AN O-MINIMALIST VIEW OF THE GROUP CONFIGURATION

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ABSTRACT. The group configuration in o-minimal structures gives rise, just like in the stable case, to a transitive action of a type-definable group on a partial type. Because acl = dcl the o-minimal proof is significantly simpler than Hrushovski's original argument. Several equivalent versions, which are more suitable to the o-minimal setting, are formulated, in functional language and also in terms of a certain 4-ary relation.

In addition, the following question is considered: Can every definably connected type-definable group be definably embedded into a definable group of the same dimension? Two simple cases with a positive answer are given.

1. INTRODUCTION

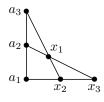
The group configuration is one of the most important tools of Geometric Model Theory. It allows to extract a (type) definable group from a small number of model theoretic (in)dependencies. Logicians working in o-minimal structures are often asked by other model theorists whether the group configuration theorem holds in o-minimal structures. My usual reply is: "Yes, but it does not suit well the ominimal setting". In fact, even in [17], where we recovered a group and a field in the o-minimal setting, we did not make use of such a configuration. In this note I will try to clarify this point, proving a precise result about the recovery of a (type definable) group and its action from an *acl*-group configuration and then discussing some equivalences.

Roughly described, the proof of Hrushovski's Group Configuration theorem goes via three main steps. In the first one replaces the original configuration where the dependencies are determined by *algebraic closure*, by an equivalent configuration where the dependencies are given by *definable closure*. In the second step one uses "germs" of definable functions to produce a "group chunk" from this *dcl*-configuration and in the final step a (type) definable group is obtained using the so-called Hrushovski's group chunk theorem, a generalization of a theorem of Weil from the theory of algebraic groups.

The proof of the o-minimal result skips the above first step, since in linearly ordered structures acl = dcl. This simplifies substantially the argument. Then, using the notion of *an infinitesimal locus* and definable functions on them one obtains from the configuration an associativity-like functional equation. As in the stable proof, composition of functions yield a type-definable group and action, on infinitesimal types.

Before stating the main theorem recall that a type-definable group is a partial type G(x) (i.e. a collection of formulas in x of cardinality at most $\kappa = |L|$) together with a definable binary function whose restriction to $G \times G$ is a group operation. A group action of G on a type Σ is called *definable* if it is the restriction to $G \times \Sigma$ of a definable function.

Theorem. Let \mathcal{M} be an $|L|^+$ -saturated o-minimal structure which eliminates imaginaries. Assume that $V = (a_1, a_2, a_3, x_1, x_2, x_3)$ is an (m, k)-configuration over A(see 3.1 for precise definition).





Then there exists an m'-dimensional type-definable group (G, \star) over M, with $k \leq m' \leq m$, acting definably and transitively on a k-dimensional partial type over M.

The configuration and group action are related as follows: There is $B \supseteq A$ independent from V over A, and there are $g_1, g_2, g_3 \in G$, and $y_1, y_2, y_3 \models \Sigma$ such that for each $i = \{1, 2, 3\}$, we have $g_i \in dcl(a_iB)$, and $dcl(x_iB) = dcl(y_iB)$, and in addition $(g_1, g_2, g_3, y_1, y_2, y_3)$ is an (m', k)-configuration in G as in Example 3.3.

If, in addition, the configuration is minimal then m' = m and each g_i is interdefinable with a_i over B.

As in the stable case, an additional node in the configuration (see [1, Appendix]) yields an abelian group. Also, if m = k then one can identify the group with the homogeneous space.

- Remark 1.1. (1) The assumption that \mathcal{M} eliminates imaginaries is considered mild in the o-minimal setting. E.g., it holds if \mathcal{M} expands an ordered group. In fact, using the Trichotomy Theorem it can be eliminated but it is here in order to avoid technicalities.
 - (2) The domain of the group G is the infinitesimal type of a_1 , or more precisely its quotient by a definable equivalence relation. It acts on the infinitesimal type of x_2 or any other x_i . These are types over the model \mathcal{M} , but after the fact could be chosen over any model which contains the configuration and the parameter set B above.
 - (3) In Section 4 an equivalent condition to the group configuration is expressed by a 4-ary relation with additional properties. This uses ideas from [4].
 - (4) As discussed in Section 5, one could replace the type-definable homogeneous space (G, Σ) by a definable local group $G_0 \supseteq G$, together with a definable family of injections on definable sets containing x_2 .
 - (5) Also in Section 5 a related question is considered: Can every definably connected type definable group G be definably embedded into a definable group, possibly of the same dimension? This is true in the stable setting, but the stable proof fails in the o-minimal setting. A positive answer is given when G is either 1-dimensional or has a simple Lie algebra.

References and acknowledgements. While this o-minimal point of view has not been published before, the main ideas are all taken from the existing accounts of various forms of the group configuration. Here are some of the sources that I have used.

The Group Configuration Theorem in the stable setting and its model theoretic proof and framing is due to Hrushovski, [9] and [10]. However, already in [22, Proposition 5.1] Zil'ber saw, using very different arguments, that a certain 6-points configuration in the strongly minimal countably categorical setting (see [21, Lemma 3.3]) leads to the presence of a definable group.

Accounts of Hrushovski's theorem in the superstable setting appear in Bouscaren's article [3], and in the stable setting in Pillay's book [18, Theorem 5.4.5]. For the abelian version of the configuration I also used [1]. The current description was influenced by discussions with Chernikov and Starchenko during our work on [4], and also with Eleftheriou and Hasson during work on [8] and elsewhere. The idea of using infinitesimal types in the o-minimal setting goes back to the early 1990's and was inspired by preliminary versions of Zil'ber's book, [20]. Some ideas here have already appeared in [15].

2. O-MINIMAL PRELIMINARIES

For basic reference on o-minimality, see [5] and also [14].

We work in an o-minimal structure $\mathcal{M} = \langle M, \langle \dots \rangle$ which is assumed to be $|\kappa|^+$ saturated, where $\kappa = |L|$ is the cardinality of the signature. Since M is linearly ordered it can be equipped with the order topology, and M^n with the product topology. All topological references below are to this topology.

