

## RIEMANN SURFACES

### 10. WEEKS 11–12: RIEMANN-ROCH THEOREM AND APPLICATIONS

10.1. **Divisors.** The notion of a divisor looks very simple. Let  $X$  be a compact Riemann surface. A divisor is an expression

$$\sum_{x \in X} a_x x$$

where the coefficients  $a_x$  are integers, and only a finite number of them are nonzero.

The set of all divisors on  $X$  forms an abelian group denoted  $Div(X)$ . This is a free abelian group generated by the points of  $X$ .

Let  $\mathcal{M}$  denote the field of meromorphic functions on  $X$ . Any  $0 \neq f \in \mathcal{M}$  defines a divisor

$$(f) = \sum_x \text{ord}_x(f) \cdot x$$

where  $\text{ord}_x(f)$  is the degree of zero of  $f$  at  $x$  or the negative degree of pole at  $x$ . Such a divisor is called *principal*.

The group of classes of divisors  $Cl(X)$  is defined as the quotient of  $Div(X)$  by the subgroup of principal divisors. One has a short exact sequence

$$0 \longrightarrow \mathcal{M}^* \longrightarrow Div(X) \longrightarrow Cl(X) \longrightarrow 0.$$

A degree of a divisor  $\sum a_x x$  is  $\sum_x a_x \in \mathbb{Z}$ . The degree of a principal divisor is zero since any nonvanishing meromorphic function has any value the same number of times (taking into account multiplicities).

Therefore, one has a canonical map

$$\text{deg} : Cl(X) \longrightarrow \mathbb{Z}.$$

A divisor of zeroes  $f^{-1}(0)$  of  $f \in \mathcal{M} - \mathbb{C}$  is defined as

$$\sum_x \max(0, \text{deg}_x f) x.$$

The class of  $f^{-1}(0)$  in  $Cl(X)$  coincides with the class of the divisor of any other value  $f^{-1}(c)$  including  $c = \infty$ .

Let  $\omega$  be a nonzero meromorphic differential. We define its class

$$(\omega) = \sum_x \text{ord}_x(\omega) x.$$

since any two meromorphic differentials differ by a meromorphic function, one immediately deduces that the class of  $(\omega)$  in  $Cl(X)$  does not depend on  $\omega$ . It is called *the canonical class of  $X$*  and is denoted  $K \in Cl(X)$ .

We say that a divisor  $D \geq 0$  if  $D = \sum a_x x$  with  $a_x \geq 0$ . This defines a partial order on  $Div(X)$ .

Let  $D \in Div(X)$ . We define

$$L(D) = \{f \in \mathcal{M} \mid (f) \geq D\}.$$

(We assume for convenience that  $(0)$  is greater than any divisor.) The dimension of  $L(D)$  is called *the dimension of  $D$* ; it is denoted  $r(D)$ .

The following properties of the function  $r$  are easy.

- 10.1.1. Proposition.**
1.  $r(0) = 1$ .
  2. If  $D \geq D'$  then  $L(D) \subset L(D')$  so that  $r(D) \leq r(D')$ .
  3.  $\deg(D) > 0$  implies  $r(D) = 0$ .

*Proof.*  $L(0)$  is the space of holomorphic functions. Since  $X$  is compact,  $L(0)$  consists of constants.

The second claim is obvious. If  $D > 0$ ,  $L(D)$  consists of holomorphic functions having at least one zero. Thus,  $L(D) = 0$ .  $\square$

**10.1.2. Definition.** Index of speciality  $i(D)$  of a divisor  $D$  is the dimension of the space

$$\Omega(D) = \{\omega \text{ meromorphic} \mid (\omega) \geq D\}.$$

**10.1.3. Proposition.** *The numbers  $r(D)$  and  $i(D)$  depend only on the class of  $D$  in  $Cl(X)$ . Moreover, one has*

$$i(D) = r(D - K)$$

where  $K$  is the canonical class of  $X$ .

*Proof.* If  $D$  and  $D'$  are equivalent, there exists  $f \in \mathcal{M}^*$  such that  $D' = D + (f)$ . Then the multiplication by  $f$  defines an isomorphism

$$L(D) \longrightarrow L(D').$$

To check the second claim, let  $\omega$  be a nonzero meromorphic differential on  $X$ .

