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# **Stems and spectral sequences**

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We introduce the category  $\Re tem[n]$  of *n*-stems, with a functor  $\mathcal{P}[n]$  from spaces to  $\Re tem[n]$ . This can be thought of as the *n*-th order homotopy groups of a space. We show how to associate to each simplicial *n*-stem  $\mathcal{Q}_{\bullet}$  an (n+1)-truncated spectral sequence. Moreover, if  $\mathcal{Q}_{\bullet} = \mathcal{P}[n]X_{\bullet}$  is the Postnikov *n*-stem of a simplicial space  $X_{\bullet}$ , the truncated spectral sequence for  $\mathcal{Q}_{\bullet}$  is the truncation of the usual homotopy spectral sequence of  $X_{\bullet}$ . Similar results are also proven for cosimplicial *n*-stems. They are helpful for computations, since *n*-stems in low degrees have good algebraic models.

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# **1** Introduction

Many of the spectral sequences of algebraic topology arise as the homotopy spectral sequence of a (co)simplicial space – including the spectral sequence of a double complex, the (stable or unstable) Adams spectral sequence, the Eilenberg-Moore spectral sequence, and so on (see § 5.14). Given a simplicial space  $X_{\bullet}$ , the  $E^2$ -term of its homotopy spectral sequence has the form  $E_{s,t}^2 = \pi_s \pi_t X_{\bullet}$ , so it may be computed by applying the homotopy group functor dimensionwise to  $X_{\bullet}$ .

In this paper we show that the higher terms of this spectral sequence are obtained analogously by applying 'higher homotopy group' functors to  $X_{\bullet}$ . These functors are given explicitly in the form of certain *Postnikov stems*, defined in Section 2; the Postnikov 0-stem of a space is equivalent to its homotopy groups.

We then show how the  $E^r$ -term of the homotopy spectral sequence of a simplicial space  $X_{\bullet}$  can be described in terms of the (r-2)-Postnikov stem of  $X_{\bullet}$ , for each  $r \ge 2$  (see Theorem 4.13) – and similarly for the homotopy spectral sequence of a cosimplicial space  $X^{\bullet}$  (see Theorem 5.12).

As an application for the present paper, in [5] we generalize the first author's result with Mamuka Jibladze (in [6]), which shows that the  $E^3$ -term of the stable Adams spectral

sequence can be identified as a certain secondary derived functor Ext. We do this by showing how to define in general the *higher order derived functors* of a continuous functor  $F : \mathcal{C} \to \mathcal{T}_*$ , by applying F to a simplicial resolution  $W_{\bullet}$  in  $\mathcal{C}$ , and taking Postnikov *n*-stems of  $FW_{\bullet}$ .

**1.1 Notation and conventions.** The category of pointed connected topological spaces will be denoted by  $\mathcal{T}_*$ ; that of pointed sets by  $Set_*$ ; that of groups by  $\mathcal{G}p$ . For any category  $\mathcal{C}$ ,  $s\mathcal{C}$  denotes the category of simplicial objects over  $\mathcal{C}$ , and  $c\mathcal{C}$  that of cosimplicial objects over  $\mathcal{C}$ . However, we abbreviate sSet to S,  $sSet_*$  to  $S_*$ , and  $s\mathcal{G}p$  to  $\mathcal{G}$ . For any small indexing category I, the category of functors  $I \to \mathcal{C}$  is denoted by  $\mathcal{C}^I$ .

**1.2 Acknowledgements** We wishe to thank the referee for his or her careful reading of the paper and helpful comments on it.

# 2 Postnikov stems

The Postnikov system of a topological space (or simplicial set) X is the tower of fibrations:

(2.1) 
$$\dots \to P^{n+1}X \xrightarrow{p^{n+1}} P^n X \xrightarrow{p^n} P^{n-1}X \dots P^1 X \xrightarrow{p^1} P^0 X$$
,

equipped with maps  $q^n: X \to P^n X$  (with  $p^n \circ q^n = q^{n-1}$ ), which induce isomorphisms on homotopy groups in degrees  $\leq n$ . Here  $P^n X$  is *n*-coconnected (that is,  $\pi_i P^n X = 0$  for i > n) and  $\pi_i p^n$  is an isomorphism for i < n. The fiber of the map  $p^n: P^n X \to P^{n-1} X$  is the Eilenberg-Mac Lane space  $K(\pi_n X, n)$ , so the fibers are determined up to homotopy by  $\pi_* X$ . Thus a generalization of the homotopy groups of X is provided by the following notion:

**2.2 Definition** For any  $n \ge 0$ , a *Postnikov n-stem* in  $\mathcal{T}_*$  is a tower:

(2.3) 
$$Q := \left( \ldots \rightarrow Q_{k+1} \xrightarrow{q_{k+1}} Q_k \xrightarrow{q_k} Q_{k-1} \ldots Q_0 \right)$$

in  $\mathcal{T}_*^{(\mathbb{N},\leq)}$ , in which  $Q_k$  is (k-1)-connected and (n+k)-coconnected (so that  $\pi_i(Q_k) = 0$  for i < k or i > n+k) and  $\pi_i(q_k)$  is an isomorphism for  $k \leq i < n+k$ . Here  $(\mathbb{N},\leq)$  is the usual linearly ordered category of the natural numbers. The space  $Q_k$  is called the *k*-th *n*-window of Q.

Such an *n*-stem is thus a collection of overlapping (k - 1)-connected n + k-types, which may be depicted for n = 2 as follows:

. .

where each row exhibits the n + 1 non-trivial homotopy groups (denoted by \*) of one *n*-window, and all those in the *i*-th column (corresponding to  $\pi_i$ ) are isomorphic.

We denote by  $\mathfrak{Pstem}[n]$  the full subcategory of Postnikov *n*-stems in the functor category  $\mathcal{T}_*^{(\mathbb{N},\leq)}$  (with model category structure on the latter as in [21, 11.6]). Thus the morphisms in  $\mathfrak{Pstem}[n]$  are given by strictly commuting maps of towers, and  $f: \mathcal{Q} \to \mathcal{Q}'$  is a weak equivalence (respectively, a fibration) if each  $f_k: \mathcal{Q}_k \to \mathcal{Q}'_k$  is such. This lets us define the homotopy category of Postnikov *n*-stems, ho  $\mathfrak{Pstem}[n]$ , as a full sub-category of ho  $\mathcal{T}_*^{(\mathbb{N},\leq)}$ .