The letters A, B, C, D are reserved for subsets of M of cardinality at most κ .

2.1. Geometric dimension of subsets of M. As already noted, the existence of a definable linear ordering implies that acl(A) = dcl(A) for every $A \subseteq M$ and dcl(-) gives rise to dimension of (small) subsets of M over a (small) set of parameters. Namely,

 $\dim(A/B) = \{ |A_0| : A_0 \subseteq A \text{ is maximal } dcl \text{-independent over } B \}.$

(a set $A \subseteq M$ is *dcl-independent over* B if for every $a \in A$, $a \notin dcl((A \setminus \{a\}) \cup B))$.

The dimension formula

For tuples a, b and $A \subseteq M$,

$$\dim(a, b/A) = \dim(a/Ab) + \dim(b/A).$$

Two tuples a and b are inter-definable over A if dcl(aA) = dcl(bA). By the dimension formula, it follows that $\dim(a/A) = \dim(b/A)$. The set A is said to be independent from C over B if $\dim(A/B \cup C) = \dim(A/B)$. By the Exchange Principle it follows that $\dim(C/A \cup B) = \dim(C/B)$. From now one we write BC instead of $B \cup C$.

2.2. **Definable sets.** If $X \subseteq M^n$ is a definable set over B then the dimension of X can be defined by

$$\lim(X) = \max\{\dim(a_1,\ldots,a_n/B) : (a_1,\ldots,a_n) \in X\}.$$

An equivalent definition for $\dim(X)$ is the maximal $k \leq n$ such that some projection of X onto k of its coordinates contains an open subset of M^k . If $a \in X$ and $\dim(a/B) = \dim(X)$ then say that a is generic in X over B. The following fact is very useful here:

Fact 2.1. Assume that $a \in M^n$ and $A \subseteq B \subseteq M$. For every open neighborhood U of a, there exists $C \supseteq A$, independent from aB over A, and a C-definable open $W \subseteq U$ containing a. In particular, $\dim(a/A) = \dim(a/C)$ and $\dim(aB/C) = \dim(aB/A)$.

Proof. Consider a rectangular open box $W \subseteq U$ containing a of the form $W = \prod_{i=1}^{n} (a_i, b_i)$, with dim $(a_1, \ldots, a_n, b_1, \ldots, b_n/aB) = 2n$ (it is not hard to see, using saturation, that indeed such box exists). It follows that $a_1, \ldots, a_n, b_1, \ldots, b_n$ is independent from aB over A. Let $C = Aa_1 \cdots, a_nb_1 \cdots b_n$.

The following is [5, Corollary 4.1.9].

Fact 2.2. Let $X \subseteq Y \subseteq M^n$ be definable sets and endow Y with the subspace topology. Then $\dim(X \setminus Int_Y(X)) < \dim Y$ (here $Int_Y(-)$ is the interior with respect to the topological space Y). In particular, if a is generic in Y over A (assuming that Y is A-definable) then for every A-definable $X \subseteq Y$ which contains a, we have $a \in Int_Y(X)$.

2.3. Infinitesimal neighborhood and infinitesimal loci. The structure \mathcal{M} is still assumed to be a κ^+ -saturated structure.

Definition 2.3. For $a \in M^n$, the \mathcal{M} -infinitesimal neighborhood of a is the partial type over \mathcal{M} consisting of all \mathcal{M} -definable open subset of \mathcal{M}^n which contain a. For \mathcal{N} an $|\mathcal{M}|^+$ -saturated elementary extension of \mathcal{M} , it is often convenient to identify this type with its realization in \mathcal{N} . Suppressing the dependence on \mathcal{M} the type is denoted by μ_a and its realization by $\mu_a(\mathcal{N})$. It is easy to check that if $a = (a_1, \ldots, a_n)$ then $\mu_a = \mu_{a_1} \times \cdots \times \mu_{a_n}$.

If $X \subseteq M^n$ is an \mathcal{M} -definable set and $a \in X$ then let $\mu_a(X)$ denote the set $\mu_a(\mathcal{N}) \cap X(\mathcal{N})$ (namely, the partial type $\mu_a \cup \{\varphi(\bar{x})\}$, where φ defines X).

Fact 2.4. Assume that Q is A-definable and a is generic in Q over A. Then for every A-definable set R, if $a \in R$ then $\mu_a(Q) \subseteq \mu_a(R)$. In particular, if dim $Q = \dim R$ then $\mu_a(Q) = \mu_a(R)$.

Proof. First consider $Q \cap R$, which is also A-definable. By Fact 2.2, $a \in Int_Q(Q \cap R)$ so there exists an A-definable open $U \ni a$ such that $U \cap Q \subseteq R \cap Q$. It follows that $U \cap R \cap Q = U \cap Q$.

It is now easy to see that $\mu_a(R \cap Q) = \mu_a(Q)$ and hence $\mu_a(Q) \subseteq \mu_a(R)$. If $\dim Q = \dim R$ then a is also generic in R over A, hence $\mu_a(R) \subseteq \mu_a(Q)$.

Definition 2.5. Given $a \in M^n$, $A \subseteq M$, and $Q \subseteq M^n$ an A-definable set such that a is generic in Q over A, one calls $\mu_a(Q)$ the infinitesimal locus of a over A, with respect to \mathcal{M} , and denote it by $\mu^{\mathcal{M}}(a/A)$. If the ambient structure \mathcal{M} is clear then the notational reference to \mathcal{M} is omitted.

Remarks

- (1) By Fact 2.4, the notion of $\mu(a/A)$ does not depend on the choice of Q.
- (2) If $A \subseteq B \subseteq M$ and B is independent from a over A then $\mu(a/A) = \mu(a/B)$.
- (3) If a and b are independent over A then $\mu(a, b/A) = \mu(a/A) \times \mu(b/A)$.

Here are some examples of infinitesimal loci.