Then the isomorphism  $L(D - K) \rightarrow \Omega(D)$  is given by a multiplication by  $\omega$ .  $\square$

Finally, the space  $\Omega(0)$  is the space of holomorphic differentials  $\mathcal{H}$ . Therefore,  $i(0) = g$ .

We are now ready to formulate the famous

**10.1.4. Theorem** (Riemann-Roch for Riemann surfaces). *Let  $g$  be the genus of a compact Riemann surface  $X$ . Then for any divisor  $D$  one has*

$$r(-D) = \deg(D) - g + 1 + i(D).$$

Let us just note that if  $D = 0$ , the theorem just says that  $i(0) = g$  which we already know.

## 10.2. Some applications.

**10.2.1. Proposition.** *Let  $X$  be compact of genus zero. Then  $X$  is isomorphic to the Riemann sphere.*

*Proof.* Chose a point  $x \in X$  and apply RR to the divisor  $D = x$ . We get

$$r(-D) = 2 + i(D)$$

and  $i(D) = 0$  since there are no holomorphic forms on  $X$ . Thus,  $r(-D) = 2$ , so that there exists a nonconstant meromorphic function on  $X$  having a simple pole at  $x$ . This function establishes an isomorphism of  $X$  with  $\widehat{\mathbb{C}}$ .  $\square$

**10.2.2. Proposition.**  $\deg K = 2g - 2$ .

*Proof.* We do not want to give a proof based on RR since this fact will be used in the proof. We just mention it for the reference in Gap Theorems below.  $\square$

**10.2.3. Theorem** (Weierstrass gap theorem). *Let  $X$  be compact of genus  $g > 0$  and let  $x \in X$ . There exist precisely  $g$  numbers*

$$1 = n_1 < n_2 < \dots < n_g < 2g$$

*such that there does not exist a holomorphic function on  $X - x$  with a pole of order  $n_i$  at  $x$ .*

A more general theorem will be proven. Let  $x_1, x_2, \dots$  be an infinite sequence of points of  $X$  of genus  $g > 0$ . Define the divisors  $D_k = \sum_{i=1}^k x_i$ . We will say that a number  $k$  is exceptional if  $L(-D_k) = L(-D_{k-1})$ . Note that if  $x_i = x$  a number  $k$  is exceptional if and only if there are no meromorphic functions on  $X$  with the only pole at  $x$  of order  $k$ .

**10.2.4. Theorem** (Noether gap theorem). *There are precisely  $g$  exceptional integers for any sequence of points.  $k = 1$  is always exceptional and all exceptional integers are less than  $2g$ .*

*Proof.* The number 1 is always exceptional since  $g > 0$  and therefore there are no meromorphic functions having one simple pole.

By RR

$$r(-D_k) - r(-D_{k-1}) = 1 + i(D_k) - i(D_{k-1}) \leq 1.$$

Thus, the difference is always 0 or 1, so the number of non-exceptional values  $\leq k$  is

$$r(-D_k) - r(-D_0) = k + i(D_k) - i(D_0)$$

or

$$r(-D_k) - 1 = k + i(D_k) - g.$$

If  $k > 2g - 2$  the degree of  $D_k$  is greater than  $2g - 2$  which is the degree of the canonical divisor. Thus,  $i(D_k) = 0$  and we get  $k - g$  non-exceptional integers  $\leq k$ . This means there are precisely  $g$  exceptional integers all they are all  $\leq 2g - 1$ .  $\square$

**10.3. RR in the positive case.** We will prove first that RR holds for  $D \geq 0$ . The case  $D = 0$  being settled before, we may assume  $D > 0$ .

Let  $D = \sum_{i=1}^m n_i x_i$  where  $n_i$  are integers  $> 0$ . Denote

$$D^+ = \sum_{i=1}^m (n_i + 1)x_i$$

and define

$$\Omega_0(-D^+)$$

as the space of meromorphic differentials of second kind (that is, with zero residues) whose divisor is  $\geq -D^+$  and having zero  $a$ -periods.

The spaces  $L(-D)$  and  $\Omega_0(-D^+)$  are connected by the de Rham differential

$$(1) \quad d : L(-D) \longrightarrow \Omega_0(-D^+)$$

since the differential of a meromorphic function gives automatically a differential having zero residues and zero  $a$ -periods (as well as the  $b$ -periods). The kernel of  $d$  is one-dimensional (the constants), so we can calculate the dimension of  $L(-D)$  studying the image of  $d$ .