The category  $\mathcal{P}stem[n]$  is pointed, has products, and is equipped with canonical functors

(2.4) 
$$T_{*} \xrightarrow{\mathcal{P}[0]} \mathcal{P}_{[n-1]}$$
$$\dots \mathcal{P}_{stem[n]} \xrightarrow{\mathcal{P}_{[n-1]}} \mathcal{P}_{stem[n-1]} \xrightarrow{\mathcal{P}_{[n-2]}} \cdots \xrightarrow{\overline{\mathcal{P}}_{[0]}} \mathcal{P}_{stem[0]}$$

which preserve products and weak equivalences.

2.5 Remark The sequence of functors (2.4) is described by a commuting diagram,



in which we may take all maps to be fibrations:



Here  $\pi_i Q_k^n = 0$  for i < k or i > n, and all maps induce isomorphisms in  $\pi_i$  whenever possible. Thus:

- (a) The *k*-th column (from the right) is the Postnikov tower for  $Q_k := \lim_n Q_k^n$ .
- (b) The diagonals are the dual Postnikov system of connected covers for  $Q_0^{\prime}$ .
- (c) The *n*-th row (from the bottom) is a Postnikov *n*-stem.
- (d) In particular, each space in the 0-stem (the bottom row) is an Eilenberg-Mac Lane space, and the maps  $q_k^0$  are nullhomotopic. Thus the homotopy type of the bottom line in ho  $\mathcal{P}stem[0]$  is determined by the collection of homotopy groups  $\{\pi_k Q_k^k\}_{k=0}^{\infty}$ .

**2.7 Definition** The motivating example of a Postnikov *n*-stem is a *realizable* one, associated to a space  $X \in \mathcal{T}_*$ , and denoted by  $\mathcal{P}[n]X$ , with  $(P[n]X)_k := P^{n+k}X\langle k \rangle$ . As usual,  $Y\langle k \rangle$  denotes the (k-1)-connected cover of a space  $Y \in \mathcal{T}_*$ . Each fibration  $q_k : (P[n]X)_k \to (P[n]X)_{k-1}$  fits into a commuting triangle of fibrations:



in which the maps p and r are the fibration of (2.1) and the covering map, respectively. See [4, §10.5] for a natural context in which non-realizable Postnikov *n*-stems arise.

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**2.9 Examples of stems.** The functor  $\mathcal{P}[0]_*: \mathcal{T}_* \to \text{ho} \operatorname{Pstem}[0]$  induced by  $\mathcal{P}[0]$  is equivalent to the homotopy group functor: in fact, the homotopy groups of a space define a functor  $\pi_*: \mathcal{T}_* \to \mathcal{K}$  into the product category  $\mathcal{K} := \prod_{i=0}^{\infty} \mathcal{K}_i$ , where  $\mathcal{K}_0 = \operatorname{Set}_*, \quad \mathcal{K}_1 = \operatorname{Sp}, \text{ and } \mathcal{K}_i = \operatorname{Ab}\operatorname{Sp}, \text{ for } i \geq 2$ . Moreover, there is an equivalence of categories  $\vartheta: \mathcal{K} \equiv \operatorname{ho} \operatorname{Pstem}[0]$ , such that the functor  $\mathcal{P}[0]_*$  is equivalent to the composite functor  $\vartheta \circ \pi_*: \mathcal{T}_* \to \mathcal{K}$ .

Similarly, the functor  $\mathcal{T}_* \to \operatorname{ho} \mathfrak{Pstem}[1]$  induced by  $\mathcal{P}[1]$  is equivalent to the secondary homotopy group functor of [7, §4], in the sense that each secondary homotopy group  $\pi_{n,*}X$  completely determines the *n*-th 1-window of *X*. Using the results on secondary homotopy groups in [7], one obtains a homotopy category of algebraic 1-stems which is equivalent to ho  $\mathfrak{Pstem}[1]$ .

A category of algebraic models for 2-stems is only partially known. The homotopy classification of (k-1)-conected (k+2)-types is described for all k in [3]; this theory can be used to classify homotopy types of Postnikov 2-stems.

# **3** The spectral sequence of a simplicial space

We begin with the construction of the homotopy spectral sequence for a simplicial space (cf. [22], [15, Theorem B.5], and [16, X,§6]), using the version given by Dwyer, Kan, and Stover in [20, §8] (see also [13, §2,5], [12], and [19, §3.6]). For this purpose, we require some explicit constructions for the  $E^2$ -model category of simplicial spaces.

**3.1 Definition** Given a simplicial object  $X_{\bullet} \in sC$ , over a complete pointed category C, for each  $n \ge 1$  define its *n*-cycles object to be

$$Z_n X_{\bullet} := \{ x \in X_n \mid d_i x = * \text{ for } i = 0, \dots, n \}.$$

Similarly, the the *n*-chains object for  $X_{\bullet}$  is

 $C_n X_{\bullet} := \{ x \in X_n \mid d_i x = * \text{ for } i = 1, \dots, n \}$ 

Set  $Z_0X_{\bullet} := X_0$ . We denote the map  $d_0|_{C_nX_{\bullet}} \colon C_nX_{\bullet} \to Z_{n-1}X_{\bullet}$  by  $\mathbf{d}_0^{X_n}$ .

**3.2 Notation** For any non-negatively graded object  $T_*$ , we write  $\Omega T_*$  for the graded object with  $(\Omega T_*)_j := T_{j+1}$  for all  $j \ge 0$ . The notation is motivated by the natural isomorphism of graded groups  $\pi_*\Omega X \cong \Omega(\pi_*X)$  for  $X \in \mathcal{T}_*$ .

**3.3 Definition** Now assume that C is a pointed model category of spaces, such as  $T_*$  or  $\mathcal{G}$ , and  $X_{\bullet}$  is a Reedy fibrant simplicial object over C – that is, for each  $n \ge 1$ , the universal face map  $\delta_n \colon X_n \to M_n X_{\bullet}$  into the *n*-th matching object of  $X_{\bullet}$  is a fibration (see [21, 15.3]). The map  $\mathbf{d}_0 = \mathbf{d}_0^{X_n}$  then fits into a fibration sequence in C:

(3.4) 
$$\cdots \Omega Z_n X_{\bullet} \to Z_{n+1} X_{\bullet} \xrightarrow{J_{n+1}^{X_{\bullet}}} C_{n+1} X_{\bullet} \xrightarrow{\mathbf{d}_0^{X_{n+1}}} Z_n X_{\bullet}$$

(see [20, Prop. 5.7]).