Example 2.6. Let $\mathcal{M} = \langle R, <, +, \cdot \rangle$ be a \aleph_1 -saturated real closed field, $R \supseteq \mathbb{R}$.

(1) For $a \in R \setminus \mathbb{R}$, $\mu(a/\mathbb{R}) = \mu(a/\emptyset) = \mu_a$ is the \mathcal{M} -infinitesimal neighborhood of a, namely the intersection of all open intervals $(a - \epsilon, a + \epsilon), \epsilon \in R$.

- (2) If $a \in \mathbb{R}$ then $\mu(a/\mathbb{R})$ is the partial type $\mu_a \cup \{x = a\}$ which is equivalent to the isolated type x = a.
- (3) If $a = (b, b^2) \in \mathbb{R}^2$ with $b \notin \mathbb{R}$ then $\mu(a/\mathbb{R})$ is $\mu_a \cup \{y = x^2\}$, the intersection of μ_a with the parabola $y = x^2$.
- (4) If $a = (a_1, a_2) \in \mathbb{R}^2$ with $\dim(a/\mathbb{R}) = 2$ then $\mu(a/A) = \mu_a$ the infinitesimal neighborhood of a in \mathbb{R}^2 .

By Fact 2.4,

Fact 2.7. For every $a \in M^n$,

$$\mu(a/A) \vdash tp(a/A).$$

By the saturation of \mathcal{M} , the types $\mu(a/A)$ and tp(a/A) are logically equivalent if and only if $a \in dcl(A)$.

A function $f : \mu(a/A) \to \mu(b/A)$ is called *A*-definable if there exists an *A*-definable set X such that the graph of f equals $X \cap \mu(a/A) \times \mu(b/A)$. It is not hard to see that in this case X itself can be chosen to be the graph of a function.

Lemma 2.8. Let $a \in M^n$, $b \in M^k$.

- (1) The set $\mu(ab/A)$ is a subset of $\mu(a/A) \times \mu(b/A)$ and projects onto both.
- (2) If $b \in dcl(aA)$ then the set $\mu(ab/A)$ is the graph of an A-definable surjective function from $\mu(a/A)$ onto $\mu(b/A)$.
- (3) If a and b are inter-definable over A then $\mu(ab/A)$ is the graph of an Adefinable bijection between $\mu(a/A)$ and $\mu(b/A)$.
- (4) Assume that a, b are independent over A and b, c are inter-definable over aA. Then $\mu(abc/A)$ is the graph of an A-definable $F: \mu(a/A) \times \mu(b/A) \longrightarrow \mu(c/A)$ and for every $a' \in \mu(a/A)$, the map F(a', -) is a bijection of $\mu(b/A)$ and $\mu(c/A)$.

Proof. (1) Assume that $X \ni (a, b)$ is an A-definable set. We want to show that for every $b' \in \mu(b/A)$ there exists $a' \in \mu(a/A)$ with $(a', b') \in X$.

Let $U \ni a$ be an *M*-definable open $U \ni a$. By Fact 2.1, there exists an open $V \subseteq U$ containing *a*, defined over a parameter set *C* independent from *a*, *b* over *A*. Hence, $\mu(ab/C) = \mu(ab/A)$

We have $(a, b) \in X$ and $a \in V$ and by Fact 2.7, $\mu(b/C) \vdash tp(b/C)$. Hence, for every $b' \in \mu(b/C)$ we have $\exists x \in V(x, b') \in X$. This is true for every *M*-definable open $U \ni a$ hence by compactness for every $b' \in \mu(b/C)$ there exists $a' \in \mu(a/A)$ with $(a', b') \in X$. This shows that the set $X \cap \mu(a/A) \times \mu(b/A)$, which by definition equals to $\mu(ab/A)$, projects onto $\mu(b/A)$. By symmetry it also projects surjectively onto $\mu(a/A)$.

(2) We repeat the same argument but since $b \in dcl(aA)$ one may also choose X to be the graph of a function.

(3) By (2), the set $\mu(abc/A)$ is the graph of a surjective function from $\mu(ab/A) = \mu(a/A) \times \mu(b/A)$ (by the independence of a and b) onto $\mu(c/A)$. For the same reason this set is also the graph of a surjective function from $\mu(a/A) \times \mu(c/A)$ onto $\mu(b/A)$. The result follows.

Remark 2.9. Some of the statements in Section 3.6.4 of [20] resemble the above Lemma 2.8, despite the fact that both settings are very different from each other.

2.4. Germs of definable functions. Going back to Hrushovski, all proofs of the group configuration theorem in the stable case make use of "germs" of definable functions. The term itself originates in the theory of continuous or analytic functions, where local equality refers to some ambient topology. O-minimality allows us to return to this original meaning.

Definition 2.10. Given a definable set $X \subseteq M^n$ and definable maps $f, g: X \to M^k$, say that f and g have the same germ at $x_0 \in X$, $f \sim_{x_0} g$, if there exists an open $U \ni x_0$ such that for every $x \in U \cap X$, f(x) = g(x).

Lemma 2.11. Let x_0 be a generic point in $X \subseteq M^n$ over A and $\{f_t : t \in T\}$ an A-definable family of maps from X into M^k . If $t_0 \in T$ is generic and independent from x_0 over A then there exist definable open sets $W_0 \ni t_0$, $U_0 \ni x_0$, defined over parameters which are independent from x_0, t_0 over A, such that for every $t_1, t_2 \in W_0 \cap T$, and $x \in U_0$, if $f_{t_1} \sim_{x_0} f_{t_2}$ then $f_{t_1}|U_0 \cap X = f_{t_2}|U_0 \cap X$.

In particular, for every $t_1, t_2 \in \mu(t_0/A)$,

$$f_{t_1} \sim_{x_0} f_{t_2} \Leftrightarrow f_{t_1} | \mu(x_0/A) = f_{t_2} | \mu(x_0/A) \Leftrightarrow f_{t_1} | U_0 = f_{t_2} | U_0.$$

Proof. Without loss of generality, $A = \emptyset$. To simplify notation write $t_1 \sim_{x_0} t_2$ instead of $f_{t_1} \sim_{x_0} f_{t_2}$, and let $[t]_{x_0}$ denote the equivalence class.