We claim that  $\dim \Omega_0(-D^+) = \sum n_i = \deg(D)$ . In fact, choose disjoint coordinate neighborhoods near the points  $x_i \in X$  for each  $i = 1, \dots, m$ . Recall that for each  $2 \leq j \leq n_i + 1$  a meromorphic differential  $\tau_{x_i}^{(j)}$  was constructed, having an only pole of order  $j$  at  $x_i$ . Since the functions  $\tau_{x_i}^{(j)}$  are linearly independent, we deduce that  $\dim \Omega_0(-D^+) \geq \sum n_i = \deg(D)$ . We will show that the functions  $\tau_{x_i}^{(j)}$  actually span the whole  $\Omega_0(-D^+)$ . In fact, define a linear map

$$(2) \quad \Omega_0(-D^+) \longrightarrow \mathbb{C}^{\deg(D)}$$

assigning to each meromorphic differential  $\omega$  its Laurent coefficients in the chosen coordinate systems at  $x_i$  (note that  $-1$ st coefficients vanish since  $\omega$  is assumed to have no residues). The kernel of (2) consists of regular differentials having zero  $a$ -periods, therefore, the map (2) is injective.

The image of  $d$  in (1) coincides with the space of differentials having zero  $b$ -periods. Thus, the image is given by  $g$  linear equations in  $\Omega_0(-D^+)$  which yields an inequality

$$(3) \quad r(-D) = \dim \text{Im}(d) + 1 \geq \deg(D) - g + 1.$$

called *Riemann inequality* (this is a weaker form of RR theorem).

In order to get a precise formula, we have to express the rank of the matrix composed of the coefficients of the  $g$  equations defining the image of the de Rham

differential in (1). If  $\tilde{g}$  is actual value of the rank, we will have

$$r(-D) = \deg D - \tilde{g} + 1.$$

Recall that the space  $\Omega_0(-D^+)$  is spanned by the elements  $\tau_{x_i}^{(j)}$  with  $i = 1, \dots, m$  and  $j = 2, \dots, n_i + 1$  and that the image of  $d$  is define in  $\Omega_0(-D^+)$  by the conditions that the  $b$ -differentials vanish.

Recall the formula for the  $b$ -differentials of  $\tau$ 's.

$$(4) \quad \int_{b_j} \tau_{x_i}^{(k)} = \frac{2\pi i}{k-1} \alpha_{i,k-2}^{(j)},$$

where the coefficients  $\alpha$  are defined by the decomposition of the basis elements  $\zeta_j$  of the space of holomorphic differentials dual to the  $a$ -basis, as follows

$$(5) \quad \zeta_j = \left( \sum_{s=0}^{\infty} \alpha_{i,s}^{(j)} z^s \right) dz \text{ at } x_i \in X.$$

Thus, the equations defining our subspace of  $\Omega_0(-D^+)$  are given by the matrix with entries

$$(6) \quad \frac{2\pi i}{k-1} \alpha_{i,k-2}^{(j)},$$

where the upper index  $j = 1, \dots, g$  denotes the row number, and the pair of lower indices  $(i, k-2)$ , with  $i = 1, \dots, m$  and  $k = 2, \dots, n_i + 1$ , denotes the column number.

Note that the coefficient  $\frac{2\pi i}{k-1}$  has no influence on the rank of the matrix. By formula (5) the transpose of this matrix defines a linear map

$$T : \Omega(0) \rightarrow \mathbb{C}^{\deg(D)}$$

assigning to any regular differential the Taylor coefficients of its decomposition near  $x_i$ . The kernel of  $T$  is the space  $\Omega(D)$  so we finally have

$$\tilde{g} = g - i(D)$$

or

$$r(-D) = \deg D - g + 1 + i(D).$$

Riemann-Roch formula is proven for nonnegative divisors.

**10.4. Some consequences.** Note that the positive part of RR is already sufficient to deduce that a compact genus 0 Riemann surface is isomorphic to the Riemann sphere. In fact, in the proof of the assertion we applied RR to  $D = x$ , a single point in  $X$ . This is a positive divisor.