For each  $n \ge 0$ , the *n*-th *natural homotopy group* of the simplicial space  $X_{\bullet}$ , denoted by  $\pi_n^{\natural} X_{\bullet} = \pi_{n,*}^{\natural} X_{\bullet}$ , the cokernel of the map  $(\mathbf{d}_0^{X_{n+1}})_{\#}$  (induced on homotopy groups by  $\mathbf{d}_0^{X_{n+1}}$ ). Note that the cokernel of a maps of groups or pointed sets is generally just a pointed set.

We thus have an exact sequence of graded groups:

(3.5) 
$$\pi_* C_{n+1} X_{\bullet} \xrightarrow{(\mathbf{d}_0^{\lambda_{n+1}})_{\#}} \pi_* Z_n X_{\bullet} \xrightarrow{\hat{\vartheta}_n} \pi_{n,*}^{\natural} X_{\bullet} \to 0$$

Together the groups  $(\pi_{n,k}^{\natural}X_{\bullet})_{n,k=0}^{\infty}$  constitute the *bigraded homotopy groups* of [20, §5.1].

**3.6 Construction of the spiral sequence.** Applying the functor  $\pi_*$  to the fibration sequence (3.4) yields a long exact sequence, with connecting homomorphism  $\partial_{\#}: \Omega\pi_*Z_nX_{\bullet} = \pi_*\Omega Z_nX_{\bullet} \to \pi_*Z_{n+1}X_{\bullet}$ . Note that the inclusion  $\iota: C_nX_{\bullet} \hookrightarrow X_n$  induces an isomorphism  $\iota_*: \pi_*C_nX_{\bullet} \cong C_n(\pi_*X_{\bullet})$  for each  $n \ge 0$  (see [10, Prop. 2.7]). From (3.5) we see that:

$$\Omega \pi_n^{\natural} X_{\bullet} = \Omega \operatorname{Coker} \left( \mathbf{d}_0^{X_{n+1}} \right)_{\#} \cong \operatorname{Im} \partial_{\#} \cong \operatorname{Ker} \left( j_{n+1}^{X_{\bullet}} \right)_{\#} \subseteq \pi_* Z_{n+1} X_{\bullet} ,$$

so we obtain a commutative diagram with exact rows and columns:



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in which  $B_{n+1}X_{\bullet} := \operatorname{Im}(\mathbf{d}_{0}^{X_{n+2}})_{\#} \subseteq \pi_{*}Z_{n}X_{\bullet}$  and  $B_{n+1}\pi_{*}X_{n+2} := \operatorname{Im}\mathbf{d}_{0}^{\pi_{*}X_{n+2}}$  are the respective boundary objects. Note that the map  $(j_{n}^{X_{\bullet}})_{\#} : \pi_{*}Z_{n}X_{\bullet} \to \pi_{*}C_{n}X_{\bullet}$  induced by the inclusion  $j_{n}^{X_{\bullet}}$  of (3.4) above in fact factors through  $Z_{n}\pi_{*}X_{\bullet}$ , as indicated in the middle row of (3.7).

This defines the map of graded groups  $h_n: \pi_n^{\natural} X_{\bullet} \to \pi_n(\pi_* X_{\bullet})$ . Note that for n = 0the map  $\hat{\iota}_{\star}$  is an isomorphism, so  $h_0$  is, too. The map  $s_n: \Omega \pi_{n-1}^{\natural} X_{\bullet} \to \pi_n^{\natural} X_{\bullet}$  is the composite of the inclusion  $\ell_{n-1}: \operatorname{Ker}(j_n^{X_{\bullet}})_{\#} \hookrightarrow \pi_* Z_n X_{\bullet}$  with the quotient map  $\hat{\vartheta}_n: \pi_* Z_n X_{\bullet} \to \pi_n^{\natural} X_{\bullet}$  of (3.5), using the natural identification of  $\Omega \pi_n^{\natural} X_{\bullet}$  with  $\operatorname{Ker}(j_{n+1}^{X_{\bullet}})_{\#}$ .

The map  $\partial_{n+2}: \pi_{n+2}\pi_*X_{\bullet} \to \Omega \pi_n^{\natural}X_{\bullet}$  is induced by the composite

(3.8) 
$$Z_{n+2}\pi_*X_{\bullet} \subseteq C_{n+2}\pi_*X_{\bullet} \cong \pi_*C_{n+2}X_{\bullet} \xrightarrow{(\mathbf{d}_0^{X_{n+2}})_{\#}} \pi_*Z_{n+1}X_{\bullet}$$

which actually lands in Ker $(j_{n+1}^{X_{\bullet}})_{\#}$  by the exactness of the long exact sequence for the fibration (3.4).

These maps  $s_n$ ,  $h_n$ , and  $\partial_n$  fit into a spiral long exact sequence:

(3.9) 
$$\dots \to \Omega \pi_{n-1}^{\natural} X_{\bullet} \xrightarrow{s_n} \pi_n^{\natural} X_{\bullet} \xrightarrow{h_n} \pi_n \pi_* X_{\bullet} \xrightarrow{\partial_n} \Omega \pi_{n-2}^{\natural} X_{\bullet}$$
$$\xrightarrow{s_{n-1}} \pi_{n-1}^{\natural} X_{\bullet} \to \dots \to \pi_0^{\natural} X_{\bullet} \xrightarrow{\cong} \pi_0 \pi_* X_{\bullet}$$

(cf. [20, 8.1]).

**3.10** The spectral sequence of a simplicial space. For any simplicial space  $X_{\bullet} \in sT_{*}$  (or bisimplicial set), Bousfield and Friedlander showed that there is a first-quadrant spectral sequence of the form

$$(3.11) E_{s,t}^2 = \pi_s \pi_t X_{\bullet} \Rightarrow \pi_{s+t} \|X_{\bullet}\| ,$$

where  $||X_{\bullet}|| \in \mathcal{T}_{*}$  is the realization (or the diagonal, in the case of  $X_{\bullet} \in sS_{*}$ ). The spectral sequence is always defined, but  $X_{\bullet}$  must satisfy certain "Kan conditions" to guaranteee *convergence* – see [15, Theorem B.5].

