \geq \frac{1}{q} + \frac{3}{4}$ . In the second case  $\text{SONL} \geq \frac{q}{2}$ . Thus, the competitive ratio is at least  $\min\{\frac{1}{q} + \frac{3}{4}, \frac{q}{2}\}$ . In interval 12,  $\frac{q}{2} \leq \frac{3}{4} + \frac{1}{q}$ , and the competitive ratio is at least  $C_{12}(q)$ . In interval 13,  $\frac{3}{4} + \frac{1}{q} \leq \frac{q}{2}$ , and the competitive ratio is at least  $C_{13}(q)$ .

*Interval 14* ( $q_{13} \leq q \leq q_{14} \approx 2.57$ ):  $C_{14}(q) = 1 + \frac{q^2 + 2q - 2 - \sqrt{q^4 + 8q + 4}}{2q + 4}$ .

The sequence consists of five jobs:

$$p_1 = \frac{1}{q}, \quad p_2 = 1 - \frac{1}{q} - \frac{q+2}{q+1}p_5, \quad p_3 = \frac{1}{q} - \frac{q}{q+1}p_5, \\ p_4 = p_5 = \frac{q+1}{2q(q+2)}(q^2 + 2q - 2 - \sqrt{q^4 + 8q + 4}).$$

The sequence is valid, since  $p_1 \geq p_2 \geq p_3$  for  $q \geq 2$ ,  $p_3 \geq p_4$  for  $q \leq q_{14}$ , and  $p_5 \geq 0$  for  $q \geq 2$ .

*Case 1: SONL assigns  $J_2$  to  $M_q$ .*

In this case,

$$\frac{\text{SONL}_2}{\text{OPT}_2} \geq \frac{qp_2}{p_1 + p_2} = C_{14}(q).$$

*Case 2: SONL assigns  $J_2$  and  $J_3$  to  $M_1$ .*

Note that  $\text{OPT}_3 \leq \max\{p_1 + p_2, qp_3\} = p_1 + p_2$ , since  $p_1 + p_2 > qp_3$  for any  $q > 2$ . Thus,

$$\frac{\text{SONL}_3}{\text{OPT}_3} = \frac{p_1 + p_2 + p_3}{p_1 + p_2} \geq C_{14}(q), \text{ for any } q \leq 2.8.$$

*Case 3: SONL assigns  $J_2$  to  $M_1$  and  $J_3$  to  $M_q$ .*

For the whole sequence, OPT runs  $J_1$  on  $M_q$  and the remaining four jobs on  $M_1$ .

If SONL runs both  $J_4$  and  $J_5$  run on  $M_1$ , we get  $\text{SONL} \geq p_1 + p_2 + 2p_5 = 1 + \frac{q}{q+1}p_5 = C_{14}(q)$ . Otherwise,  $\text{SONL} \geq q(p_3 + p_5) = q(\frac{1}{q} + \frac{1}{q+1}p_5) = C_{14}(q)$ .

*Interval 15 ( $q \geq q_{14}$ ):  $C_{15}(q) = 1 + \frac{1}{2q+1}$ .*

For this whole interval, LPT is optimal. However, using the negative example in [7] does not directly yield the desired general bound. We use an adaptation of that sequence.

For  $q \geq 1 + \sqrt{3}$ , the adapted sequence consists of the five jobs

$$p_1 = \frac{2q^2 - 2q - 3}{2q^2 + q}, \quad p_2 = \frac{1}{q}, \quad p_3 = p_4 = p_5 = \frac{q + 1}{2q^2 + q}.$$

Note that  $p_1 \geq p_2$  for  $q \geq 1 + \sqrt{3}$  and  $p_2 \geq p_3$  for  $q \geq \frac{1}{4}(3 + \sqrt{41})$ .

For  $q < 1 + \sqrt{3}$ , the above sequence does not apply, since  $p_1 < p_2$ . Thus, we switch the order of the first two jobs and use the sequence

$$p'_1 = p_2, \quad p'_2 = p_1, \quad p'_3 = p'_4 = p'_5 = p_3.$$

For  $1 - \sqrt{3} \leq q \leq 1 + \sqrt{3}$ ,  $p'_1 \geq p'_2$ . Furthermore,  $p'_2 \geq p'_3$ , since  $2q^2 - 3q - 4 \geq 0$  for  $q \geq \frac{1}{4}(3 + \sqrt{41}) \approx 2.35$  ( $< q_{14}$ ).

*Case 1: SONL runs the second job on  $M_q$ .*

After two jobs,  $\text{OPT}_2 \leq p_1 + p_2 = \frac{2q^2 - 2}{2q^2 + q}$ .

For  $q \geq 1 + \sqrt{3}$ ,  $\text{SONL}_2 \geq qp_2 = 1$ . In this case, the competitive ratio is at least  $\frac{2q^2 + q}{2q^2 - 2} \geq \frac{2q+2}{2q+1}$ , since  $(2q + 1)^2 \geq 2q(2q + 2) \geq (2q - \frac{2}{q})(2q + 2)$ .

For  $q < 1 + \sqrt{3}$ ,  $\text{SONL}_2 \geq qp'_2 = qp_1 = \frac{2q^2 - 2q - 3}{2q + 1}$ . This violates the competitive ratio when  $\frac{2q^2 - 2q - 3}{2q^2 - 2} \geq \frac{2q+2}{2q^2 + q}$ . This is true when  $q$  is at least the largest root of  $4q^4 - 6q^3 - 12q^2 + q + 4$ .

*Case 2: SONL runs the second job on  $M_1$ .*

OPT is achieved by running  $J_2$  on  $M_q$  and all other jobs on  $M_1$ . If SONL schedules at least two jobs on  $M_q$ , then  $\text{SONL} \geq \frac{2q(q+1)}{q(2q+1)} = \frac{2q+2}{2q+1}$ . Otherwise, two extra jobs (apart from  $J_1$  and  $J_2$ ) run on  $M_1$ , which gives  $\text{SONL} \geq \frac{2q^2-2}{2q^2+q} + \frac{2(q+1)}{2q^2+q} = \frac{2q^2+2q}{2q^2+q} = \frac{2q+2}{2q+1}$  as needed.

## 6 Conclusion

We have given a complete analysis of deterministic semi-online algorithms for two related machines and non-increasing job sizes. It is left as an open problem to analyze the behavior of randomized algorithms for two machines. For a general setting of  $m$  machines, it should be difficult to give a complete analysis depending on the speeds. However, it is intriguing to close the open question: what is the best overall competitive ratio for  $m$  machines?

## 7 Acknowledgements

- We would like to thank Jiří Sgall, Joan Boyar and Gerhard Woeginger for helpful comments.
- We would like to thank an anonymous referee for thorough comments that significantly improved the presentation of the paper.
- A preliminary version of this paper appeared in Proc. of 27th Mathematical Foundations of Computer Science (MFCS'2002), pages 245-256.
- The research of Leah Epstein was supported in part by the Israel Science Foundation, (grant no. 250/01).
- The research of Lene M. Favrholdt was supported in part by the Danish Natural Science Research Council (SNF) and in part by the Future and Emerging Technologies program of the EU under contract number IST-1999-14186 (ALCOM-FT).

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