**10.4.1. Lemma.** *The degree of a canonical divisor is  $2g - 2$ .*

*Proof.* If  $g = 0$ , we have a Riemann sphere. Choose  $\omega = dz$ ; this is a meromorphic divisor having an only pole at  $z = \infty$  of degree 2.

If  $g > 0$   $X$  admits a nonzero holomorphic differential, say,  $\omega$ . Since  $\omega$  is holomorphic,  $(\omega)$  is positive. Apply RR to  $D = (\omega)$ . We get

$$r(-D) = \deg D - g + 1 + i(D)$$

We know that  $r(-D) = i(0)$  and  $i(D) = r(0) = 1$ , so

$$\deg D = 2g - 2.$$

□

**10.5. Bootstrapping.** Note that the RR formula depends only on the class of  $D$  in  $Cl(X)$ . Therefore, we get that RR holds for any  $D$  equivalent to a nonnegative divisor.

Another idea: the RR formula is invariant with respect to substitution  $D \mapsto K - D$ . In fact, we know that  $i(D) = r(K - D)$  so that if RR holds for  $D$  we have

$$i(K - D) = \deg(D) + 1 - g + r(D - K)$$

or

$$r(-(K - D)) = -\deg(D) - 1 + g + i(K - D) = \deg(K - D) + 1 - g + i(K - D).$$

Thus, we deduce that RR holds as well for any divisor equivalent to  $K - D$  where  $D$  is nonnegative.

Note that if  $r(-D) > 0$  then there exists a meromorphic function  $f$  such that  $(f) \geq -D$  which means that  $D$  is equivalent to  $(f) + D \geq 0$ , so in this case RR holds for  $D$ .

Symmetrically, if  $i(D) > 0$  then  $K - D$  is equivalent to a nonnegative divisor. Thus, the only remaining case implies  $r(-D) = i(D) = 0$ . It remains, therefore, to prove

**10.5.1. Proposition.** *Assume neither  $D$  nor  $K - D$  is equivalent to a nonnegative divisor. Then  $\deg(D) = g - 1$ .*

*Proof.* Write  $D = D_1 - D_2$  where  $D_i$  are positive and have disjoint supports.

We know  $\deg(D) = \deg(D_1) - \deg(D_2)$ . By RR applied to  $D_1$  we get

$$r(-D_1) \geq \deg(D_1) + 1 - g = \deg(D) + \deg(D_2) + 1 - g.$$

Let us assume  $\deg(D) > g - 1$ . Then the above inequality yields

$$r(-D_1) > \deg(D_2).$$

In other words, the space of meromorphic functions  $f$  satisfying  $(f) - D_1 \geq 0$  has dimension strictly greater than  $\deg(D_2)$ .

Such functions are holomorphic at the support of  $D_2$  since the supports of  $D_i$  are disjoint. Therefore, the requirements  $\deg_x(f) \geq \deg_x(D_2)$  give  $\deg(D_2)$  linear

equation on  $f$ . Therefore, we deduce that there exists a meromorphic function  $f$  belonging to  $L(-D_1 + D_2) = L(-D)$ . Contradiction — with the assumption  $\deg(D) > g - 1$ . Therefore, we deduce that

$$\deg(D) \leq g - 1.$$

Applying the same reasoning to  $K - D$  instead of  $D$ , we get the opposite inequality.

Proposition (and, therefore, the general form of RR) is proven.  $\square$

## 10.6. Some more applications.

### 10.6.1. Genus one

Let  $X$  be a compact RS of genus one. We know that  $X$  admits a holomorphic differential. The corresponding divisor is nonnegative and has degree  $2g - 2 = 0$ , so it is trivial. Fix this differential  $\omega$ ; it is unique up to multiplicative constant and it has no zeroes. The integrals  $\int_a \omega$  and  $\int_b \omega$  generate a lattice  $L$  in  $\mathbb{C}$  and the expression

$$f(x) = \int_{x_0}^x \omega$$

define a holomorphic map from  $X$  to  $\mathbb{C}/L$ . It is obviously invertible since  $\omega$  has no zeroes, so any genus 1 Riemann surface is an elliptic curve.