In [20, §8.4], Dwyer, Kan and Stover showed that (3.11) coincides up to sign, from the  $E^2$ -term on, with the spectral sequence associated to the exact couple of (3.4), which we call the *spiral spectral sequence* for  $X_{\bullet}$ .

If we assume that each  $X_n$  is connected, by taking loops (or applying Kan's functor G, if  $X_{\bullet} \in sS_*$ ), we may replace  $X_{\bullet}$  by a bisimplicial group  $GX_{\bullet} \in sG$ , and then (3.11) becomes the spectral sequence of [22].

# 4 Simplicial stems and truncated spectral sequences

As noted in §2.9, the  $E^2$ -term of any of the above equivalent spectral sequences for a simplicial space  $X_{\bullet}$  is determined explicitly by the simplicial 0-stem of  $X_{\bullet}$ .

Our goal is to extend this description to the higher terms of the spectral sequence. For this purpose, fix  $n \ge 0$ , and consider a simplicial Postnikov *n*-stem  $\mathcal{Q}_{\bullet}$  (which need not be realizable as  $\mathcal{P}[n]X_{\bullet}$  for some simplicial space  $X_{\bullet}$ ). This is equivalent to having a collection of simplicial spaces  $\mathcal{Q}_{\bullet}^{n+k}\langle k \rangle$  for each  $k \ge 0$ , equipped with maps as in (2.3), with  $\pi_i \mathcal{Q}_{\bullet}^{n+k} \langle k \rangle = 0$  for i < k or i > n + k.

We assume that  $\mathcal{Q}_{\bullet}$  is *Reedy fibrant* in the sense that for each  $k \geq 0$ , the simplicial space  $\mathcal{Q}_{\bullet}^{n+k}\langle k \rangle$  is Reedy fibrant. In this case, the "*n*-stem version" of the spiral long exact sequence is defined as follows: for each  $t, i, k \geq 0$ , set  $\pi_{t,i}^{\natural\langle k,n \rangle}\mathcal{Q}_{\bullet} := \pi_{t,i+k}^{\natural}\mathcal{Q}_{\bullet}^{n+k}\langle k \rangle$  and

(4.1) 
$$\pi_i^{(k,n)} \mathcal{Q}_{\bullet} := \pi_{i+k} \mathcal{Q}_{\bullet}^{n+k} \langle k \rangle = \begin{cases} \pi_{i+k} \mathcal{Q}_{\bullet} & \text{if } 0 \le i \le n \\ 0 & \text{otherwise.} \end{cases}$$

Note that the (i + k)-th homotopy group  $\pi_{i+k}Q_{\bullet}$  of a Postnikov *n*-stem  $Q_{\bullet}$  is well-defined, and coincides with  $\pi_{i+k}X_{\bullet}$  for  $0 \le i \le n$  when  $Q_{\bullet} = \mathcal{P}[n]X_{\bullet}$ .

**4.2 Definition** The collection of long exact sequences (3.9) for  $\mathcal{Q}^{n+k}_{\bullet}\langle k \rangle$  (indexed by  $k \ge 0$ ):

(4.3) 
$$\dots \Omega \pi_{t-1,*}^{\natural(k,n)} \mathcal{Q}_{\bullet} \xrightarrow{s_t^{(k,n)}} \pi_{t,*}^{\natural(k,n)} \mathcal{Q}_{\bullet} \xrightarrow{h_t^{(k,n)}} \pi_t \pi_*^{(k,n)} \mathcal{Q}_{\bullet} \xrightarrow{\partial_t^{(k,n)}} \Omega \pi_{t-2,*}^{\natural(k,n)} \mathcal{Q}_{\bullet} \dots ,$$

together with the maps between adjacent *k*-windows induced by the map *q* in (2.6), will be called the *spiral n-system* of  $Q_{\bullet}$ . When  $Q_{\bullet} = \mathcal{P}[n]X_{\bullet}$ , we will refer to this simply as the spiral *n*-system of  $X_{\bullet}$ .

**4.4 Remark** Using the exactness of (4.3), definition (4.1) implies that:

(4.5) 
$$\pi_{t,i}^{\natural(k,n)} \mathcal{Q}_{\bullet} = \pi_{t,i}^{\natural} \mathcal{Q}_{\bullet}^{n+k} \langle k \rangle = 0 \quad \text{for } i > n ,$$

by induction on  $t \ge 0$ . Note, however, that while the groups  $\pi_i^{(k,n)} \mathcal{Q}_{\bullet}$  are explicitly described by (4.1), the dependence of  $\pi_{t,i}^{\natural(k,n)} \mathcal{Q}_{\bullet}$  on k and n requires more care.

**4.6 The**  $E^2$ -term of the spectral sequence. The spiral 0-system of a simplicial Postnikov 0-stem  $\mathcal{Q}_{\bullet}$  reduces to a series of isomorphisms  $h_t: \pi_{t,*}^{\natural(k,0)}\mathcal{Q}_{\bullet} \cong \pi_t \pi_*^{(k,0)}\mathcal{Q}_{\bullet}$  (for each  $k \ge 0$ ). When  $\mathcal{Q}_{\bullet} = \mathcal{P}[0]X_{\bullet}$  is the Postnikov 0-stem of a simplicial

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space  $X_{\bullet}$ , this allows us to identify the  $E_{t,k}^2$ -term of the spiral spectral sequence for  $X_{\bullet}$ , which is:

 $\pi_t \pi_k X_{\bullet} = \pi_t \pi_k P^{0+k} X_{\bullet} \langle k \rangle = \pi_t \pi_k (P[0] X_{\bullet})_k = \pi_t \pi_*^{(k,0)} \mathcal{P}[0] X_{\bullet} = \pi_t \pi_*^{(k,0)} \mathcal{Q}_{\bullet},$ with  $\pi_{t,*}^{\natural(k,0)}\mathcal{Q}_{\bullet} = \pi_{t,*}^{\natural(k,0)}\mathcal{P}[0]X_{\bullet}.$ 

