

**INTRODUCTION TO LIE ALGEBRAS.  
LECTURE 2.**

2. MORE EXAMPLES. IDEALS. DIRECT PRODUCTS.

2.1. **More examples.**

**2.1.1.** Let  $k = \mathbb{R}$ ,  $L = \mathbb{R}^3$ . Define  $[x, y] = x \times y$  — the cross-product. Recall that the latter is defined by the formulas

$$e_1 \times e_2 = e_3, \quad e_2 \times e_3 = e_1, \quad e_3 \times e_1 = e_2.$$

**2.1.2.** It is convenient to choose a basis of  $\mathfrak{sl}_2$  as follows.

$$(1) \quad e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

Then the bracket in  $\mathfrak{sl}_2$  is given by the formulas

$$[ef] = h, \quad [he] = 2e, \quad [hf] = -2f.$$

There are much more Lie subalgebras of  $\mathfrak{gl}_n$ . For instance,

**2.1.3.**  $\mathfrak{b}_n = \{a \in \mathfrak{sl}_n \mid a_{ij} = 0 \text{ for } i > j\}$  — upper-triangular matrices of trace zero.

This algebra has dimension  $\frac{n(n+1)}{2} - 1$ .

**2.1.4.**  $\mathfrak{n}_n = \{a \in \mathfrak{gl}_n \mid a_{ij} = 0 \text{ for } i \geq j\}$  — strictly upper-triangular matrices.

This algebra has dimension  $\frac{n(n-1)}{2}$ .

**2.2. Direct product.** Let  $L$  and  $M$  be two Lie algebras. Define their direct product  $L \times M$  as follows. As a set, this is the Cartesian product of  $L$  and  $M$ . The operations (multiplication by a scalar, sum and bracket) are defined componentwise. For instance,

$$[(x, y), (x', y')] = ([x, x'], [y, y']).$$

**2.2.1. Example.** If  $L$  is commutative of dimension  $n$  and  $L'$  is commutative of dimension  $n'$  then  $L \times L'$  is commutative of dimension  $n + n'$ .

**2.2.2. Example.** The Lie algebra  $\mathfrak{gl}_n$  is isomorphic to the direct product  $\mathfrak{sl}_n \times k$  ( $k$  is the one-dimensional algebra). The map from the direct product to  $\mathfrak{gl}_n$  is given by the formula  $(a, \lambda) \mapsto a + \lambda I$  where  $I$  is the identity matrix.

### 2.3. Some calculations.

**2.3.1. Ideals in  $\mathfrak{n}_3$ . Quotients** Choose a basis for  $\mathfrak{n}_3$  as follows.

$$(2) \quad x = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, y = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, z = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

Multiplication is given by

$$[x, y] = z, [x, z] = [y, z] = 0.$$

Let us describe all ideals in  $\mathfrak{n}_3$ . If  $I$  is a non-zero ideal, let  $t = ax + by + cz \in I$  be non-zero. Then  $[x, t] = bz$ ,  $[y, t] = az$ ,  $[z, t] = 0$ . Thus, if  $a \neq 0$  or  $b \neq 0$  then  $z \in I$ . If  $a = b = 0$  then once more  $z \in I$ . Therefore,  $z$  belongs to any non-zero ideal. Thus, the only one-dimensional ideal is  $\langle z \rangle$ ; any two-dimensional ideal has form  $\langle z, ax + by \rangle$ . It is easy to see that all these formulas do define ideals.

The quotient algebra  $\mathfrak{n}_3 / \langle z \rangle$  has a basis  $\bar{x}, \bar{y}$  with the bracket  $[\bar{x}, \bar{y}] = \bar{z} = 0$ . Thus, the quotient is a commutative two-dimensional algebra.

**2.4. Adjoint action.** Let  $L$  be a Lie algebra,  $x \in L$ . Define a linear transformation

$$\text{ad}_x : L \longrightarrow L$$

by the formula  $\text{ad}_x(y) = [x, y]$ .

**Lemma 2.4.1.**  $\text{ad}_x$  is a linear transformation.

In fact, this follows from the linearity of  $[, ]$  in the second argument.

**Lemma 2.4.2.**  $\text{ad}_x$  is a derivation.

In fact,

$$\text{ad}_x[y, z] = [\text{ad}_x(y), z] + [y, \text{ad}_x(z)]$$

— this follows from the Jacobi identity.

Assembling together  $\text{ad}_x$  for all  $x \in L$  we get therefore a map

$$\text{ad} : L \longrightarrow \text{Der}(L).$$

**Lemma 2.4.3.** The map  $\text{ad} : L \rightarrow \text{Der}(L)$  is a homomorphism of Lie algebras.

One has to check that

$$\text{ad}_{[x,y]} = \text{ad}_x \circ \text{ad}_y - \text{ad}_y \circ \text{ad}_x.$$

This also follows from the Jacobi identity.