Assume that $\dim[t_0]_{x_0} = r$ and choose t_1 generic in $[t_0]_{x_0}$ over t_0, x_0 . Let us see that t_0 is generic in $[t_0]_{x_0} = [t_1]_{x_0}$ over t_1x_0 .

Indeed,

 $\dim(t_0/t_1x_0) + \dim(t_1/x_0) = \dim(t_0t_1/x_0) = \dim(t_1/t_0x_0) + \dim(t_0/x_0) = r + \dim(T).$ Since $\dim(t_1/x_0) \leq \dim T$, then $\dim(t_0/t_1x_0) \geq r$, but since $t_0 \in [t_1]_{x_0}$ then $\dim(t_0/t_1x_0) = r$, as claimed.

Let $U \ni x_0$ be an open set defined over additional parameters B which are independent from t_0, t_1, x_0 , such that $f_{t_0}|U = f_{t_1}|U$. Since t_0 is generic in $[t_1]_{x_0}$ over Bx_0t_1 there exists a B-definable open $W \ni t_0$ such that for every $t' \in W \cap T$, if $t' \sim_{x_0} t_1$ then $f_{t'}|U = f_{t_1}|U$. But then, also

(2.1)
$$\forall t' \in W \cap T \ (t' \sim_{x_0} t_0 \longrightarrow f_{t'} | U = f_{t_0} | U).$$

Let $\phi(x_0, t_0)$ be a formula over B expressing (2.1). Since x_0 and t_0 are independent over B, there are neighborhoods $W_0 \ni t_0$ and $U_0 \ni x_0$ such that every $t_1 \in W_0 \cap T$ and $x_1 \in U_0 \cap X$ satisfy $\phi(x_1, t_1)$. Finally, one may replace W_0 and U_0 by $W_0 \cap W$ and $U_0 \cap U$, respectively, to obtain the required result.

3. The configuration theorem

Assume that \mathcal{M} is an $|\mathcal{L}|^+$ -saturated structure which eliminates imaginaries.

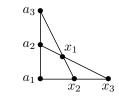
Definition 3.1. An (m, k)-homogenous space configuration in \mathcal{M} over $A \subseteq M$, or just an (m, k)-configuration over A, is 6-tuple of tuples $(a_1, a_2, a_3, x_1, x_2, x_3)$ from \mathcal{M} , such that (we allow redundancies in the clauses below)

- (i) For i = 1, 2, 3, $\dim(a_i/A) = m$ and $\dim(x_i/A) = k$.
- (ii) $\dim(x_1, x_2, x_3/A) = 3k$ and for $i \neq j$, $\dim(a_i, a_j/A) = \dim(a_1, a_2, a_3/A) = 2m$.
- (iii) For distinct i, j, k, dim $(x_i, x_j/a_k A) = k$.
- (iv) For $i \neq j$, and any k, $\dim(a_i, a_j, x_k/A) = 2m + k$ and $\dim(a_i, x_i, x_j/A) = m + 2k$.

 $\mathbf{6}$

The configuration is called *minimal* if for every $B \supseteq A$ independent from V over A, and for every b_1, b_2, b_3 , with $b_i \in dcl(Ba_i)$, if $(b_1, b_2, b_3, x_{1,2}, x_3)$ is an (m', k)-configuration over B (so in particular, $m' \leq m$) then m' = m.

It is common to read-off the above information from the diagram below as follows: In addition to (i), every triples which is not co-linear is independent over A and also, whenever $x_i, x_j, a_k, i \neq j$, is a co-linear triple then $x_i \in dcl(x_j, a_k, A)$, and each element of the co-linear triple a_1, a_2, a_3 is in the definable closure over A of the other two.

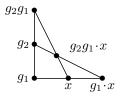


(3.1)

Remark 3.2. It follows from the dimension formula that whenever V is an (m, k)configuration over A then $m \ge k$.

The canonical example of an (m, k)-configuration is given by a group action as follows:

Example 3.3. Let G be an type-definable group acting definably and transitively on a definable k-dimensional set X. Let g_1, g_2 be independent and generic elements in G and $x \in X$ generic and independent over a_1, a_2 . It is not hard to verify that $(g_1, g_2, g_2g_1, x, g_1 \cdot x, g_2g_1 \cdot x)$ is an (m, k)-configuration. Namely,





If the action of G is faithful then the configuration is minimal.

Note that the parameter set over which the configuration is defined depends only on the parameters defining the group operation of G and not on those defining the universe of G. This will be used below.

Here is the o-minimal group-configuration theorem.

Theorem 3.4. Assume that $V = (a_1, a_2, a_3, x_1, x_2, x_3)$ is an (m, k)-configuration over A. Then there exists an m'-dimensional type-definable group (G, \star) , with $k \leq m' \leq m$, acting definably and transitively on some k-dimensional partial type Σ over M.

Moreover, there is a parameter set $B \supset A$ independent from V over A, and there are elements $g_1, g_2, g_3 \in G$, and $y_1, y_2, y_3 \models \Sigma$ such that for each $i = \{1, 2, 3\}$, we have $g_i \in dcl(a_iB)$, and $dcl(x_iB) = dcl(y_iB)$, and in addition $(g_1, g_2, g_3, y_1, y_2, y_3)$ is an (m', k)-configuration over B inside G as in Example 3.3.

If, in addition, the configuration is minimal then m' = m and each g_i is interdefinable with a_i over B. Remark 3.5. Although the universe of the type-definable group G is definable over M (in fact, it equals $\mu(a_1/A)$), the group operation will be defined over B and therefore the configuration coming from Example 3.3 is a configuration over B.

Proof. Note that by assumptions, for the co-linear triple $\{a_1, a_2, a_3\}$, each two of the a_i 's are inter-definable over the third one, and for the other co-linear triples, the x_i 's are inter-definable over the a_j . Here is the main idea of the proof: By applying Lemma 2.8 (3), one obtains A-definable functions F, L, H, K satisfying:

$$F(a_2, a_1) = a_3$$
, $L(a_1, x_2) = x_3$ and $H(a_3, x_2) = x_1 = K(a_2, x_3)$.

