

# The real shadow of a definably compact homogeneous space

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# The setting

We fix  $\mathcal{M}$  an o-minimal expansion of a real closed field  $R$ , saturated “enough”.

We do not assume that  $\mathcal{M}$  is (elementarily equivalent to) a structure over  $\mathbb{R}$ . But some structures we meet will be such.

We consider a definable group  $G$  in  $\mathcal{M}$ , and a definable action of  $G$  on definable manifold  $X$ .

The action of  $G$  will mostly be:

- continuous.
- transitive:  $\forall x, y \in X \exists g \in G \ gx = y$ .
- faithful:  $\forall g \in G$ , if  $g \upharpoonright X = id$  then  $g = e$ .

# The “real shadow” of a definably compact group

## The starting point

### Pillay’s Conjecture- a theorem [HPP08]

If  $G$  is a definably compact\* group then there exists a smallest normal, type-definable, subgroup of bounded index\*\*  $G^{00}$  such that

- ▶  $G/G^{00}$ , with the logic topology\*\*\* is a compact Lie group.
- ▶  $\dim G/G^{00}$ , as a Lie group, equals  $\dim G$ , the dimension of the definable set  $G$  in  $\mathcal{M}$ .

We call  $G/G^{00}$  **the real shadow of  $G$**  and denote it by  $\mathbb{R}G$ .

**Justification for the name:** Berarducci’s talk.

# Two basic examples

Let  $\mathcal{M} = \langle R, <, +, \cdot \rangle \succ \langle \mathbb{R}, <, +, \cdot \rangle$ .

1. The simple case-  $G^{00}$  is given by the infinitesimals of  $\mathcal{M}$

Take  $G$  a compact real algebraic subgroup of  $GL(n, \mathbb{R})$  and consider  $G(R)$ .

Then

$$G^{00} = \mu_{\mathbb{R}}(G) = \bigcap_{n \in \mathbb{N}} \{A \in G(R) : \|A - I\| < 1/n\}$$

(the infinitesimal neighborhood of  $I$  in  $\mathcal{M}$ ).

It is type-definable, of bounded index in  $G$ .

Then  $\mathbb{R}G = G/G^{00}$  is just  $G(\mathbb{R})$ .

2. The general case-  $G^{00}$  is the “internal” infinitesimals

Let  $\alpha \gg \mathbb{R}$  and  $G = [0, \alpha)$ , with “+ mod  $\alpha$ ”. A semi-algebraic group.

$$\text{Here } G^{00} = \bigcap_{n \in \mathbb{N}} [0, \frac{\alpha}{n}) \cup (\alpha - \frac{\alpha}{n}, \alpha)$$

Then  $\mathbb{R}G = G/G^{00}$  is a one-dim. compact connected Lie group.

## Two subsequent questions

A. Is there a real shadow of definably compact homogeneous spaces?

Assume that  $G$  is **any** definable group,  $X$  a definably compact space and

$$G \times X \rightarrow X$$

a definable, transitive and continuous action on  $X$ .

Does this action have a “real shadow”?

(Since the action factors through a faithful action we will assume it is).

B. Groups which are not definably compact?

Is there “a real shadow” for arbitrary definable groups?

# The simple case-groups over $\mathbb{R}$

## An example

Let

$G = SL_2(\mathbb{R}) = \{A \in GL_2(\mathbb{R}) : \det A = 1\}$ , real algebraic, over  $\mathbb{Q}$ .

The infinitesimals

$\mu_{\mathbb{R}}(G) = \bigcap_{n \in \mathbb{N}} \{A \in G : \|A - I\| < 1/n\}$  form a type-definable subgroup.

**But it is neither normal nor of bounded index.** Moreover,  $G$  has NO normal subgroups.

How do we canonically recover  $SL(2, \mathbb{R})$  from  $G$ ?

Let  $\mathcal{O}_{\mathbb{R}}(G) = \bigcup_{n \in \mathbb{N}} \{A \in G : 1/n < \|A\| < n\}$ , a  $\forall$ -definable group, and  $\mu_{\mathbb{R}}(G)$  is a type-definable normal subgroup of bounded index.

Now  $\mathcal{O}_{\mathbb{R}}(G)/\mu_{\mathbb{R}}(G)$ , with the logic topology, is **locally compact** and isomorphic to  $SL(2, \mathbb{R})$ . This should be the “real shadow” of  $G$ .