The first interesting case is the spiral 1-system, for which we have:

**4.7 Proposition** The  $E^3$ -term of the spiral spectral sequence for a simplicial space  $X_{\bullet}$  is determined by the spiral 1-system of  $X_{\bullet}$ . In fact,  $d_{t,k}^2$  may be identified with  $\partial_t^{(k,1)}: \pi_t \pi_k X_{\bullet} \to \Omega \pi_{t-2,0}^{\natural (k,1)} X_{\bullet}, \text{ while } E^3_{t,k} \text{ is the image of the composite map}$ (4.8)

$$\pi_{t,0}^{\natural(k,1)} X_{\bullet} \xrightarrow{h_t^{(k,1)}} \pi_t \pi_k X_{\bullet} \cong \pi_t \pi_1^{(k-1,1)} X_{\bullet} \xleftarrow{h_t^{(k-1,1)}}{\cong} \pi_{t,1}^{\natural(k-1,1)} X_{\bullet} \xrightarrow{s_{t+1}^{(k-1,1)}}{\pi_{t+1,0}^{\natural(k-1,1)}} X_{\bullet}$$

Observe that (4.8) involves maps from different windows of the spiral 1-system, implicitly identified using the isomorphisms induced by the map q in (2.6).

**Proof** Because n = 1 throughout, we abbreviate  $\pi_{t,i}^{\natural(k,1)}\mathcal{Q}_{\bullet}$  to  $\pi_{t,i}^{\natural(k)}\mathcal{Q}_{\bullet}$ , and  $\pi_{i}^{(k,1)}\mathcal{Q}_{\bullet}$  to  $\pi_{i}^{(k)}\mathcal{Q}_{\bullet}$ , observing that  $\pi_{i}^{(k)}\mathcal{Q}_{\bullet}$  is simply  $\pi_{i+k}X_{\bullet}$  for i = 0, 1, and zero otherwise, since  $Q_{\bullet} = \mathcal{P}[1]X_{\bullet}$ . Thus the spiral 1-system (4.3) is non-trivial for each  $t \ge 1$  in (internal) degrees i = 0, 1 only, and we can write it in two rows:

$$0 \longrightarrow \pi_{t,1}^{\natural(k)} \mathcal{Q}_{\bullet} \xrightarrow{\cong} \pi_{t} \pi_{1}^{(k)} \mathcal{Q}_{\bullet} \longrightarrow 0 \longrightarrow \pi_{t-1,1}^{\natural(k)} \mathcal{Q}_{\bullet} \xrightarrow{\cong} \pi_{t-1} \pi_{1}^{(k)} \mathcal{Q}_{\bullet}$$
$$\Omega \pi_{t-1,0}^{\natural(k)} \mathcal{Q}_{\bullet} \xrightarrow{s_{t}} \pi_{t,0}^{\natural(k)} \mathcal{Q}_{\bullet} \xrightarrow{h_{t}} \pi_{t} \pi_{0}^{(k)} \mathcal{Q}_{\bullet} \xrightarrow{\partial_{t}} \Omega \pi_{t-2,0}^{\natural(k)} \mathcal{Q}_{\bullet} \xrightarrow{s_{t-1}} \pi_{t-1,0}^{\natural(k)} \mathcal{Q}_{\bullet} \xrightarrow{h_{t-1}} \pi_{t-1} \pi_{0}^{(k)} \mathcal{Q}_{\bullet}$$

Since  $\mathcal{Q}_{\bullet} := \mathcal{P}[1]X_{\bullet}$  is the simplicial Postnikov 1-stem of  $X_{\bullet}$ , we actually have a collection of two-row long exact sequences, one for each k-window of  $\mathcal{P}[1]X_{\bullet}$ .

For each such k-window  $\mathcal{P}_k[1]X_{\bullet}$ , we can use the top row to identify

$$\Omega \pi_{t,0}^{\natural(k)} \mathcal{Q}_{\bullet} = \Omega \pi_{t,0}^{\natural} \mathcal{P}_{k}[1] X_{\bullet} = \pi_{t,1}^{\natural} \mathcal{P}_{k}[1] X_{\bullet} = \pi_{t,1}^{\natural(k)} \mathcal{Q}_{\bullet}$$

with  $\pi_t \pi_1^{(k)} \mathcal{Q}_{\bullet} = \pi_t \pi_t^{(1)} \mathcal{P}_k[1] X_{\bullet} = \pi_t \pi_{k+1} X_{\bullet}$ , so the bottom row reduces to:

![](_page_8_Figure_13.jpeg)

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![](_page_8_Figure_15.jpeg)

Note that the following part of the  $E^1$ -term of the exact couple for the fibration sequence  $C_{n+1}P^1\Omega^i X_{\bullet} \to Z_n P^1\Omega^i X_{\bullet}$ , (as in (3.4)):

![](_page_9_Figure_2.jpeg)

is naturally isomorphic to the exact couple for  $C_{n+1}\Omega^k X_{\bullet} \to Z_n\Omega^k X_{\bullet}$ , since  $C_{n+1}$  and  $Z_n$  are limits, so they commute with  $P^1$ , and then  $\pi_1 P^1 Z_{t-1}\Omega^k X_{\bullet} \cong \pi_1 Z_{t-1}\Omega^k X_{\bullet}$ , and so on. This does not imply, of course, that  $\pi_{t,1}^{\natural(k)} X_{\bullet} \cong \pi_{t,k+1}^{\natural} X_{\bullet}$ .

We therefore see from (3.7) and (3.8) that the differential  $d_{t,k}^2 \colon E_{t,k}^2 \to E_{t-2,k+1}^2$  may be identified with:

(4.9)

$$\pi_t \pi_k X_{\bullet} \cong \pi_t \pi_0^{(k,1)} X_{\bullet} \xrightarrow{\partial_{t,0}^{(k,1)}} \Omega \pi_{t-2,0}^{\natural(k)} X_{\bullet} = \pi_{t-2,1}^{\natural(k)} X_{\bullet} \stackrel{h_t}{\cong} \pi_{t-2} \pi_1^{(k,1)} X_{\bullet} \cong \pi_{t-2} \pi_{k+1} X_{\bullet}$$

Now by definition,  $E_{t,k}^3$  fits into a commutative diagram:

with exact rows,  $\ell j$  and  $\kappa$  monic, and thus  $E_{t,k}^3 \cong \text{Im}(q \circ j)$ .