**Definition 2.4.4.** Center of a Lie algebra  $L$  is defined by the formula

$$Z(L) = \{x \in L \mid \forall y \in L \quad [x, y] = 0\}.$$

By definition of  $\text{ad}$ , one has  $Z(L) = \text{Ker}(\text{ad})$ .

For example,  $Z(\mathfrak{n}_3) = \langle z \rangle$ .

## 2.5. Simplicity of $\mathfrak{sl}_2$ .

**Definition 2.5.1.** A Lie algebra  $L$  is *simple* if it is not one-dimensional and if it has no non-trivial ideals.

Our aim is to prove the following

**Theorem 2.5.2.**  $\mathfrak{sl}_2$  is simple.

### 2.5.3. Some linear algebra

Let  $V$  be a finite dimensional vector space and  $f \in \text{End}(V)$ .

Endomorphism  $f$  is called *diagonalizable* if  $V$  has a basis of eigenvectors.

If  $f$  is diagonalizable then  $V = \bigoplus_{\lambda \in S} V_\lambda$  where  $V_\lambda = \{x \in V \mid f(x) = \lambda x\}$  is the eigenspace corresponding to the eigenvalue  $\lambda$  and  $S$  is the set of eigenvalues of  $f$  (*spectrum of  $f$* ).

**Lemma 2.5.4.** Let  $f \in \text{End}(V)$  be diagonalizable and let  $W$  be a  $f$ -invariant subspace of  $V$  (i.e.,  $f(W) \subseteq W$ ). Then

$$W = \bigoplus_{\lambda \in S} W_\lambda \text{ where } W_\lambda = W \cap V_\lambda.$$

*Proof.* We have to prove that if  $x \in W$  and if  $x = \sum x_\lambda$  with  $x_\lambda \in V_\lambda$  then  $x_\lambda \in W$ .

In fact,  $W \ni f^k(x) = \sum f^k(x_\lambda) = \sum \lambda^k x_\lambda$  for each  $k$ .

Let  $T = \{\lambda \in S \mid x_\lambda \neq 0\}$  and let  $t = |T|$ . This is the number of non-zero summands in the decomposition of  $x$ . The vectors  $x, f(x), \dots, f^{t-1}(x)$  can be expressed as linear combinations of  $t$  linearly independent vectors  $x_\lambda$ . The transition matrix has form

$$\begin{pmatrix} 1 & 1 & \dots & 1 \\ \lambda_1 & \lambda_2 & \dots & \lambda_t \\ \dots & \dots & \dots & \dots \\ \lambda_1^{t-1} & \lambda_2^{t-1} & \dots & \lambda_t^{t-1} \end{pmatrix}.$$

This is Vandermonde matrix. Its determinant is

$$\prod_{i < j} (\lambda_i - \lambda_j) \neq 0.$$

This proves that  $x_\lambda$  can be expressed through  $f^k(x)$  and therefore belong to  $W$ .  $\square$

### 2.5.5. Proof of Theorem 2.5.2.

Consider endomorphism  $\text{ad}_h$  of  $\mathfrak{sl}_2$ . It is diagonalizable with eigenvalues  $-2, 0, 2$ . Any ideal  $I$  is invariant with respect to  $\text{ad}_h$ . Therefore,  $I$  should be spanned by a subset of  $f, h, g$ . It is easy to check that this is impossible for any nonempty proper subset of generators.

## Problem assignment, 1

## 1. Derivations.

(a) Let  $A = k[t]$  be the algebra of polynomials. Fix  $f \in A$  and define  $d : A \longrightarrow A$  by the formula

$$d(g) = fg'.$$

Prove  $d$  is a derivation.

(b) The same  $A$ ,  $f$  and  $d : A \longrightarrow A$  is given by the formula

$$d(g) = fg' + g.$$

is this a derivation?

(c) Prove that any derivation of  $A$  is of form described in (a).

*Hint:* consider the value of  $d$  on  $1, t \in A$ .

## 2. Find all ideals and all quotient algebras of the algebra

$$L = \{a \in \mathfrak{gl}_2 \mid a_{21} = 0\}.$$

Prove that  $L$  is isomorphic to the direct product of  $k$  (one-dimensional algebra) and  $\mathfrak{b}_2$ .

3. (bonus). Let  $L = \mathbb{R}^3$  with cross-product as a bracket. Prove that  $L_{\mathbb{C}}$  is isomorphic to  $\mathfrak{sl}_2(\mathbb{C})$ .

Here  $L_{\mathbb{C}}$  denotes the Lie algebra over  $\mathbb{C}$  having the base  $e_1, e_2, e_3$  with the bracket given by the formulas

$$[e_1, e_2] = e_3, [e_2, e_3] = e_1, [e_3, e_1] = e_2.$$