# An $SL(2, R)$ -definably compact homogeneous space

## Fact- a prototype for a theorem

Let  $\mathcal{M}$  be an o-minimal expansion of  $R$ . Assume that  $G = SL(2, R)$  acts continuously and transitively on a definably compact  $X$ . Then

- the orbits of  $\mu_{\mathbb{R}}(G)$  induce on  $X$  a type-definable equivalence relation  $E$ , of bounded index.
- $X/E$ , with logic topology, is a real compact manifold, a homogeneous space for  $SL(2, \mathbb{R})$  and we have:

$$\begin{array}{ccc} \mathcal{O}_{\mathbb{R}}(G) \times X & \xrightarrow{\rho} & X \\ \downarrow (\pi, \pi_X) & & \downarrow \pi \\ SL(2, \mathbb{R}) \times X/E & \xrightarrow{\rho} & X/E \end{array}$$

# An $SL(2, R)$ -definably compact homogeneous space

## Fact

Let  $\mathcal{M}$  be an o-minimal expansion of  $R$ . Assume that  $G = SL(2, R)$  acts definably, continuously and transitively on a definably compact  $X$ . Then

- the orbits of  $\mu_{\mathbb{R}}(G)$  induce on  $X$  a type definable equivalence relation  $E$ , of bounded index.
- $X/E$ , with logic topology, is a real compact manifold, a homogeneous space for  $SL(2, \mathbb{R})$  and we have:

The real shadow of the action

$$\begin{array}{ccc} \mathcal{O}_{\mathbb{R}}(G) \times X & \xrightarrow{\rho} & X \\ \downarrow (\pi, \pi_X) & & \downarrow \pi \\ \mathcal{O}_{\mathbb{R}}(G) \times X/E & \xrightarrow{\rho} & X/E \end{array}$$



# Faithful actions on definably compact sets?

**Recall** A group action is *faithful* if no element other than  $e$  acts as the identity.

## Fact

For  $G$  a definable group, the following are equivalent:

1. There exists a definably compact  $X$  on which  $G$  acts faithfully, transitively and continuously.
2.  $G$  has no definable normal torsion-free subgroup.

# Proof of Fact

(1)  $\Rightarrow$  (2)

Assume  $G$  acts faithfully, transitively and continuously on definably compact  $X$ . Let  $H < G$  be any definable torsion-free. By Conversano-Onshuus-Starchenko,  $H$  has a fixed point  $x_0 \in X$ .

If  $H$  were normal in  $G$  then  $H$  would fix every element in  $Gx_0$ , so by transitivity,  $H$  would fix  $X$ , contradicting faithfulness.

(2)  $\Rightarrow$  (1): Assume  $G$  has no definable normal torsion-free s.g

By Conversano, every  $G$  has a definable torsion-free  $H < G$  such that  $G/H$  is definably compact.

Now  $G$  acts faithfully on  $G/H$ : Assume  $g aH = aH$  for all  $a \in G$ . Then  $g \in \bigcap_{a \in G} H^a$ .

The group on the right is definable, normal torsion-free so  $g = e$ .

# The main theorem

Let  $G$  be a definable group with no normal, torsion-free definable subgroups. Then there exists a **locally definable** group  $\hat{G} \subseteq G$  and a minimal type-definable subgroup of bounded index  $\hat{G}^{00} \subseteq \hat{G}$  such that:

1.  $\bar{G} = \hat{G}/\hat{G}^{00}$ , with the logic topology, is a (**locally compact**) real Lie group, and  $\dim \bar{G} = \dim G$ .
2. For every definable continuous, transitive and faithful action of  $G$  on a definably compact  $X$ , the  $\hat{G}^{00}$ -orbits induce on  $X$  a type-definable equiv. relation of bounded index  $E$ . The quotient  $\bar{X} = X/E$ , with its logic topology, is a compact real manifold. The Lie group  $\bar{G}$  acta continuously, transitively and faithfully on  $\bar{X}$ :

$$\begin{array}{ccc} \hat{G} \times X & \xrightarrow{\rho} & X \\ \downarrow (\pi, \pi_X) & & \downarrow \pi \\ \bar{G} \times X/E & \xrightarrow{\rho} & X/E \end{array}$$

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The real shadow  
of action

$$\begin{array}{ccc} \hat{G} \times X & \xrightarrow{\rho} & X \\ \downarrow (\pi, \pi_X) & & \downarrow \pi \\ \bar{G} \times X/E & \xrightarrow{\rho} & X/E \end{array}$$

# Some steps in the proof

Since  $G$  has no definable normal torsion-free subgroup its center  $Z(G)$  is definably compact.