Putting together the above functional equation, one obtains the following associativitylike law.

(3.3)

$$H(F(a_2, a_1), x_2) = K(a_2, L(a_1, x_2)),$$
 with

$$\dim(a_i/A) = m$$
, for $i = 1, 2$, $\dim(x_2/A) = k$ and $\dim(a_1, a_2, x_2/A) = 2m + k$

The above seems to be the appropriate version of the group configuration in the o-minimal setting, as it reflects the functional nature of o-minimal objects.

Let us now go into the details.

(i) First apply Fact 2.8 (3) to a_1, a_2, a_3 and obtain an A-definable function F(-, -), satisfying

$$F: \mu(a_2/A) \times \mu(a_1/A) \to \mu(a_3/A) \text{ and } F(a_2, a_1) = a_3.$$

Moreover, for every $a'_1 \in \mu(a_1/A)$, $F(-, a'_1)$ is a bijection. The analogous fact holds for $F(a'_2, -)$.

(ii) Next, apply the same result to the triple a_1, x_2, x_3 and obtain an A-definable L(-, -) satisfying

 $L: \mu(a_1/A) \times \mu(x_2/A) \to \mu(x_3/A) \text{ and } L(a_1, x_2) = x_3,$

such that for every $a'_1 \in \mu(a_1/A)$, the function $L(a'_1, -)$ is a bijection.

(*iii*) We also have

$$H: \mu(a_3/A) \times \mu(x_2/A) \to \mu(x_1/A) \text{ and } H(a_3, x_2) = x_1,$$

such that for every $a'_3 \in \mu(a_3/A)$ the function $H(a'_3, -)$ is a bijection. (*iv*) Finally, we have

$$K: \mu(a_2/A) \times \mu(x_3/A) \to \mu(x_1/A) \text{ and } K(a_2, x_3) = x_1,$$

such that for every $a'_2 \in \mu(a_2/A)$, $K(a'_2, -)$ is a bijection. We conclude

(3.4)
$$H(F(a_2, a_1), x_2) = K(a_2, L(a_1, x_2))$$

3.1. Composition of families of functions. For $a'_3 \in \mu(a_3/A)$, let

$$h_{a'_3}(-) = H(a'_3, -) : \mu(x_2/A) \to \mu(x_1/A).$$

Similarly, write

$$k_{a_2'}:=K(a_2',-):\mu(x_3/A)\to\mu(x_1/A) \text{ and } \ell_{a_1'}:=L(a_1',-):\mu(x_2/A)\to\mu(x_3/A)$$
 and

$$f_{a'_2} := F(a_2, -) : \mu(a_1/A) \to \mu(a_3/A).$$

Consider now the three families of functions:

$$\mathcal{H} = \{h_{a'_3} : a'_3 \in \mu(a_3/A)\}; \ \mathcal{K} = \{k_{a'_2} : a'_2 \in \mu(a_2/A)\}; \ \mathcal{L} = \{\ell_{a'_1} : a'_1 \in \mu(a_1/A)\}.$$
Using (3.4),

Claim 3.6. (i) For every $k \in \mathcal{K}$ and $\ell \in \mathcal{L}$, there exists $h \in \mathcal{H}$ such that $k \circ \ell = h$.

(ii) For every $h = h_{a'_3} \in \mathcal{H}$, and $k = k_{a'_2} \in \mathcal{K}$ there exists $\ell = \ell_{f_{a_2}^{-1}(a'_3)} \in \mathcal{L}$ such that $k \circ \ell = h$.

(iii) For every $\ell \in \mathcal{L}$ and $h \in \mathcal{H}$ there exists $k \in \mathcal{K}$ such that $k \circ \ell = h$.

Proof. Let us see for example (i). If $k = k_{a'_2}$ and $\ell = \ell_{a'_1}$ then $h = h_{F(a'_2, a'_1)}$. Note that (i) above implies that the family of compositions of functions from \mathcal{K} and \mathcal{L} , each of which seemingly k-dimensional, is again a k-dimensional family. This is another indication for the presence of a group. From here on composition $f \circ g$ is written as fg. We are now ready to define the intended group:

$$G = \{\ell_1^{-1}\ell_2 : \ell_i \in \mathcal{L}\},\$$

a family of permutations of $\mu(x_2/A)$.

Proposition 3.7. The set G is a group with respect to composition.

Proof. The main tool towards the proof is:

Lemma 3.8. For every $\ell_1, \ell_2, \ell_3 \in \mathcal{L}$ there exists $\ell_4 \in \mathcal{L}$ such that $\ell_1^{-1}\ell_2 = \ell_3^{-1}\ell_4$.

Proof. By Claim 3.6, we can write $\ell_3 = k_3^{-1}h_3$ for some $k_3 \in \mathcal{K}$, $h_3 \in \mathcal{H}$. Then write $\ell_1 = k_1^{-1}h_3$ for some $k_1 \in \mathcal{K}$, and finally $\ell_2 = k_2^{-1}h_2$ for some $h_2 \in \mathcal{H}$. Thus $\ell_3\ell_1^{-1}\ell_2 = k_3^{-1}h_2$ which again by the above observation equals some $\ell_4 \in \mathcal{L}$, thus proving the lemma.

To see that G is indeed a group one needs to see that for every $\ell_1, \ell_2, \ell_3, \ell_4$, the function $\ell = \ell_1^{-1} \ell_2 \ell_3^{-1} \ell_4$ is in G. By the above lemma, $\ell_3^{-1} \ell_4$ can be written as $\ell_2^{-1} \ell_5$ for some $\ell_5 \in G$ and then $\ell = \ell_1^{-1} \ell_5$ is in G, thus proving the proposition. \Box By its definition the group G acts on $\mu(x_2/A)$, as $(\ell_1^{-1} \ell_2) \cdot x = \ell_1^{-1}(\ell_2(x))$.