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From the exactness of (4.3) we see that:

Coker 
$$(d_{t+2,k-1}^2)$$
 = Coker  $(\partial_{t+2}^{(k-1,1)})$  = Im  $(s_{t+1}^{(k-1,1)})$ 

and

$$\operatorname{Ker} \left( d_{t,k}^2 \right) = \operatorname{Ker} \left( \partial_t^{(k,1)} \right) = \operatorname{Im} \left( h_t^{(k,1)} \right)$$

so  $E_{t,k}^3 = \text{Im}(q \circ j)$  is indeed the image of the map in (4.8).

**4.11 Definition** An *r*-truncated spectral sequence is one defined up to and including the  $E^r$ -term, together with the differential  $d^n : E^r_{t,i} \to E^r_{t-r-1,t+r}$ , but without requiring that  $d^r \circ d^r = 0$  (so the  $E^{r+1}$ -term is defined in terms of the *r*-truncated spectral sequence only if  $d^r d^r = 0$ ).

The main example is the *n*-truncation of an (ordinary) spectral sequence (such as that of a simplicial space). In this case we do have  $d^r \circ d^r = 0$ , of course.

**4.12 Corollary** Any Reedy fibrant simplicial Postnikov 1-stem has a well-defined 2-truncated spiral spectral sequence. Moreover, if  $Q_{\bullet} = \mathcal{P}[1]X_{\bullet}$  for some simplicial space  $X_{\bullet}$ , this 2-truncated spectral sequence coincides with the 2-truncation of the Bousfield-Friedlander spectral sequence for  $X_{\bullet}$ .

In general, we have a less explicit description of the higher terms in the spiral spectral sequence:

**4.13 Theorem** For each  $r \ge 0$ , the  $E^{r+2}$ -term of the spiral spectral sequence for a simplicial space  $X_{\bullet}$  is determined by the spiral *r*-system of  $X_{\bullet}$ . Moreover, for any  $\alpha \in E_{t,i}^{r+1}$ , we have  $d_{t,i}^{r+1}(\alpha) = \beta \in E_{t-r-1,i+r}^{r+1}$  if and only if  $\alpha$  and  $\beta$  have representatives  $\bar{a} \in \pi_t \pi_i X_{\bullet}$  and  $\bar{b} \in \pi_{t-r-1} \pi_{i+r} X_{\bullet}$ , respectively, such that:

$$(4.14) (s_{t-2,1}^{(i,r)}) \circ (s_{t-3,2}^{(i,r)}) \circ \dots \circ (s_{t-r,r-1}^{(i,r)}) \circ (h_{t-r-1,r}^{(i,r)})^{-1}(\bar{b}) = \partial_{t,0}^{(i,r)}(\bar{a})$$

**Proof** We naturally identify  $\pi_{t,k}^{\natural(i,r)}X_{\bullet}$  with  $\pi_{t,k+s}^{\natural(i,r-s)}X_{\bullet}$  for  $k \ge s$ , and similarly for the maps in (4.3), so the spiral (r-1)-system embeds in the spiral *r*-system (with an index shift).

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Again we write out the  $E^1$ -term of the spiral exact couple:

$$\pi_{r}C_{t-r}P^{r}\Omega^{i}X_{\bullet} \xrightarrow{(d_{0}^{t-r})_{\#}} \pi_{r}Z_{t-r-1}P^{r}\Omega^{i}X_{\bullet} \xrightarrow{(j_{t-r-1})_{\#}} \pi_{r}C_{t-r-1}P^{r}\Omega^{i}X_{\bullet}$$

$$\psi^{\hat{\vartheta}_{t-r-1}} \xrightarrow{(j_{t-r-1})_{\#}} \int_{\text{inc}} \int_{\text{inc}} \int_{T-r-1} \pi_{t+r}X_{\bullet}$$

$$\int_{t-r-1,r} \chi_{\bullet} = \pi_{t-r-1,r}^{\natural(i,r)}X_{\bullet} \xrightarrow{(h_{t-r-1,r})_{\#}} \chi_{\vartheta_{t-r-1}}$$

$$\psi^{\vartheta_{t-r-1}} \xrightarrow{\psi_{t-r-1}} \chi_{\bullet}$$

$$\pi_{r-1}C_{t-r+1}P^{r}\Omega^{i}X_{\bullet} \xrightarrow{(d_{0}^{t-r+1})_{\#}} \pi_{r-1}Z_{t-r}P^{r}\Omega^{i}X_{\bullet} \xrightarrow{(j_{t-r})_{\#}} \pi_{r-1}C_{t-r}P^{r}\Omega^{i}X_{\bullet}$$

![](_page_11_Figure_3.jpeg)

The differential  $d_{t,i}^{r+1}: E_{t,i}^{r+1} \to E_{t-r-1,i+r}^{r+1}$  may then be described as a "relation" (cf. [18, §3.1]) in the usual way:

Given a class  $\alpha \in E_{t,i}^{r+1}$ , choose a representative for it  $a \in E_{t,i}^1 = \pi_0 C_t P^r \Omega^i X_{\bullet}$ . Since it is a cycle for  $d_{t,i}^1 = (j_{t-1})_{\#} \circ (d_0^t)_{\#}$ , it lies in  $Z_t \pi_i X_{\bullet}$  and thus represents an