### 10.6.2. $m$ -differentials

*Take care: here is a small terminological problem: we say differentials instead of one-forms. Now we will define  $m$ -differentials which will not be  $m$ -forms!*

A meromorphic one-form is defined by an assignment of an expression  $f(z)dz$  to each local chart so that it changes properly under the change of coordinates:  $f(z)dz \mapsto f(z(w))z'_w dw$ . Similarly, a meromorphic  $m$ -differential is an expression  $f(z)(dz)^m$  which changes properly with the change of coordinates, so that

$$f(z)(dz)^m \mapsto f(z(w))(z'_w)^m (dw)^m.$$

Any two  $m$ -differentials differ by a meromorphic function, so they define the same element in  $Cl(X)$ . It is clear that this element is just  $mK$ .

Assume  $m > 1$  and  $D = mK$ . Assume as well that  $g > 1$ . We have by RR

$$r(-mK) = m(2g - 2) - g + 1 + i(mK) = (2m - 1)(g - 1)$$

since  $i(mK) = 0$  — the divisor of a differential cannot be greater than the divisor of  $m$ -differential since  $\deg K = 2g - 2$  and  $\deg mK = m(2g - 2) > 2g - 2$ .

**10.7. More on Weierstrass gaps.** Recall that for each  $x \in X$  there are precisely  $g$  “gaps” between 1 and  $2g - 1$ . Thus, there are precisely  $g$  “non-gaps” between 1 and  $2g$ , which we will denote

$$1 < \alpha_1 < \dots, \alpha_g = 2g.$$

10.7.1. **Proposition.** *For any  $j = 1, \dots, g$  one has*

$$\alpha_j + \alpha_{g-j} \geq 2g$$

*Proof.* Recall that the number of gaps and of non-gaps between 1 and  $2g$  is the same. Moreover, the sum of non-gaps is a non-gap. Thus, if  $\alpha_j + \alpha_{g-j}$  were less than  $2g$  the same was true for  $\alpha_k + \alpha_{g-j}$  for all  $k < j$  which would give at least  $j$  non-gaps strictly between  $\alpha_{g-j}$  and  $\alpha_g$ . This would give altogether more non-gaps than one can have:  $g - j + j + 1 > g$ .  $\square$

10.7.2. **Corollary.** *If  $\alpha_1 = 2$ , then  $\alpha_k = 2k$  for all  $k = 1, \dots, d$ .*

In fact, if 2 is a non-gap, all even integers are non-gaps; since there are  $g$  even numbers between 1 and  $2g$ , these are all non-gaps.

10.7.3. **Lemma.** *If  $\alpha_1 > 2$  then  $\alpha_j + \alpha_{g-j} > 2n$  for some  $j$ .*

*Proof.* If  $g = 2$  the only possibility is (3, 4) and there is nothing to prove.

If  $g = 3$  the possibilities are (3, 4, 6) and (3, 5, 6) and again there is nothing to prove.

Assume now  $g \geq 4$ . Assume that  $\alpha_j + \alpha_{g-j} = 2g$  for all  $j$ . Consider the sequence  $\beta_j = j \cdot \alpha_1$ . The number of  $\beta_j$  in the range up to  $2g$  is strictly less than  $g$ ; therefore, there exists a non-gap between the  $\beta$ 's. Let  $\alpha$  be the first such non-gap,  $\beta_r < \alpha < \beta_{r+1}$ . Then  $\alpha = \alpha_{r+1}$ ,  $\alpha_{g-r-1} = 2g - \alpha$ ,  $\alpha_j = j\alpha_1$  and  $\alpha_{g-j} = 2g - j\alpha_1$  for  $j \leq r$ .

We deduce that

$$\alpha_1 + \alpha_{g-r-1} = \alpha_1 + 2g - \alpha > 2g - r\alpha_1 = \alpha_{g-r}.$$

The latter means that we have found a non-gap  $\alpha_1 + \alpha_{g-r-1}$  greater than  $\alpha_{g-r-1}$  but not among  $\alpha_{g-j}$ ,  $j \leq r$ . Contradiction.  $\square$

10.7.4. **Corollary.** *One has*

$$\sum_{i=1}^{g-1} \alpha_i \geq g(g-1),$$

*with the equality iff  $\alpha_1 = 2$ .*

*Proof.* The inequality follows from the inequality  $\alpha_j + \alpha_{g-j} \geq 2g$ . If  $\alpha_1 = 2$ , the inequality becomes equality. Otherwise Lemma 10.7.3 ensures the inequality is strict.  $\square$



**10.8. Wronskian.** Let  $f_1, \dots, f_n$  be holomorphic functions in an open subset  $U \subset X$ . Define the Wronskian by the formula

$$W(f_1, \dots, f_n) = \det(f_i^{(j-1)}),$$

where  $f^{(j)}$  denotes  $j$ -th derivative of  $f$ .