Lemma 3.9. The action of G on $\mu(x_2/A)$ is transitive, so in particular dim $G \ge k$.

Proof. The first claim is that for every $(x'_2, x'_3) \in \mu(x_2/A) \times \mu(x_3/A)$, there exists $a'_1 \in \mu(a_1/A)$ such that $\ell_{a'_1}(x'_2) = (x'_3)$. Indeed, by Lemma 2.8, $\mu(a_1x_2x_3/A)$, which is exactly $Graph(L) \cap \mu(a_1/A) \times \mu(x_2/A) \times \mu(x_3/A)$, projects onto $\mu(x_2/A) \times \mu(x_3/A)$. The claim follows.

It is now easy to conclude that for every $(x'_2, x''_2) \in \mu(x_2/A) \times \mu(a_2/A)$, there exists $\ell_1, \ell_2 \in \mathcal{L}$ such that $\ell_1^{-1}\ell_2(x'_2) = x''_2$.

The next goal is to identify G as a type-definable group. First fix $a \in \mu(a_1/A)$ independent form all parameters mentioned thus far (including V itself). By Lemma 3.8, $G = \{\ell_a^{-1}\ell_{a'_1} : a'_1 \in \mu(a_1/A)\}.$

By Lemma 2.11, there exist a definable open $U_0 \ni x_2$ and a definable open $W \ni a_1$, possibly defined over additional independent parameters, such that for every $a'_1, a''_1 \in W$, $\ell_{a'_1} = \ell_{a''_1}$ (as functions on $\mu(x_2/A)$) iff for every $x \in U_0$, $L(a'_1, x) = L(a''_1, x)$. It follows that there exists a definable equivalence relation E, defined over independent parameters C, such that for every $a'_1, a''_1 \in W$, $\ell_a^{-1}\ell_{a'_1} = \ell_a^{-1}\ell_{a''_1}$ if and only if $a'_1Ea'_2$. Denote by $[a'_1]$ the equivalence class of a'_1 with respect to

E. Because \mathcal{M} eliminates imaginaries there is a definable bijection α between the quotient space W/E and a definable set Z. We may choose W small enough so that the dimension of W/E is exactly $m' = \dim G = \dim Z$.

The element $\alpha(a_1)$ is generic in Z over C and as in the proof of Lemma 2.8(1), $\alpha(\mu(a_1/A)) = \alpha(\mu(a_1/C)) = \mu(\alpha(a_1)/C)$, so the map α induces a bijection between elements of G which are functions $\ell_a^{-1}\ell_{a'_1}$ and $\alpha(a'_1) \in \mu(\alpha(a_1)/C)$. This shows that G can indeed be realized as a type-definable set $\mu(\alpha(a_1)/C)$.

Finally, one needs to find a corresponding configuration in G. For that, it is still convenient to view G as the set $\ell_a^{-1}\ell_{a'_1}$, for $a'_1 \in \mu(a_1/A)$. Fix $b \in \mu(a_3/A)$ generic over A and all parameters thus far (including the configuration V and a).

Define the elements of the G-configuration as follows:

$$g_1 := \ell_a^{-1} \ell_{a_1} \ g_2 := h_b^{-1} k_{a_2} \ell_a \ , \ g_3 := g_2 g_1 = h_b^{-1} k_{a_2} \ell_{a_1}.$$

We have $g_1 \in dcl(Aaa_1)$, $g_2 \in dcl(Aba_2)$ and by (3.4), $k_{a_2}\ell_{a_1} = h_{a_3}$, hence $g_3 \in dcl(Aba_3)$.

Now let

$$y_2 := x_2; y_3 := g_1 \cdot y_2 = \ell_a^{-1} \ell_{a_1}(x_2) = \ell_a^{-1}(x_3).$$

and

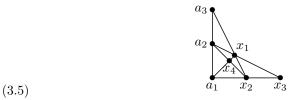
$$y_1 := g_3 \cdot y_2 h_b^{-1} h_{a_3}(y_2) = h_b^{-1} h_{a_3}(x_2) = h_b^{-1}(x_1)$$

Hence, $y_i \in dcl(Aabx_i)$, and by its definition and the independence of ab over all parameters, $V_G = (g_1, g_2, g_3, y_1, y_2, y_3)$ is a group configuration as in Example 3.3 over Cb. This ends the proof of the main statement in Theorem 3.4.

Assume now that the configuration V is minimal. Then, the above configuration shows that necessarily m' = m. Indeed, if not then V_G contradicts the minimality of V. Also, the equivalence class of a_1 (with respect to the germ relation E above) is finite. It is not difficult to see that in fact, the equivalence class of each $a'_1 \in \mu(a_1/A)$ contains a single element a'_1 . This ends the proof of Theorem 3.4.

3.2. The abelian configuration.

Definition 3.10. An abelian (m, k) configuration is a configuration as in Definition 3.1 with an additional node x_4 such that $\dim(x_4/A) = k$, and two more edges, connecting it to a_2, x_2 on one hand and to a_1, x_3 on the other. Namely, x_1, x_4 are inter-definable over a_1 and x_2, x_4 are inter-definable over a_2 . Equivalent way of describing it is by saying that $(a_1, a_2, a_3, x_2, x_4, x_1)$ is also a group configuration, with no further dependencies.



Theorem 3.11. Given an abelian (m, k)-configuration, the group G obtained in Theorem 3.4 is abelian.