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element  $\bar{a} \in \pi_t \pi_i X_{\bullet} = E_{t,i}^2$ . From the exactness of the middle row of (3.7) we see that  $(d_0^t)_{\#}(a) \in \text{Ker}((j_{t-1})_{\#}) = \Omega \pi_{t-2,0}^{\natural(i,r)} X_{\bullet}$ , and in fact  $(d_0^t)_{\#}(a)$  represents  $\partial_{t,0}^{(i,r)}(\bar{a})$ . Since  $\hat{\vartheta}_{t-2}$  is surjective, we can choose  $e_{t-2} \in \pi_1 Z_{t-2} P^r \Omega^i X_{\bullet}$  mapping to  $(d_0^t)_{\#}(a)$ . Because  $d_{t,i}^2(\bar{a}) = h_{t-2,1}^{(i,r)} \circ \partial_{t,0}^{(i,r)}(\bar{a})$ , as in the proof of Proposition 4.7 (though  $h_{t-2,1}^{(i,r)}$ need no longer be an isomorphism!), we see that it is represented by  $(j_{t-2})_{*}(e_{t-2})$ . If r = 1, we are done. Otherwise, we know that  $d_{t,i}^2(\bar{a}) = 0$ , so we can choose  $e_{t-2}$ so that  $(j_{t-2})_{*}(e_{t-2}) = 0$ , using exactness of the third column of of (3.7). Again this implies that  $e_{t-2} \in \text{Ker}((j_{t-2})_{\#}) = \Omega \pi_{t-3,1}^{\natural(i,r)} X_{\bullet}$ , and  $d_{t,i}^3(\langle a \rangle)$  is represented by  $h_{t-3,2}^{(i,r)}(e_{t-2})$ . Moreover, we see from (3.7) that  $s_{t-3,1}^{(i,r)}(e_{t-2}) = \partial_{t,0}^{(i,r)}(\bar{a})$ , using the identification  $\Omega \pi_{t-2,0}^{\natural(i,r)} X_{\bullet} = \pi_{t-2,1}^{\natural(i,r)} X_{\bullet}$ .

Choosing a lift to  $e_{t-3} \in \pi_2 Z_{t-3} P^r \Omega^i X_{\bullet}$ , we may assume that  $(j_{t-3})_*(e_{t-3}) = 0$ , so  $e_{t-3} \in \Omega \pi_{t-4,2}^{\natural(i,r)} X_{\bullet}$  and  $s_{t-4,2}^{(i,r)}(e_{t-3}) = e_{t-2}$ . Continuing in this way, we finally reach  $e_{t-r-1} \in \Omega \pi_{t-r-1,r-1}^{\natural(i,r)} X_{\bullet}$  with  $s_{t-r-2,r}^{(i,r)}(e_{t-r-1}) = e_{t-r}$ , and so on, and see that  $d_{t,i}^{r+1}(\langle a \rangle)$  is represented by  $h_{t-r-1,r}^{(i,r)}(e_{t-r-1})$ . Since (as in the proof of Proposition 4.7)  $h_{t-r-1,r}^{(i,r)}$  is an isomorphism, we deduce that  $d_{t,i}^{r+1}(\alpha)$  is as in (4.14).

**4.15 Remark** From the exactness of (4.3) we have  $\operatorname{Im}(\partial_{t,0}^{(i,r)}) = \operatorname{Ker}(s_{t-1,0}^{(i,r)})$ , so the image of  $d_{t,i}^{r+1}$  as described in (4.14) is  $\operatorname{Ker}(\sigma_{t,i}^{r+1})$ , where  $\sigma_{t,i}^{r+1} := (s_{t-1,0}^{(i,r)}) \circ (s_{t-2,1}^{(i,r)}) \circ (s_{t-3,2}^{(i,r)}) \circ \cdots \circ (s_{t-r,r-1}^{(i,r)})$ . Therefore,  $E_{t+r-1,i+r}^{r+1}$  embeds naturally in  $\operatorname{Im}(\sigma_{t,i}^{r+1})$ .

**4.16 Corollary** Every Reedy fibrant simplicial Postnikov *r*-stem has a well-defined (r + 1)-truncated spiral spectral sequence. If  $Q_{\bullet} = \mathcal{P}[r]X_{\bullet}$  for some simplicial space  $X_{\bullet}$ , this truncated spectral sequence coincides with the (r + 1)-truncation of the Bousfield-Friedlander spectral sequence for  $X_{\bullet}$ .

Thus the bigraded homomorophism

$$d^{r+1} \circ d^{r+1} \colon E^r_{t,i} \to E^{r+1}_{t-2r-2,i+2r} \qquad (t \ge 2r+2, i \ge 0)$$

serves as the first obstruction to the realizability of the simplicial Postnikov *r*-stem  $Q_{\bullet}$  by a simplicial space  $X_{\bullet}$ .

# **5** A cosimplicial version

There are actually four variants of the above spectral sequence which we might consider, for a simplicial or cosimplicial object over simplicial or cosimplicial sets. The case of

bicosimplicial sets is in principle strictly dual to that of bisimplicial sets, but because the category of cosimplicial *sets* has no (known) useful model category structure, we must restrict to bicosimplicial abelian groups – or equivalently, ordinary double complexes. Thus the main new case of interest is that of cosimplicial simplicial sets, or *cosimplicial spaces*.

**5.1 The spectral sequence of a cosimplicial space.** If  $X^{\bullet} \in cS_{*}$  is a fibrant cosimplicial pointed space with total space Tot  $X^{\bullet}$ , there are various constructions for the homotopy spectral sequence of  $X^{\bullet}$ :

- (a) Using the tower of fibrations for  $(\text{Tot}_n X^{\bullet})_{n=0}^{\infty}$  (cf. [16, X, §6]).
- (b) Using "relations" on the normalized cochains  $N^n \pi_t X^{\bullet} := \pi_t X^n \cap \text{Ker}(s^0) \cap \ldots \cap$ Ker $(s^{n-1})$  (cf. [18, §7]).
- (c) Using a cofibration sequence dualizing (3.4) (cf. [23, §3]).

Bousfield and Kan showed that the result is essentially unique (see [18]). Since the main ingredient needed for to define the spiral exact couple is the diagram (3.7), we use the first approach:

**5.2 Definition** For any Reedy fibrant cosimplicial pointed space  $X^{\bullet} \in cS_{*}$ , consider the fibration sequence

(5.3) 
$$F_n X^{\bullet} \xrightarrow{j_n} \operatorname{Tot}_n X^{\bullet} \xrightarrow{p_n} \operatorname{Tot}_{n-1} X^{\bullet}$$

where  $\operatorname{Tot}_n X^{\bullet} := \operatorname{map}_{cS_*}(\operatorname{sk}_n, X^{\bullet})$  and the fibration  $p_n$  is induced by the inclusion of cosimplicial spaces  $\operatorname{sk}_{n-1} \hookrightarrow \operatorname{sk}_n$ .