Since  $f_i$  are holomorphic, their Wronskian is holomorphic as well. We want to know the degree of  $W$  at  $x \in U$ . It can be calculated as follows.

Let  $V$  be the vector space of functions generated by  $f_i$ . We define a sequence of nonnegative integers  $d_1 < d_2 < \dots < d_n$ , depending on  $x$ , as follows.

**10.8.1. Definition.** The sequence  $d_1 < \dots < d_n$  is defined uniquely by the condition

$$\{d_1, \dots, d_n\} = \{\deg_x f \mid f \in V\}.$$

Note that the above definition contains a claim which needs to be proven. In fact, it is claimed that the number of different values of  $\deg_x(f)$  coincides with  $\dim V$ . This is actually an easy exercise: choose  $f_1 \in V$  to have the minimal degree and let  $V^+$  be the space of functions in  $V$  whose degree is strictly greater than  $\deg_x f_1$ . Then obviously  $V = \mathbb{C} \cdot f_1 \oplus V^+$  so  $\dim V^+ = \dim V - 1$  and we get the claim by induction.

**10.8.2. Lemma.** *One has*

$$\deg_x W = \sum_{i=1}^n (d_i - i + 1).$$

*Proof.* Linear transformation of  $f_i$  multiplies  $W$  by a constant, therefore, it does not change the degree of  $W$ . Therefore, we can assume that  $\deg_x f_i = d_i$ . Choose a coordinate near  $x$  so that  $x$  corresponds to  $z = 0$ . Let

$$f_1 = z^{d_1} + az^\delta + \text{higher terms}$$

where  $\delta > d_1$ .

We will prove the lemma by induction in  $n$ . We have

$$W = - \sum_{i=1}^n (-1)^i f_i W(f'_1, \dots, \widehat{f'_i}, \dots, f'_n).$$

The degree of  $i$ -th summand in the right-hand side is

$$d_i + \delta - 1 + \sum_{j \neq 1, i} (d_j - 1) - \sum_{j=1}^{n-2} j = \delta + \sum_{j=2}^n d_j - \sum_{j=1}^{n-1} j$$

for  $i \neq 1$  and

$$d_1 + \sum_{j=2}^n d_j - \sum_{j=1}^{n-1} j$$

for  $i = 1$ . We see that component corresponding to  $i = 1$  has degree strictly less than the rest of the summands, so it will give the degree of the sum.  $\square$

**10.8.3. Corollary.** *If  $f_i$  are linearly independent,  $W(f_1, \dots, f_n)$  is not identically zero.*

In what follows we will call *the weight of  $V$  at  $x$*  the degree of the Wronskian at  $x$ . As we saw above it is given by the formula

$$\text{wt}_x V = \sum_{i=1}^n (d_i - i + 1).$$

**10.9. Weierstrass points.** We apply the constructions of the previous subsection to the space  $\mathcal{H}$  of holomorphic differentials.

In a coordinate neighborhood  $U$  holomorphic one-forms can be written as  $f(z)dz$  so one can directly apply the above constructions. Note that if one makes a change of coordinates  $z = z(w)$ , the differentials  $\zeta_i = f_i(z)dz$  become  $f_i(z(w))z'_w dw$  and one can easily see that the Wronskian changes to

$$W(z(w))(z'_w)^m$$

where  $m = \frac{g(g+1)}{2}$ . Thus, the wronskian of a system of  $g$  one-forms is an  $m$ -differential. That is the only difference.

**10.9.1. Definition.** A point  $x \in X$  of a compact Riemann surface of genus  $g$  is called Weierstrass point if  $\text{wt}_x \mathcal{H} \neq 0$ .

There are no Weierstrass points for  $g \leq 1$ : for  $g = 0$  there are no holomorphic differentials and for  $g = 1$  the only holomorphic differential has no zeroes.

In what follows we assume  $g > 1$ .