Proof. In addition to the functional equations in (3.3) there are now two additional definable functions, call them R and S, such that $R(a_1, x_4) = x_1$ and $S(a_2, x_2) = x_4$, so $x_1 = R(a_1, S(a_2, x_2))$. Together with the equations from Definition 3.4 we obtain:

(3.6)
$$H(F(a_2, a_1), x_2) = R(a_1, S(a_2, x_2)) = K(a_2, G(a_1, x_2)).$$

And translating it to compositional equations, we have

$$h_{F(a_1,a_2)} = r_{a_1} s_{a_2} = k_{a_2} \ell_{a_1}$$
, as functions from $\mu(x_2/A)$ to $\mu(x_1/A)$,

and for every a'_1, a'_2 in $\mu(a_1/A)$ and $\mu(a_2/A)$, respectively, we have

(3.7)
$$h_{F(a'_1,a'_2)} = k_{a'_2} \ell_{a'_1} = r_{a'_1} s_{a'_2}.$$

Let $\mathcal{R} = \{r_{a'_1} : a'_1 \in \mu(a_1/A)\}$ and $\mathcal{S} = \{s_{a'_2} : a'_2 \in \mu(a_2/A)\}$. The main tool for the commutativity of G is the following (taken from [4]).

Claim 3.12. For any $h_1, h_2, h_3 \in \mathcal{H}, \ h_1h_2^{-1}h_3 = h_3h_2^{-1}h_1$.

Proof. By (3.7), there are $r_3 \in \mathcal{R}, s_3 \in \mathcal{S}, k_3 \in \mathcal{K}$ and $\ell_3 \in \mathcal{L}$ such that $h_3 = r_3 s_3 = k_3 \ell_3$. More precisely, there are $a_2'' \in \mu(a_2/A)$ and $a_1''' \in \mu(a_1/A)$ such that

$$h_3 = r_{a_1''} s_{a_2''} = k_{a_2''} \ell_{a_1''}.$$

By Claim 3.6, there are $\ell_2 = \ell_{a_1'} \in \mathcal{L}$ and $k_1 = k_{a_2'} \in \mathcal{K}$ such that $h_2 = k_3 \ell_2$ and $h_1 = k_1 \ell_2$. It follows that $h_1 h_2^{-1} h_3 = k_1 \ell_3 = k_{a_2'} \ell_{a_1''}$.

By (3.7), there are $r_2 = r_{a_1'} \in \mathcal{R}$ and $s_1 = s_{a_2'} \in \mathcal{S}$ such that $h_2 = r_2 s_3$ and $h_1 = r_2 s_1$. It follows that $h_3 h_2^{-1} h_1 = r_3 s_1 = r_{a_1''} s_{a_2'}$.

By (3.7), $k_{a'_2} \ell_{a''_1} = r_{a''_1} s_{a'_2}$, hence $h_1 h_2^{-1} h_3 = h_3 h_2^{-1} h_1$.

We can now conclude that the group $G = \{\ell_1^{-1}\ell_2 : \ell_i \in \mathcal{L}\}$ is abelian. It is not hard to see, using (3.8) that each element of G can also be written as $h_1h_2^{-1}$, for $h_i \in \mathcal{H}$, and as before for each $g \in G$ and $h \in \mathcal{H}$ there exists $h_1 \in \mathcal{H}$ such that $g = h_1h^{-1}$.

Thus, in order to prove commutativity, one needs to show that for every $h_1, h_2, h_3 \in \mathcal{H}$,

$$h_1h_2^{-1}h_3h_2^{-1} = h_3h_2^{-1}h_1h_2^{-1}$$

This is immediate from Claim 3.12.

4. 4-ARY RELATIONS AND THE GROUP CONFIGURATION

While working on [4], a new way of viewing the data of the group configuration has emerged, which is based on the relations on the 4-tuple (a_1, a_2, x_2, x_1) from 3.1.

For simplicity, we assume here that our o-minimal structure \mathcal{M} eliminates imaginaries.

Definition 4.1. A 4-tuple (a_1, a_2, a_3, a_4) is called a (k, k)-quadrangle over A if the following holds:

- (1) For each *i*, $\dim(a_i/A) = k$, each three of the a_i 's are independent over *A* and $\dim(a_1a_2a_3a_4/A) = 3k$.
- (2) Let $Q^* = \mu(a_1a_2a_3a_4/A)$. Then for every $(a'_1, a'_2, a'_3, a'_4), (a''_1, a''_2, a''_3, a''_4) \in \mu(a_1/A) \times \mu(a_2/A) \times \mu(a_3/A) \times \mu(a_4/A)$, the following hold:

(i) If
$$(a'_1, a'_2, a'_3, a'_4), (a''_1, a''_2, a'_3, a'_4), (a'_1, a'_2, a''_3, a''_4) \in Q^*$$
 then $(a''_1, a''_2, a''_3, a''_4) \in Q^*$.

(ii) If $(a'_1, a'_2, a'_3, a'_4), (a''_1, a'_2, a'_3, a''_4), (a'_1, a''_2, a''_3, a'_4) \in Q^*$ then $(a''_1, a''_2, a''_3, a''_4) \in Q^*$.

The quadrangle is called *abelian* if in addition:

(iii) If $(a'_1, a'_2, a'_3, a'_4), (a'_1, a''_2, a'_3, a''_4), (a''_1, a'_2, a''_3, a'_4) \in Q^*$ then $(a''_1, a''_2, a''_3, a''_4) \in Q^*$.

Notice that (1) implies that for every $(a'_1, a'_2) \in \mu(a_1a_2/A)$, $Q^*(a'_1, a'_2, -, -)$ is a bijection of $\mu(a_3/A)$ and $\mu(a_4/A)$ (see Lemma 2.8), call it $h^*_{a'_1a'_2}$ and (i) implies that if $h^*_{a'_1a'_2}$ and $h^*_{a''_1a''_2}$ agree at any point of $\mu(a_3/A)$ then they agree everywhere.

Similarly, for every for every $(a'_1, a'_4) \in \mu(a_1a_4/A)$, $Q^*(a'_1, -, -, a'_4)$ is a bijection of $\mu(a_2/A)$ and $\mu(a_3/A)$, call it $\ell^*_{a'_1a'_4}$ and by (ii) it is determined by any point in its domain. Finally, there are functions $s_{a'_1a'_3}$ given by $Q(a'_1, -, a'_3, -)$, and if (iii) holds then they are determined by any points in their domain.