The cokernel of  $(j_n)_{\#}$ :  $\pi_*F_nX^{\bullet} \hookrightarrow \pi_* \operatorname{Tot}_n X^{\bullet}$  is called the *n*-th *natural (graded)* cohomotopy group of  $X^{\bullet}$ , and denoted by  $\pi_{h*}^n X^{\bullet}$ .

**5.4 Remark** We may identify  $F_n X^{\bullet}$  with the looped normalized cochain object  $\Omega^n N^n X^{\bullet}$ , where

(5.5) 
$$N^n X^{\bullet} := X^n \cap \operatorname{Ker}(s^0) \cap \ldots \cap \operatorname{Ker}(s^{n-1}),$$

and  $\pi_* N^n X^{\bullet}$  with  $N^n \pi_* X^{\bullet}$  (see [16, X, Proposition 6.3]).

Moreover, the composite

$$\pi_{*+1}\Omega^n N^n X^{\bullet} \cong \pi_{*+1} F_n X^{\bullet} \xrightarrow{(j_n)_{\#}} \pi_{*+1} \operatorname{Tot}_n X^{\bullet} \xrightarrow{\partial_n} \pi_* F_{n+1} X^{\bullet} \cong \pi_* \Omega^{n+1} N^{n+1} X^{\bullet}$$

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(where  $\partial_n$  is the connecting homomorphism for the (5.3)), may then be identified with the differential

(5.6) 
$$\delta^{n} := \sum_{i=0}^{n} (-1)^{i} d^{i} : N^{n} \pi_{*} X^{\bullet} \to N^{n+1} \pi_{*} X^{\bullet} ,$$

for the normalized cochain complex  $N^*\pi_*X^{\bullet}$ , so that

(5.7) 
$$\operatorname{Ker}(\delta^{n})/\operatorname{Coker}(\delta^{n+1}) \cong \pi^{n}\pi_{*}X$$

(cf. [16, X, §7.2]).

**5.8 Proposition** For any pointed cosimplicial space X<sup>•</sup> there is a natural spiral long exact sequence:

(5.9) 
$$\dots \to \Omega \pi_{\natural*}^{n-1} X^{\bullet} \xrightarrow{s^n} \pi_{\natural*}^n X^{\bullet} \xrightarrow{h^n} \pi^n \pi_* X^{\bullet} \xrightarrow{\partial^n} \Omega \pi_{\natural*}^{n-2} X^{\bullet}$$
$$\xrightarrow{s^{n-1}} \pi_{\natural*}^{n-1} X^{\bullet} \to \dots \to \pi_{\natural*}^0 X^{\bullet} \xrightarrow{\cong} \pi^0 \pi_* X^{\bullet}$$

**Proof** By choosing a fibrant replacement in the model category of cosimplicial simplicial sets defined in [16, X, §5], if necessary, we may assume that  $X^{\bullet}$  is Reedy fibrant. We then obtain a commutative diagram as in (3.7) with exact rows and columns:

(5.10)

![](_page_14_Figure_10.jpeg)

in which  $B^{n+1}X^{\bullet} := \operatorname{Im}(j_{n+1})_{\#} \subseteq \pi_* \operatorname{Tot}_n X^{\bullet}$  and  $B^{n+1}\pi_* X^{\bullet} := \operatorname{Im}(\delta^{n+1}) =$ Im  $(\partial_{n+1} \circ (j_{n+1})_{\#})$  are the respective coboundary objects.

The construction of the maps  $h^n$ ,  $s^n$ , and  $\partial^n$ , and the proof of the exactness of (5.9), are then precisely as in  $\S3.6$ . 

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**5.11 Definition** The *spiral n-system* of a pointed cosimplicial space  $X^{\bullet} \in cS_{*}$  is defined to be the collection of long exact sequences (5.9) for the Postnikov *n*-stem functor  $\mathcal{P}[n]$  applied to  $X^{\bullet}$ , one for each *k*-window of  $\mathcal{P}[n]X^{\bullet}$ .

As in Definition 4.2, this may actually be defined for a cosimplicial Postnikov *n*-stem  $\mathcal{P}^{\bullet}$ , not necessarily realizable as  $\mathcal{P}^{\bullet} = \mathcal{P}[n]X^{\bullet}$ .

By construction, the homotopy spectral sequence of a (fibrant) cosimplicial space  $X^{\bullet}$ , obtained as in (5.1), is associated to the spiral exact couple (5.9). The proofs of Proposition 4.7 and Theorem 4.13 use only the description of the spiral exact couple for  $X_{\bullet}$  derived from (5.10), so by using (5.10) instead we can prove their analogues in the cosimplicial case, and show:

**5.12 Theorem** The  $E_{r+2}$ -term of the homotopy spectral sequence for a cosimplicial space  $X^{\bullet}$  is determined by the spiral *r*-system of  $X^{\bullet}$ .

An analogue of Corollary 4.16 also holds, as well as:

**5.13 Proposition** The differential  $d_2^{t,i} \colon E_2^{t,i} \to E_2^{t+2,i+1}$  may be identified with  $\partial_{(i,1)}^t \colon \pi^t \pi_i X^{\bullet} \to \Omega \pi_{\natural(i)}^{t+2,0} X^{\bullet}.$ 

**5.14 Examples** As noted in the introduction, many commonly used spectral sequences arise as the spiral spectral sequence of an appropriate (co)simplicial space, so Theorems 4.13 and 5.12 allow us to extract their  $E^r$ - or  $E_r$ -terms from the appropriate spiral systems. For instance:

- (a) Segal's homology spectral sequence (cf. [24]), the van Kampen spectral sequence (cf. [25]), and the Hurewicz spectral sequence (cf. [9]) are constructed using bisimplicial sets.
- (b) The unstable Adams spectral sequences of [14, 17] and [8, §4], Rector's version of the Eilenberg-Moore spectral sequence (cf. [23]), and Anderson's generalization of the latter (cf. [2]) are all associated to cosimplicial spaces.
- (c) The usual construction of the stable Adams spectral sequence for  $\pi_*^s X \otimes \mathbb{Z}/p$  (cf. [1, §3]) uses a tower of (co)fibrations, rather than a cosimplicial space, but when X is finite dimensional, it agrees in a range with the unstable version for  $\Sigma^N X$ , so Theorem 5.12 applies stably, too.

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