**10.9.2. Proposition.** *The point  $x$  is Weierstrass iff one of the following equivalent conditions holds.*

- $i(g \cdot x) > 0$ .
- $r(-g \cdot x) \geq 2$ .

*Proof.*  $\text{wt}_x \mathcal{H} > 0$  means that there is a holomorphic differential  $\omega$  with  $\deg_x \omega > g$ . This means the first condition. The equivalence of the two conditions follows from RR applied to  $D = g \cdot x$ .  $\square$

Note that the second condition is equivalent to saying that  $\alpha_1 \leq g$ . Thus, the usage of Wronskian immediately implies that for the non-Weierstrass points one has  $\alpha_i = g + i$ ,  $i = 1, \dots, g$ .

Let  $W$  be the Wronskian of a basis of holomorphic differentials. We have explained that  $W$  is an  $m$ -differential where  $m = \frac{g(g+1)}{2}$ . It is holomorphic, therefore it has precisely  $\deg W = m(2g - 2)$  zeroes. Thus, we have

10.9.3. **Proposition.**

$$\sum_{x \in X} \deg_x W = (g-1)g(g+1).$$

10.9.4. **Corollary.** *Let  $g \geq 2$ . There exist Weierstrass points.*

10.9.5. **Theorem.** *For each  $x \in X$  one has  $\text{wt}_x \mathcal{H} \leq \frac{g(g-1)}{2}$ . The equality happens only when the gap sequence starts with 2.*

*Proof.* Fix  $x \in X$ . Let  $1 < \alpha_1 < \dots < \alpha_g = 2g$  be the non-gap sequence and  $1 = n_1 < \dots < n_g$  be the gap sequence.

Recall that by RR we have

$$r(-nx) - r(-(n-1)x) = 1 + i(nx) - i((n-1)x)$$

which implies that  $n$  is a gap iff there are holomorphic differentials having degree  $n-1$  at  $x$ . Thus, in the above notation

$$\text{wt}_x \mathcal{H} = \sum_{j=1}^g (d_j - (j-1)) = \sum_{j=1}^g (n_j - j).$$

Furthermore,

$$\text{wt}_x \mathcal{H} = \sum_{j=1}^g (n_j - j) = \sum_{j=g+1}^{2g-1} j - \sum_{j=1}^{j-1} \alpha_j \leq \frac{3g(g-1)}{2} - g(g-1) = \frac{g(g-1)}{2}$$

by Corollary 10.7.4. By the same Corollary the equality holds only when  $\alpha_1 = 2$ .  $\square$

10.9.6. **Corollary.** *The number of Weierstrass points of a compact surface of genus  $g$  is at least  $2g+2$ .*

**Home assignment.**

1. A compact RS  $X$  is called *hyperelliptic curve* if it has a meromorphic function  $f$  having two poles. Let  $B$  be the number of branch points of the covering  $f : X \rightarrow \widehat{\mathbb{C}}$ . Express  $B$  through the genus  $g$  of  $X$  using Riemann-Hurwitz formula.

2 (cont. of 1.) Let  $x \in X$  be a branch point for  $f$ . Find a meromorphic function on  $X$  having a double pole at  $x$ . Deduce, using Corollary 10.7.2, that  $x$  is a Weierstrass point of  $X$  with the non-gap sequence  $2, 4, \dots$ . Deduce from this that branch points are the only Weierstrass points in the hyperelliptic case.

3. Deduce that if the number of Weierstrass points on  $X$  is  $2g+2$  then  $X$  is hyperelliptic.

4. Let  $F : X \rightarrow X$  be a nontrivial automorphism of  $X$ . Choose  $x \neq F(x)$  and let  $f$  be a meromorphic function with an only pole at  $x$  and  $r := \deg_x f \leq g+1$ . Thus, the polar divisor of  $f$  is  $r \cdot x$ . Deduce that the function  $h := f - f \circ F$  has

at most  $2g + 2$  zeroes. Deduce from this that  $F$  cannot have more than  $2g + 2$  fixed points.

5. Assume now that  $X$  is *not* hyperelliptic. Prove that any automorphism of  $X$  is uniquely defined by the permutation of Weierstrass points it defines. This proves that the group of automorphisms of a non-hyperelliptic curve is finite.

*Note. It is finite even for hyperelliptic curves of genus  $> 1$ . Thus, however, requires a more careful analysis.*