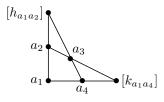
Let Q be an A-definable set whose intersection with $\mu(a_1/A) \times \mu(a_2/A) \times \mu(a_3/A) \times \mu(a_4/A)$ equals Q^* , which is the graph of an injection whenever we fix two of its coordinates (it is not hard to see that such exists). Let $h_{a'_1a'_2}$ be the definable function $Q(a'_1, a'_2, -, -)$ and $\ell_{a'_1a'_4}$ be $Q(a'_1, -, -, a'_4)$.

Using Lemma 2.11, there exists a open set $U_3 \ni a_3$, definable over independent parameters, such that $h_{a'_1a'_2} = h_{a''_1a''_2}$ iff the two functions agree on U. We let $[h_{a'_1a'_2}]$ denote the canonical parameter of the definable function $h_{a'_1a'_2}|U_3$. Similarly, define the canonical parameters $[k_{a'_1a'_4}]$ and $[s_{a'_1a'_3}]$, using an an appropriately defined neighborhood of a_2 . Assume that these two neighborhoods are defined over C.

Notice that if (a_1, a_2, a_3, a_4) is a (k, k)-quadrangle then $[h_{a_1a_2}] \in dcl(a_1a_2C) \cap dcl(a_3a_4C)$ and $[k_{a_1a_4}] \in dcl(a_2a_3C) \cap dcl(a_1a_4C)$. If the quadrangle satisfies (iii) then also $[s_{a_1a_3}] \in dcl(a_1a_3C) \cap dcl(a_2a_4C)$.

Without going into the details I state the following connection between (k, k)configurations and (k, k)-quadrangles.

Proposition 4.2. (1) Assume that (a_1, a_2, a_3, a_4) is a (k, k)-quadrangle over A. Then the following is a (k, k) configuration over A:



(4.1)

If the quandrangle is abelian then by adding $[s_{a_1a_3}]$ one obtains an abelian configuration.

(2) Assume that $V = (a_1, a_2, a_3, x_1, x_2, x_3)$ is a (k, k) configuration over A. Then (a_1, a_2, x_1, x_2) is a (k, k)-quadrangle A. If V can be extended to an abelian configuration then the quadrangle is abelian.

5. Type-definable groups

5.1. Local groups. The notion of a "a local group" in the topological setting (not to be confused with "a locally definable group") replaces the notion of "a groupchunk" from algebraic geometry. Without going into a formal definition, it is a topological space X with a distinguished element $1 \in X$, and a binary continuous operation M defined in a neighborhood of 1 such that M(x, 1) = M(1, x) = x and

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M is associative when defined. In addition there is a unary continuous function in a neighborhood of 1 which sends every element g to an element h such that M(g,h) = M(h,g) = 1.

If G is a type-definable group in an o-minimal structure then by the work of Marikova [12], it admits a definable topology (namely a definable basis) making it into a topological group. Using logical compactness it is not hard to see that G is contained in a definable local group, with respect to this topology. Thus, in Theorem 3.4 one can replace the type-definable group G with a definable local group, together with a definable family of injections which restrict to an action of G on $\mu(x_2/A)$.

There are very few results, beyond Marikova's, on general type-definable groups in o-minimal structures. The work on Pillay's Conjecture required some analysis of certain type-definable subgroups of definable groups, and then in [2] we examined the structure of G^{00} for a definably compact group G.

5.2. Embedding type-definable groups into definable ones. By theorem of Hrushovski [19, Theorem 5.18], every type-definable group in a stable structure is contained in a definable group. In addition, by Poizat, [19, Theorem 5.17], every type-definable subgroup of a stable group H can be written as the intersection of definable subgroups of H. It follows from DCC that every type-definable group in an ω -stable structure is in fact definable.

What can be expected in the o-minimal setting? First note that the subgroup of infinitesimals $\mu_0 = \{|x| < 1/n : n \in \mathbb{N}\}$ of a real closed field (R, +) is typedefinable but cannot be written as the intersection of definable subgroups. Hence, the analogous result to Poizat's fails. However, μ_0 can still be definably embedded into the definably compact group ([0, 1), + mod1). Thus, the following question arises. A type definable group G is called *definably connected* if there is no definable set U whose intersection with G is a non-trivial clopen set in the sense of the group topology.

Question Can every definably connected type-definable group G in an o-minimal structure be definably embedded into a definable group H? Moreover, can one choose H to be of the same dimension as G?

Below are some cases where a positive answer is given. Before stating the result recall that if \mathcal{M} expands a real closed field R then every definable group G has an associated Lie R-algebra L(G) (see [16] for details). Since the definition of the Lie algebra uses only local information around the identity, the same construction works for type-definable groups, and thus if G is type-definable then it has a corresponding Lie algebra L(G) over R. We say that G is Lie-simple if L(G) is a simple Lie algebra. When G is definable then by [16, Theorem 2.36], G is Lie-simple if and only if it is definably simple, namely has no definable normal subgroups.

Proposition 5.1. Let G be a definably connected type-definable group such that one of the two hold (i) dim G = 1 and G is torsion-free, or (ii) \mathcal{M} expands a real closed field and G is Lie-simple.

Then G can be embedded into a definable group of the same dimension. Moreover, in case (ii) the definable group is semialgebraic.

Proof. Assume dim G = 1. As pointed out above, G can be definably embedded into a local group of dimension 1. Since G is torsion-free, we may assume that the

local group is a group-interval (see definition in [11]). By [7, Lemma 3.5], every group-interval can be definably embedded into a definable 1-dimensional group, so we are done.

Assume now that G is Lie-simple and \mathcal{M} expands a real closed field R. Using the adjoint embedding $Ad: G \to GL_m(R)$ (see[16, Proof of Theorem 3.2]) one can view as G as a type-definable subgroup of Aut(L(G)) (see [16, Claim 2.29]). By [16, Claim 2.8], dim $(Aut(L(G)) = \dim L(G) = \dim G$. The group Aut(L(G)) is clearly seialgebraic (one can explicitly write the formulas defining it within GL(m, R)) hence G is now a type-definable subgroup of a semialgebraic group of the same dimension.

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