A.  $G/Z(G) \cong$  a linear real algebraic group  $G_1$  over  $\mathbb{R}_{alg}$ .

$$1 \longrightarrow Z(G) \xrightarrow{i} G \xrightarrow{\pi} G_1 \longrightarrow 1 .$$

Let  $\hat{G}_1 = \mathcal{O}_{\mathbb{R}}(G) = \bigcup_{n \in \mathbb{N}} \{g \in G_1 : 1/n < \|g - I\| < n\}$  locally definable.

Let  $\hat{G} = \pi^{-1}(\hat{G}_1)$ , locally definable subgroup of  $G$ .

B.  $\hat{G}$  has a minimal type-definable subgroup of bounded index  $\hat{G}^{00}$   
**(this is not always true for locally definable groups!)**

C.  $\bar{G} = \hat{G}/\hat{G}^{00}$  is a real Lie group of dimension =  $\dim G$ .  
(The main difficulty:  $\hat{G}^{00} \cap Z(G) = Z(G)^{00}$ , using that  $\hat{G}^{00}$  has f.s.g.)

# How canonical are $\hat{G}$ and the real shadow $\bar{G}$ ?

The choice of  $\hat{G}$  depends on that of  $\hat{G}_1$ . This depends on a choice of a maximal definably compact subgroup  $K_1$  of  $G_1$ :

Once  $K_1$  is fixed, its preimage  $K = \pi^{-1}(K_1)$  is a maximal definably compact subgroup of  $G$ . An action of  $G$  on a definably compact  $X$  induces on  $X$ , via  $K^{00}$ , a type-definable equiv. relation  $E$  of bounded index.

## Lemma

The collection of elements in  $G$  which leave  $E$  invariant is exactly  $\hat{G}$ . The elements which fix all  $E$ -classes are exactly  $\hat{G}^{00}$ .

**Note:** Different  $K_1$ 's may lead to different loc. def. subgps of  $G$  but all are conjugate, so all real Lie groups obtained **this way** are isomorphic.

## Open question

Assume that some other locally definable  $\hat{G}' \subseteq G$  satisfies the result of the Main Theorem. Is  $\hat{G}' / (\hat{G}')^{00}$  isomorphic to  $\hat{G} / \hat{G}^{00}$ ?

## Question: Is the main theorem trivial?

*Namely, is every group with no normal torsion-free subgroup isomorphic to a group over  $\mathbb{R}$ , so we are always in the “simple case” like in the example of  $SL(2, \mathbb{R})$ ?*

**Answer: No!**

### Fact

There exists a semi-algebraic group  $G$  over a real closed  $R$ , with no definable normal torsion-free subgroup, such that  $G$  is not definably isomorphic (in any structure) to a group defined over  $\mathbb{R}$ .

# Proof:

This is a (small) variation of an example of Conversano-Pillay:

1. Start from the universal cover of  $SL(2, \mathbb{R})$ :

$$1 \longrightarrow \mathbb{Z} \xrightarrow{i} \tilde{SL}(2, \mathbb{R}) \xrightarrow{\pi} SL(2, \mathbb{R}) \longrightarrow 1 .$$

Here  $\tilde{SL}(2, \mathbb{R})$  is locally definable, on the set  $\mathbb{Z} \times SL(2, \mathbb{R})$ , via a definable cocycle  $h: SL(2, \mathbb{R}) \times SL(2, \mathbb{R})$  into  $\mathbb{Z}$ .

2. Pick an infinitesimal element  $g \in SO(2, R)^{00}$  and identify  $\mathbb{Z}$  with  $\langle g \rangle$ .

3. Using the cocycle  $h$  obtain a **definable** extension  $G$  living on  $SO(2, R) \times SL(2, R)$ ,

$$1 \longrightarrow SO(2, R) \xrightarrow{i} G \xrightarrow{\pi} SL(2, \mathbb{R}) \longrightarrow 1 .$$

4.  $G$  has no definable normal torsion-free subgroup.

And since  $\langle g \rangle = [G, G] \cap SO(2, R) \subseteq Z(G)^{00}$ , the group  $G$  is not definably isomorphic to any group over  $\mathbb{R}$ .



So what is the real shadow of the above group?

(Probably)  $SO(2, \mathbb{R}) \times SL(2, \mathbb{R})$ .